



Workshop „Rietveld Refinement with Profex“

# Lesson 1: Introduction to Powder X-ray Diffraction

Nicola Döbelin, PhD

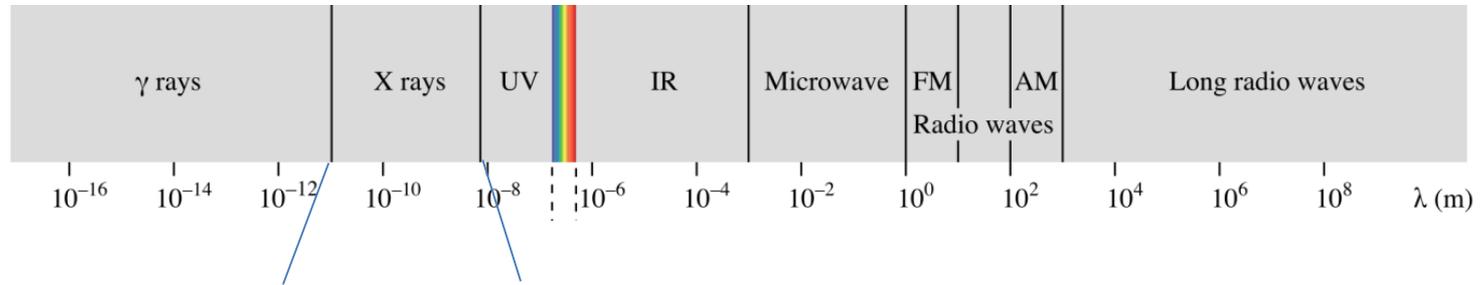
RMS Foundation, Switzerland

March 07-08, 2024

Forschungszentrum Jülich, Germany



# Electromagnetic Spectrum



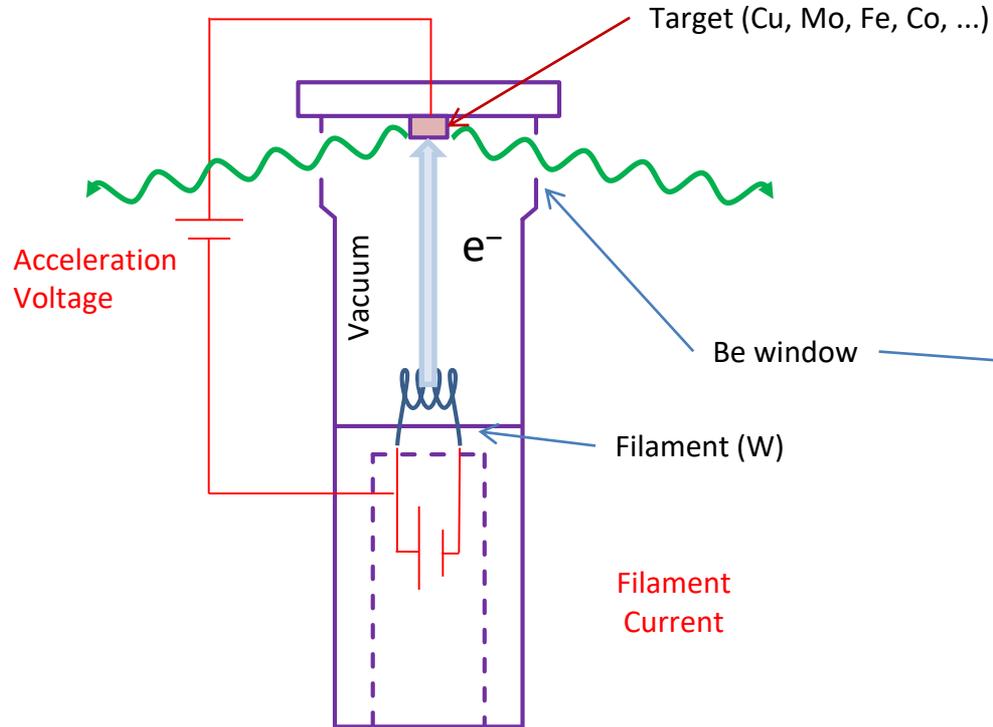
X rays:  
Wavelength  $\lambda$ : 0.01 – 10 nm  
Energy: 100 eV – 100 keV

Generation of X-radiation:  
Shoot electrons on matter

Interatomic distances in crystals:  
typically 0.15 – 0.4 nm

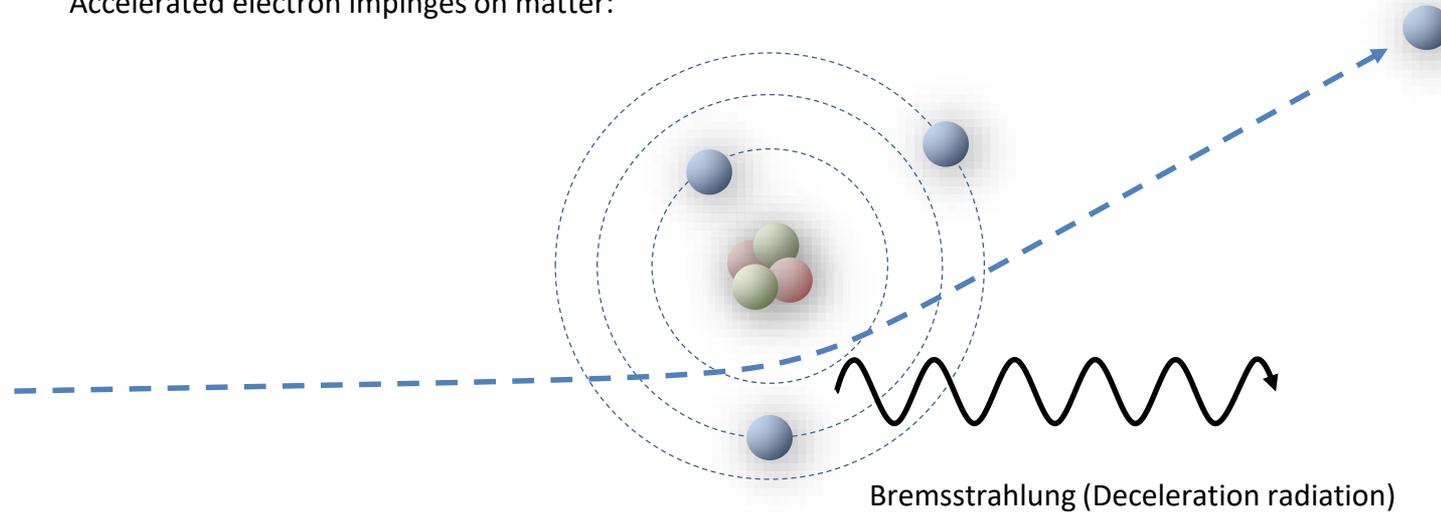
Interference phenomena only  
for features  $\approx \lambda$

# X-Ray Tube



# Generation of X-Rays: Bremsstrahlung (Deceleration Radiation)

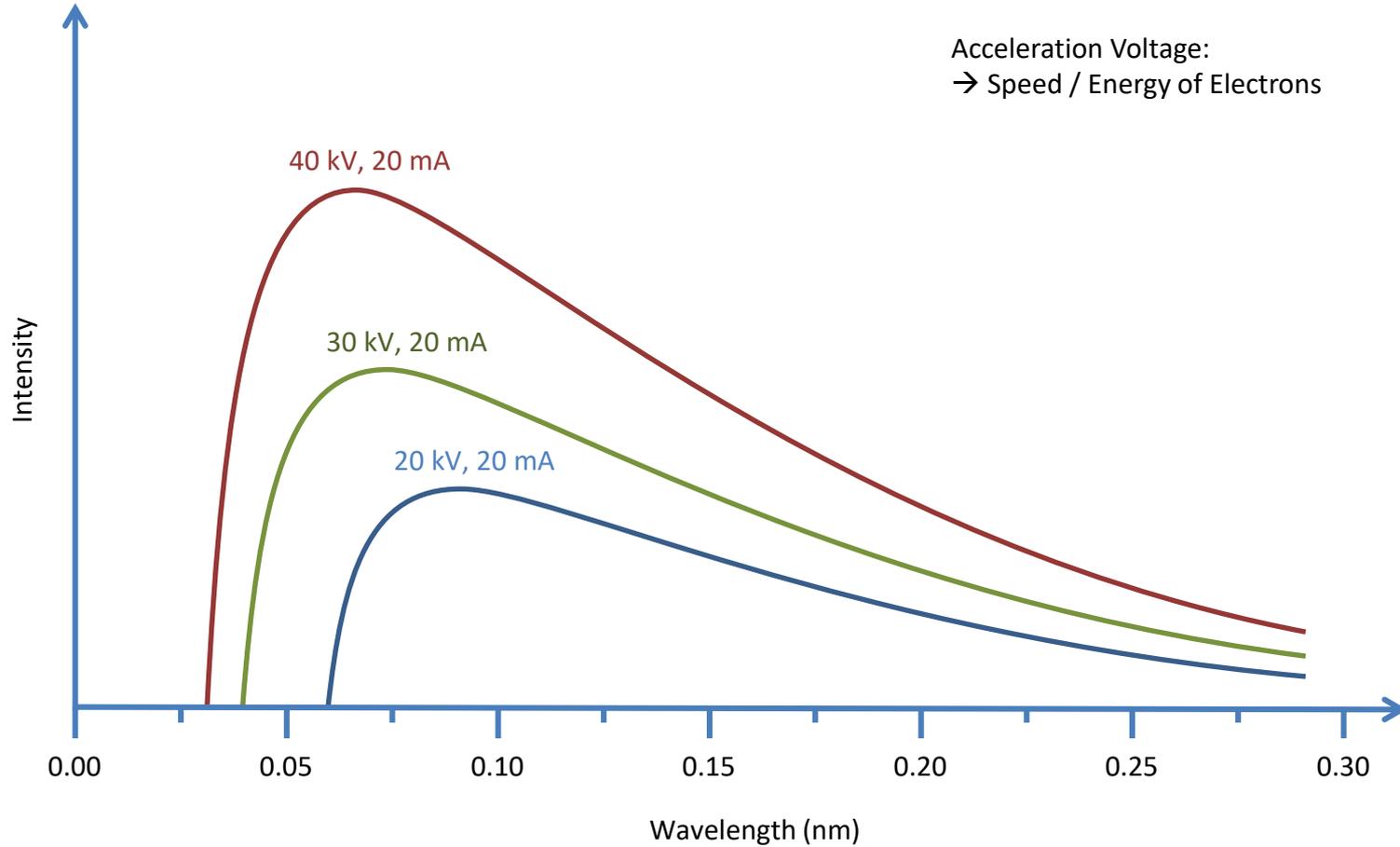
Accelerated electron impinges on matter:



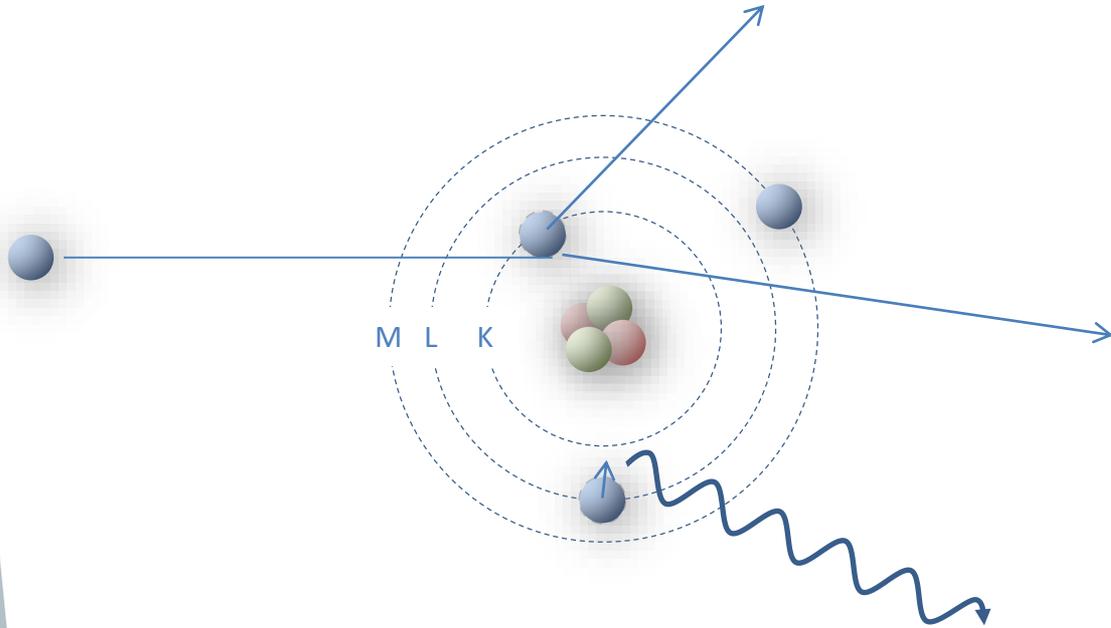
Electron is deflected and decelerated by the atomic nucleus.  
(Inelastic scattering)

Deflected electron emits electromagnetic radiation.  
Wavelength depends on the loss of energy.

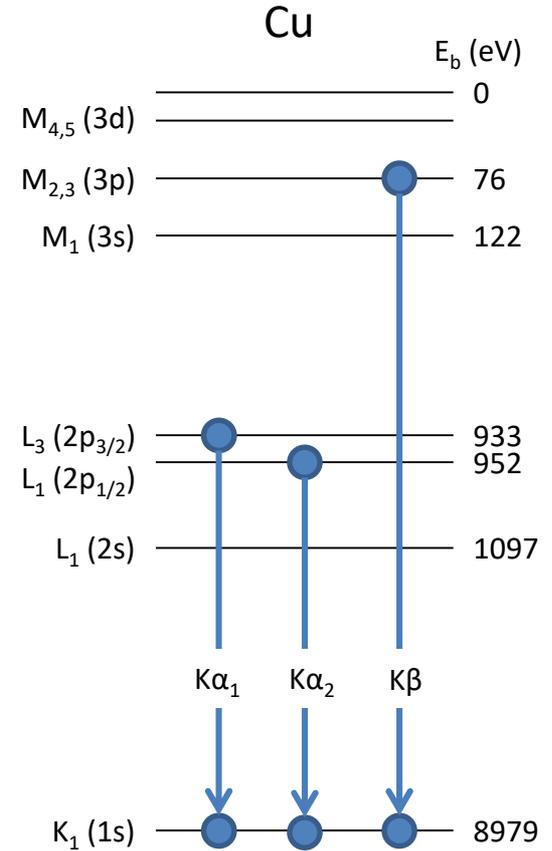
# Generation of X-Rays: Bremsstrahlung (Deceleration Radiation)



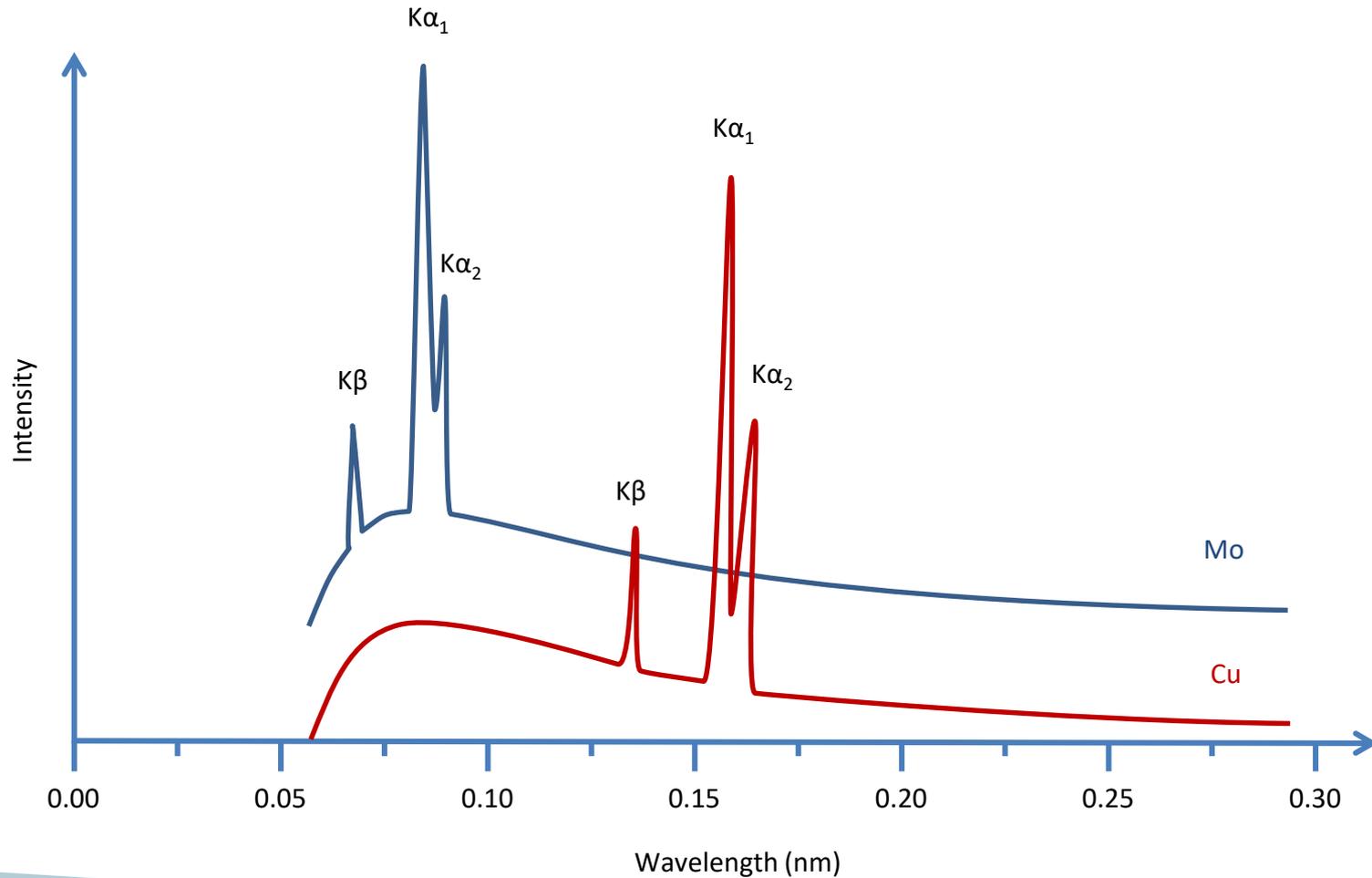
# Generation of X-Rays: Characteristic Radiation



Wavelength of  $K\alpha_1$ ,  $K\alpha_2$ ,  $K\beta$ ,  $L\alpha$ ...  
are characteristic for the atomic species.

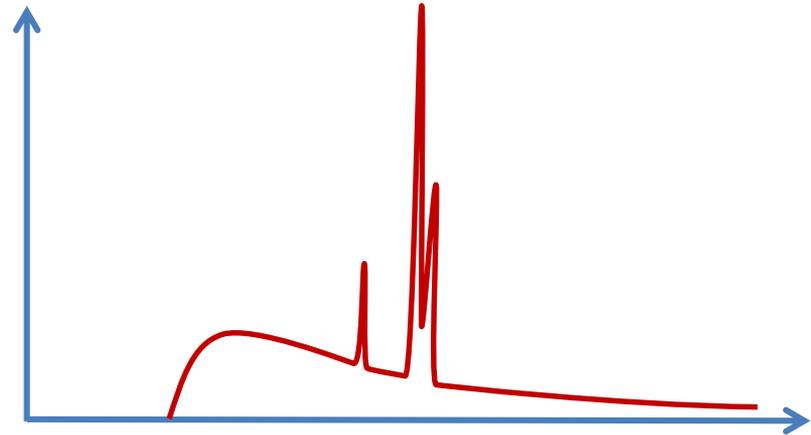


# Generation of X-Rays: Characteristic Spectrum



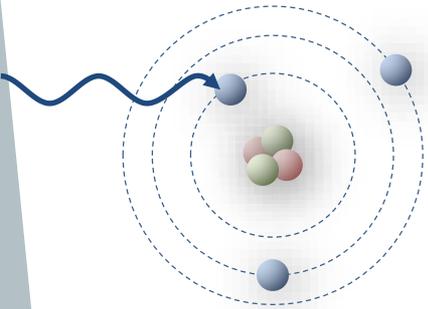
# Generation of X-Rays: Summary

- Generated in an Cathod Ray Tube (X-Ray Tube)
- Spectrum contains Bremsstrahlung (continuous) and characteristic radiation from the target material
- Tube is characterized by:
  - Target material (Cu, Co, Cr, Fe, Mo, ...)
  - Size and shape of the target
  - Acceleration voltage and current



# X-rays: Interaction with Matter

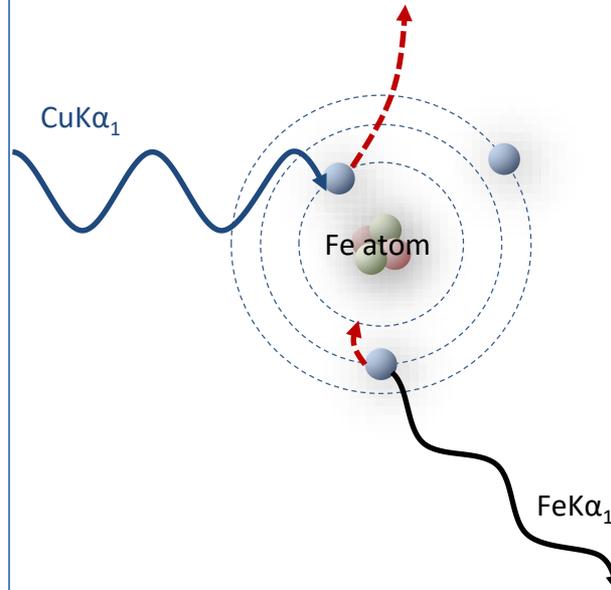
## Absorption



1. Energy transfer to electrons (sample heats up)

XAS (X-ray absorption spectroscopy)

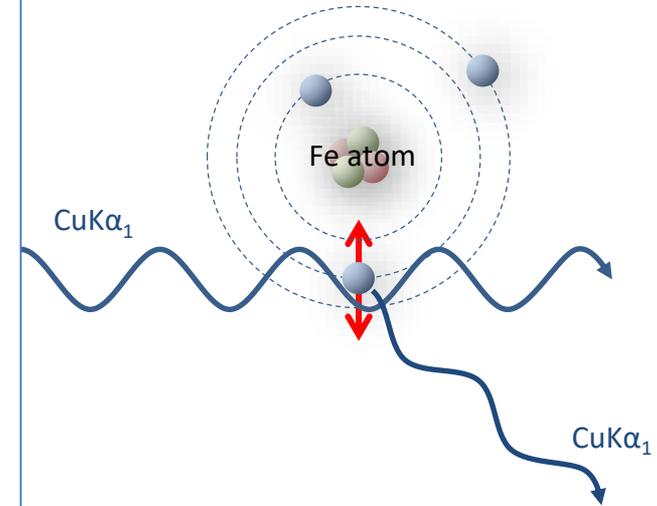
## Photoelectric Effect



1. Absorption and ionization
2. Relaxation and emission of characteristic radiation

XRF (X-ray fluorescence)

## Elastic Scattering



1. Electron oscillates in the electric field
2. Emits secondary radiation ( $\lambda_s = \lambda_p$ )
3.  $\Phi_p$  and  $\Phi_s$  are phase coherent (+180°)

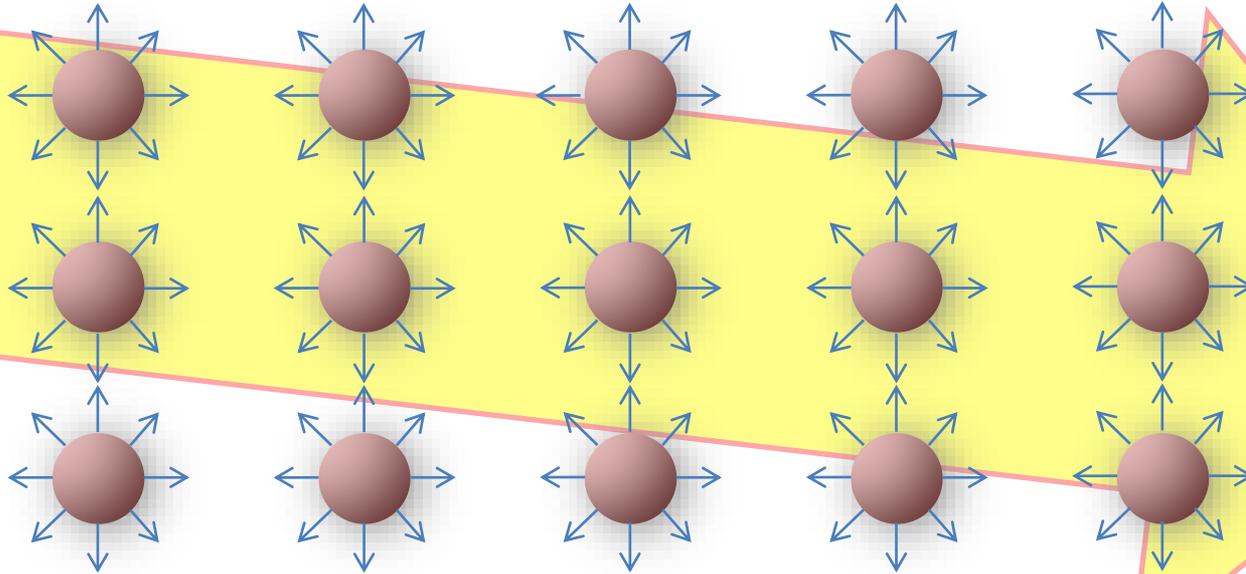
XRD (X-ray diffraction)

# Diffraction: Interference

Crystal: Periodic arrangement of atoms/ions/molecules in 3 dimensions.

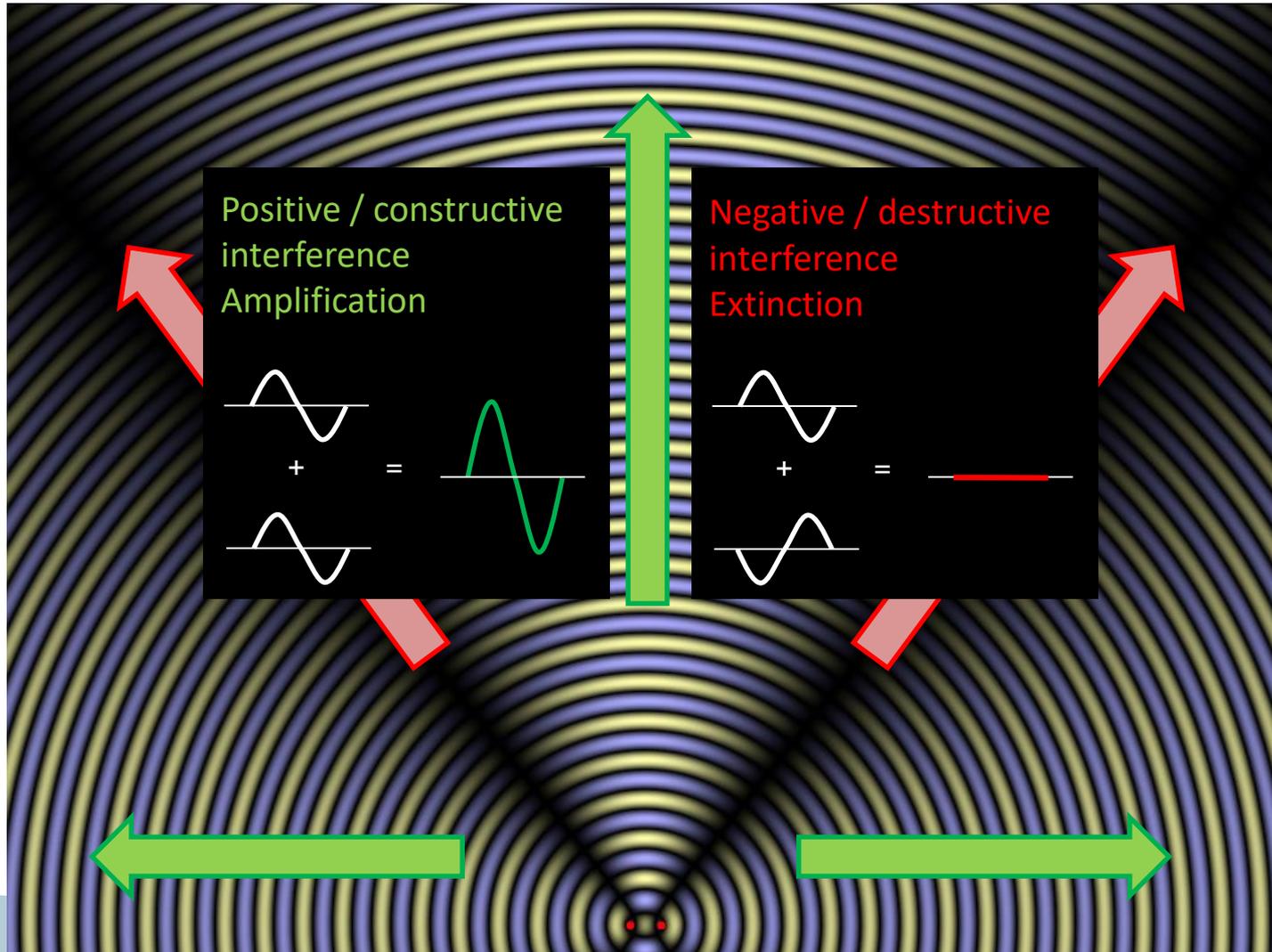
Each atom becomes a point source of secondary (undirected) radiation

→ Interference



# Diffraction: Interference

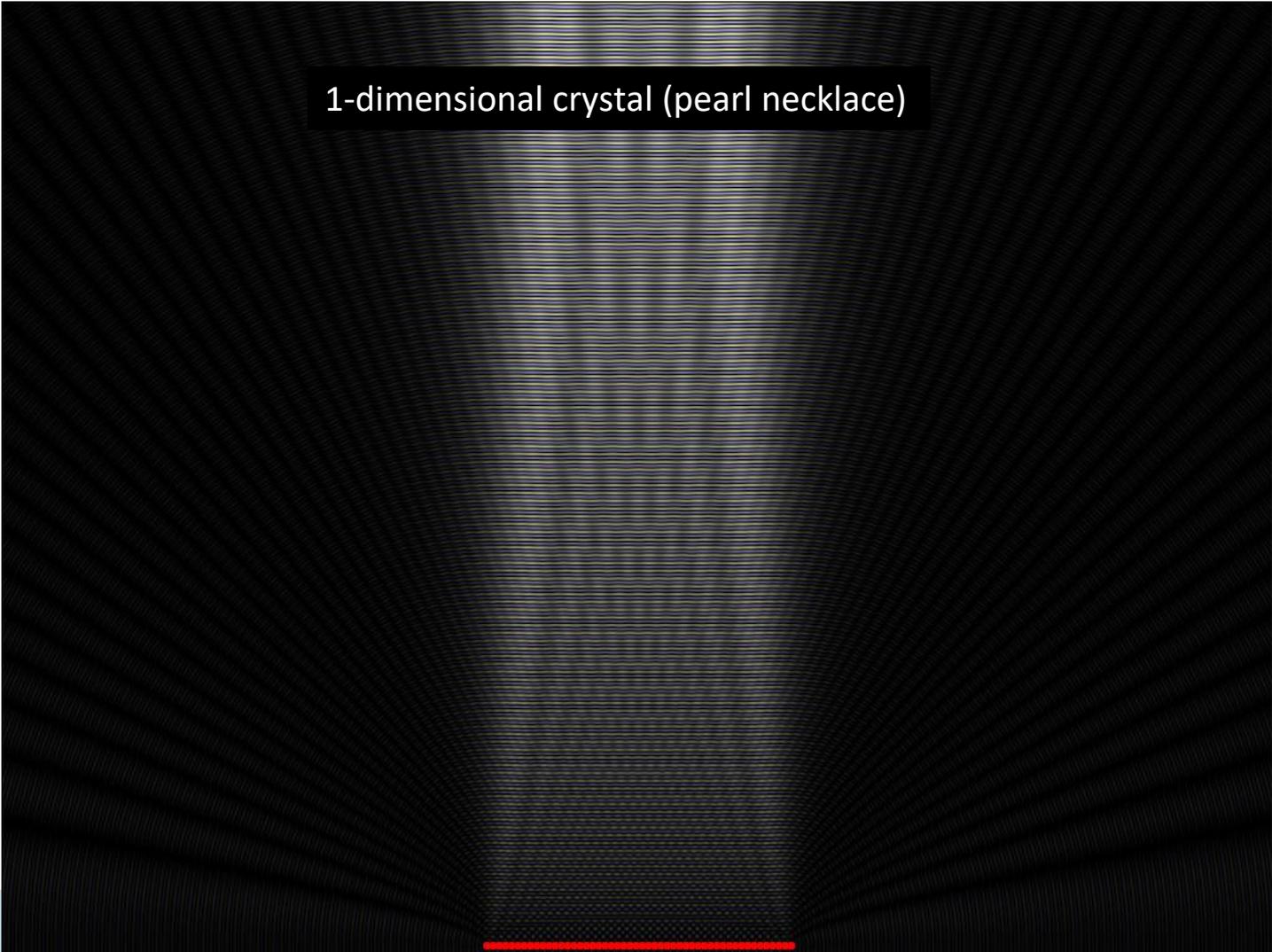
n=2



# Diffraction: Interference

$n=50$

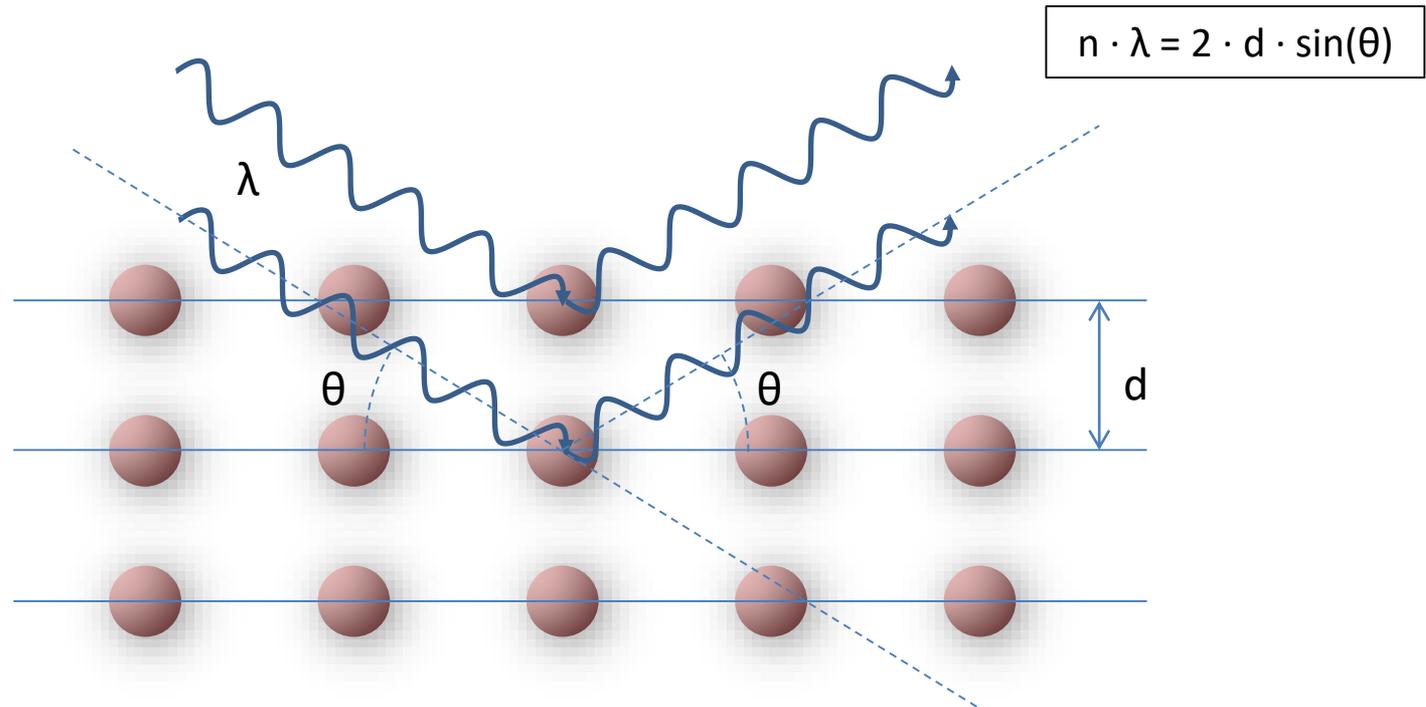
1-dimensional crystal (pearl necklace)



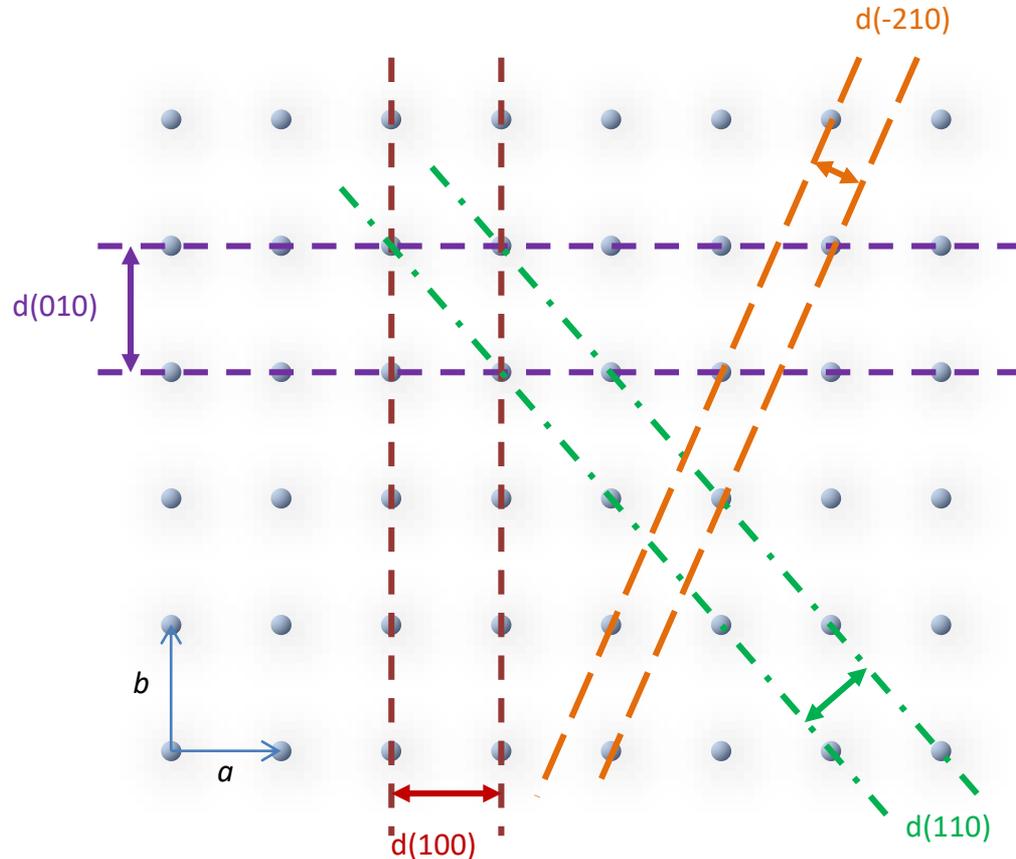
# Diffraction: Bragg's Law

3-dimensional crystal:

- More complex conditions for positive interference to occur
- If interference conditions are not fulfilled: Extinction



# Diffraction: Lattice Planes and Miller Indices



## Definition:

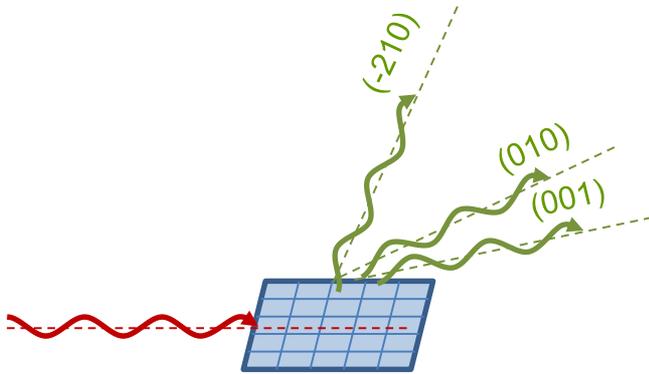
A lattice plane is a plane which intersects atoms of a unit cell across the whole 3-dimensional lattice.

- Each lattice plane generates a diffraction peak.
- The plane's  $d$ -spacing determines at what  $2\theta$  angle diffraction occurs (Bragg's law)
- Diffraction peaks can be labelled with the plane's Miller indices.

# Diffraction: Lattice Planes

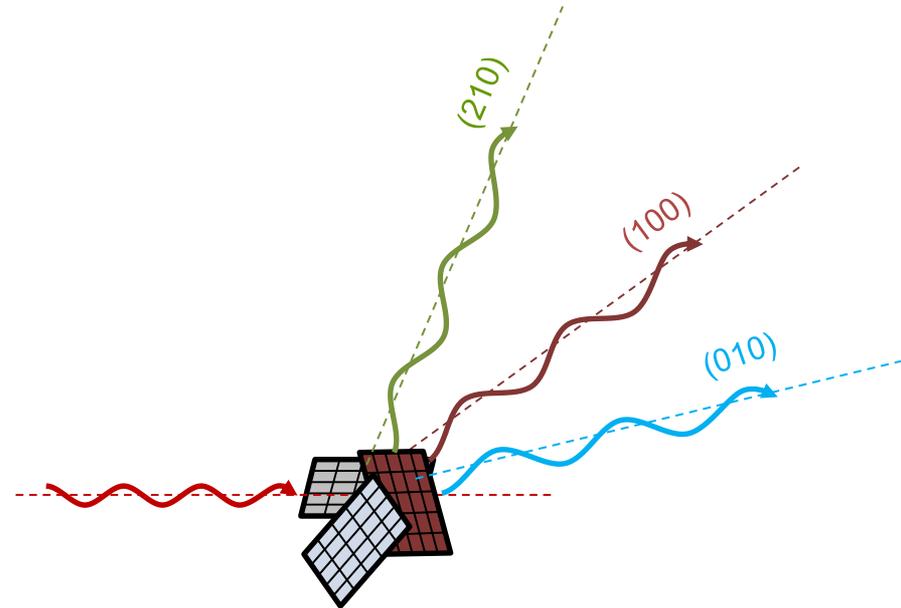
Single crystal:

- ▶ Rotate relative to primary beam to bring all lattice planes in diffraction condition:



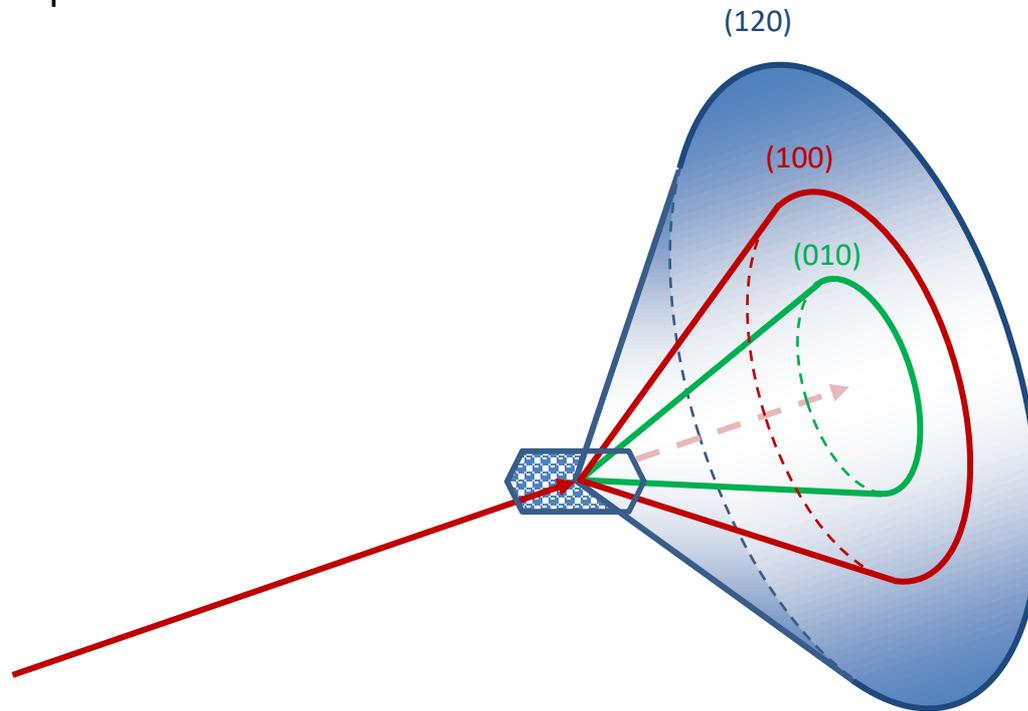
Randomized powder:

- ▶ Crystals in all possible orientations are always present:



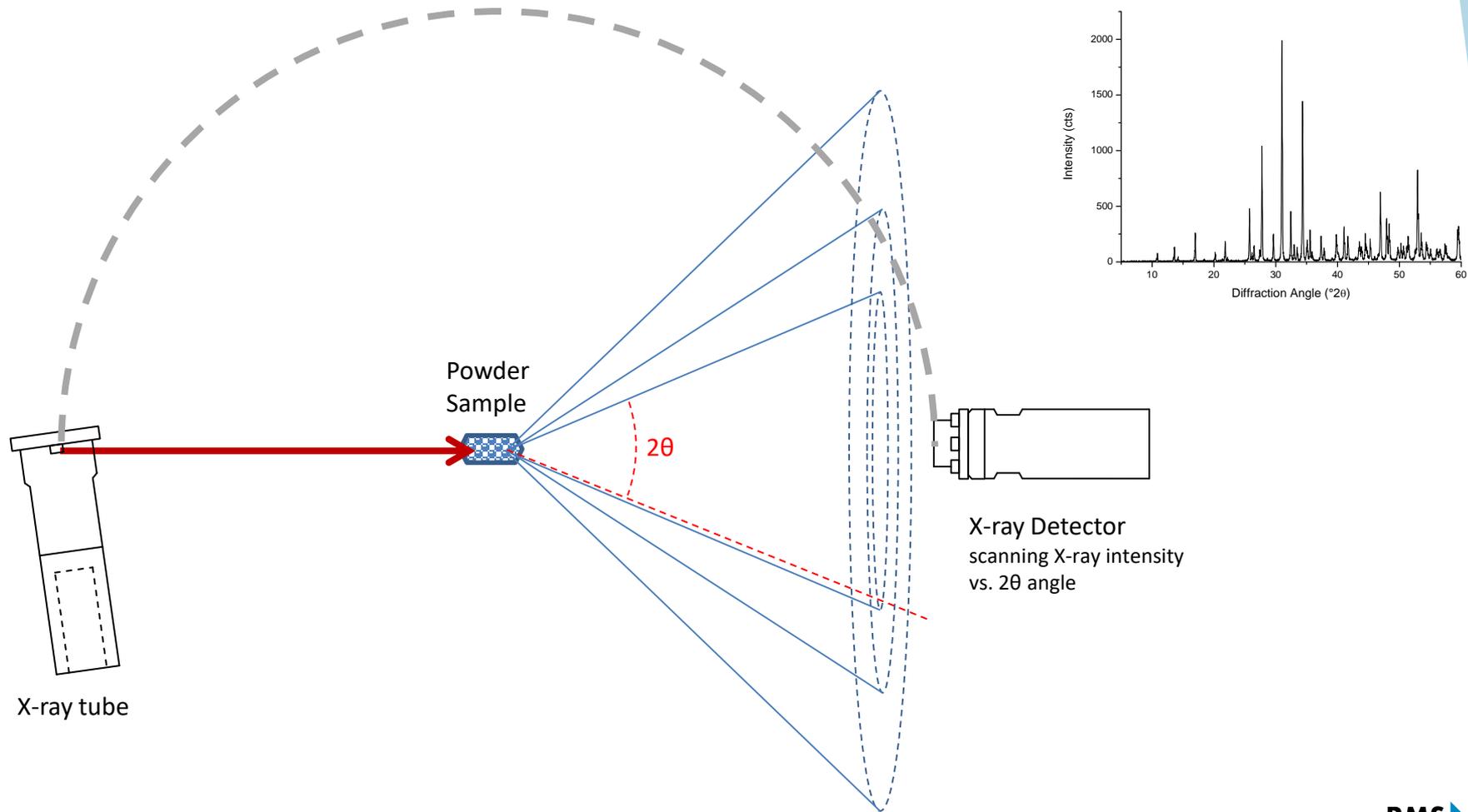
# Diffraction: Debye Rings

Powder sample:



One Debye Cone for each lattice plane spacing (d value)

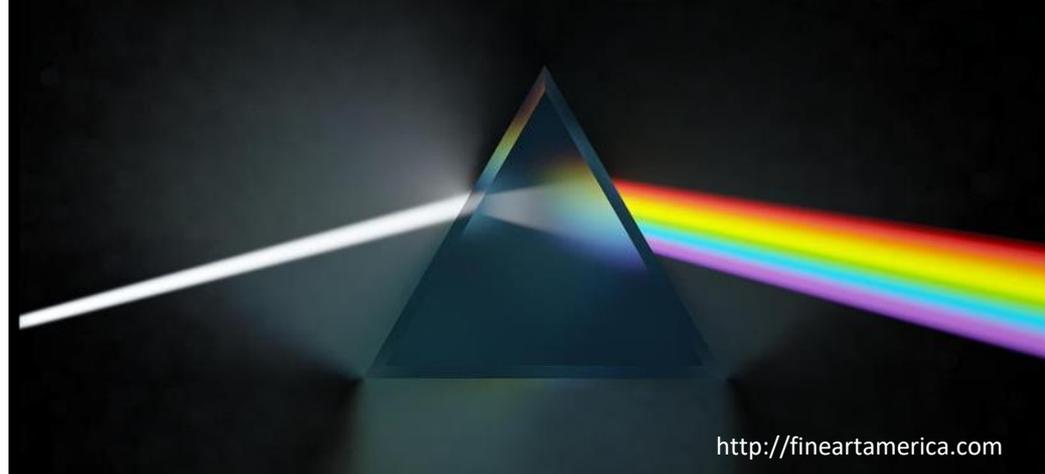
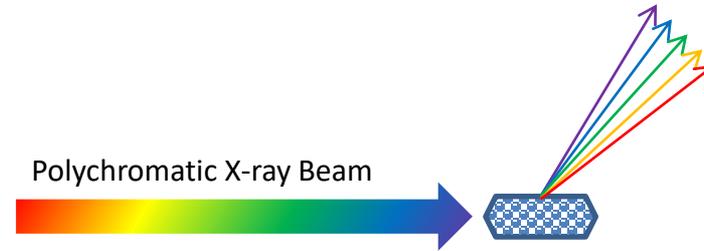
# Diffraction: Data Collection



# Peak Profile

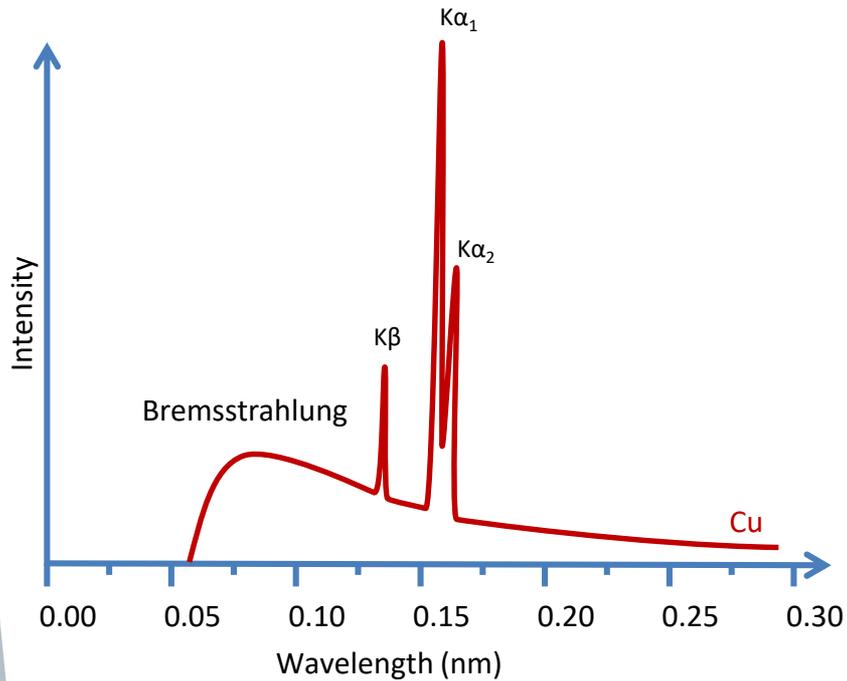
Diffraction angle  $\theta$  depends on wavelength  $\lambda$ :

$$n \cdot \lambda = 2 \cdot d \cdot \sin(\theta)$$

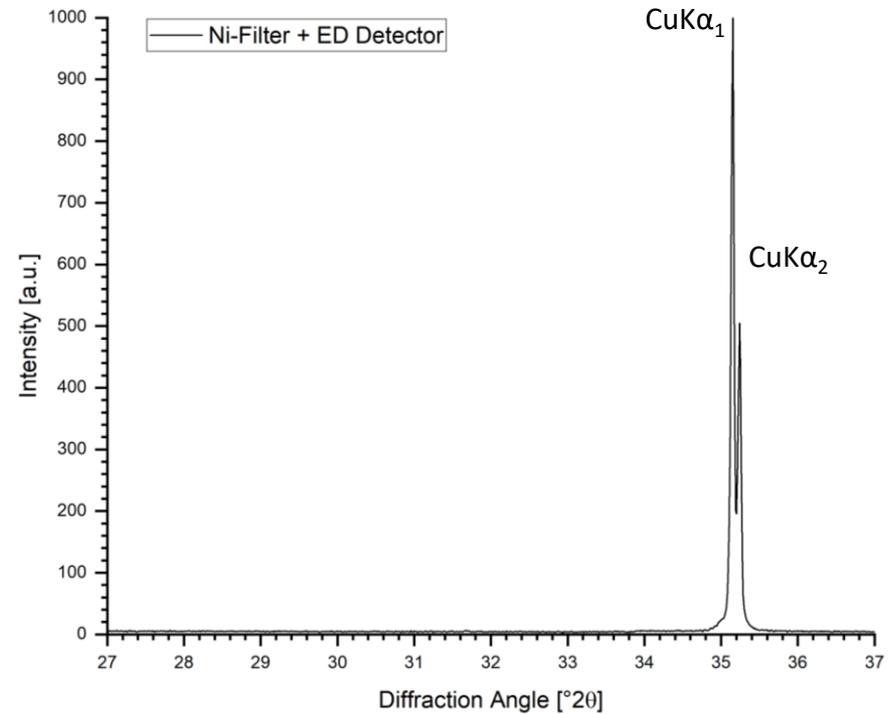


# Peak Profile

Characteristic Radiation Spectrum



Diffraction Pattern of  $Al_2O_3$  (104) Peak



## Common Instrument Configurations



<https://www.malvernpanalytical.com>

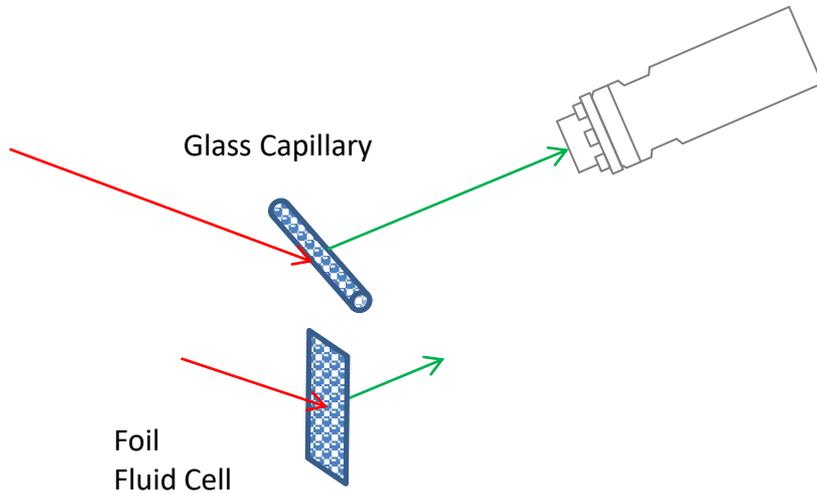


<https://www.thermofisher.com>



# Instrument Configurations

## Transmission Geometry

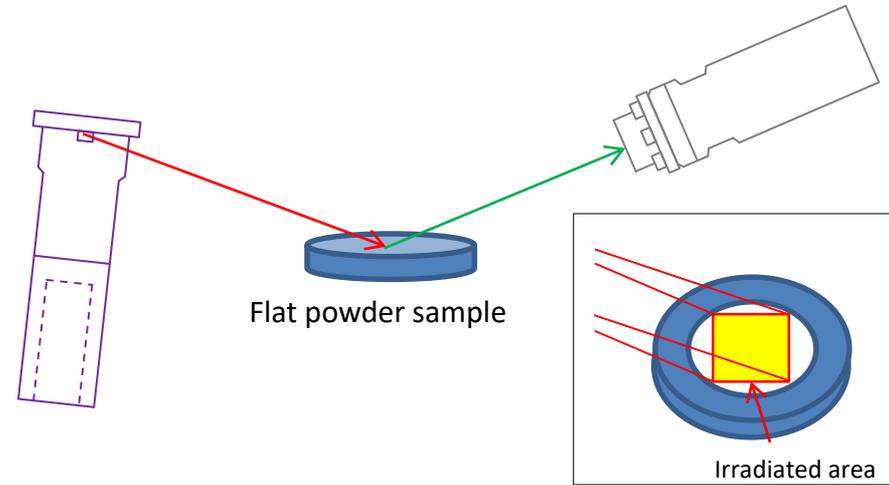


Capillaries are ideal for:

- Light materials (Polymers, Pharmaceuticals)
- Small amounts
- Hazardous materials
- Air-sensitive materials

Use characteristic radiation with **low** absorption coefficient (e.g.  $\text{MoK}\alpha$ )

## Reflective Geometry

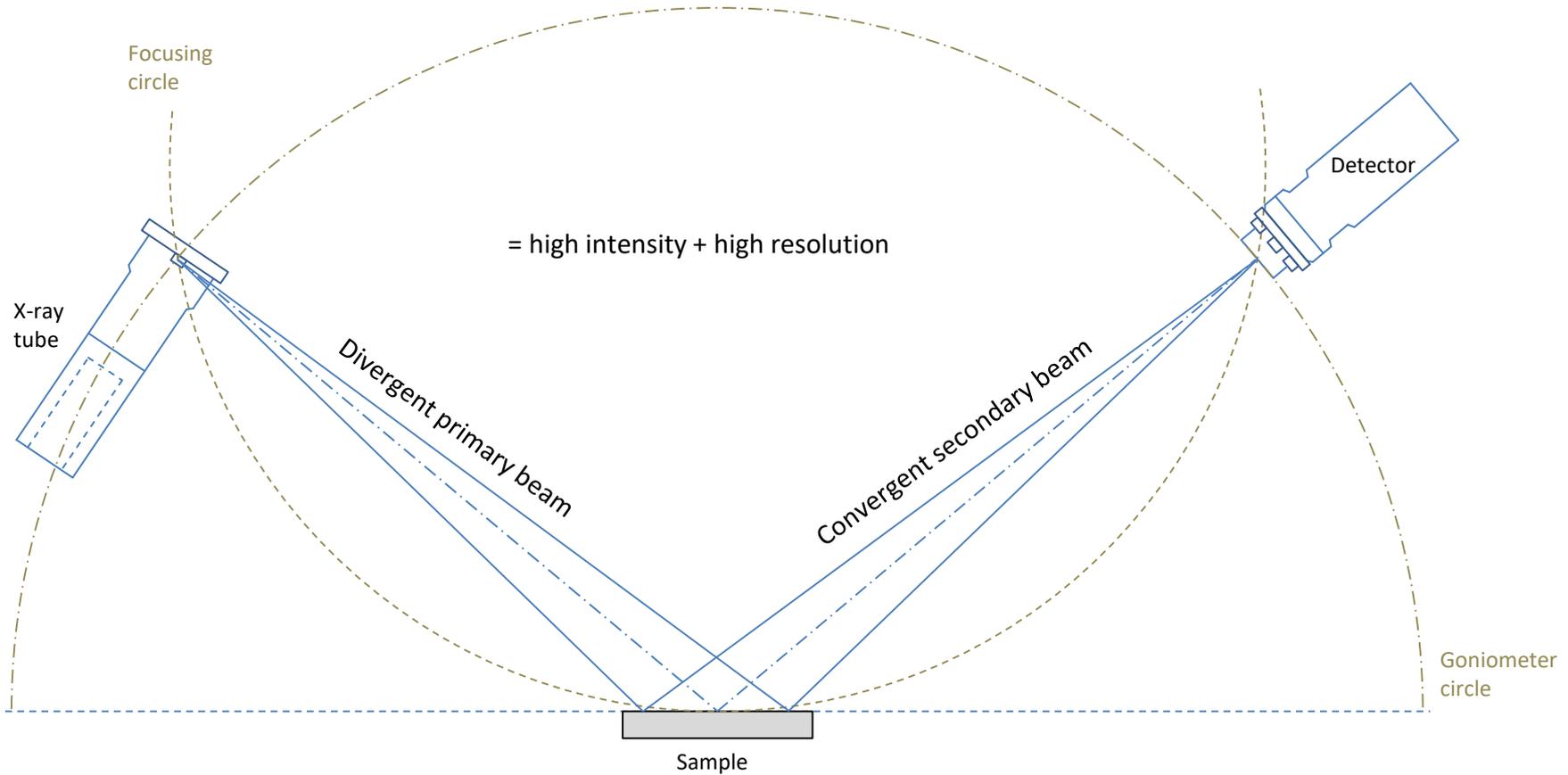


Reflective Geometry is ideal for:

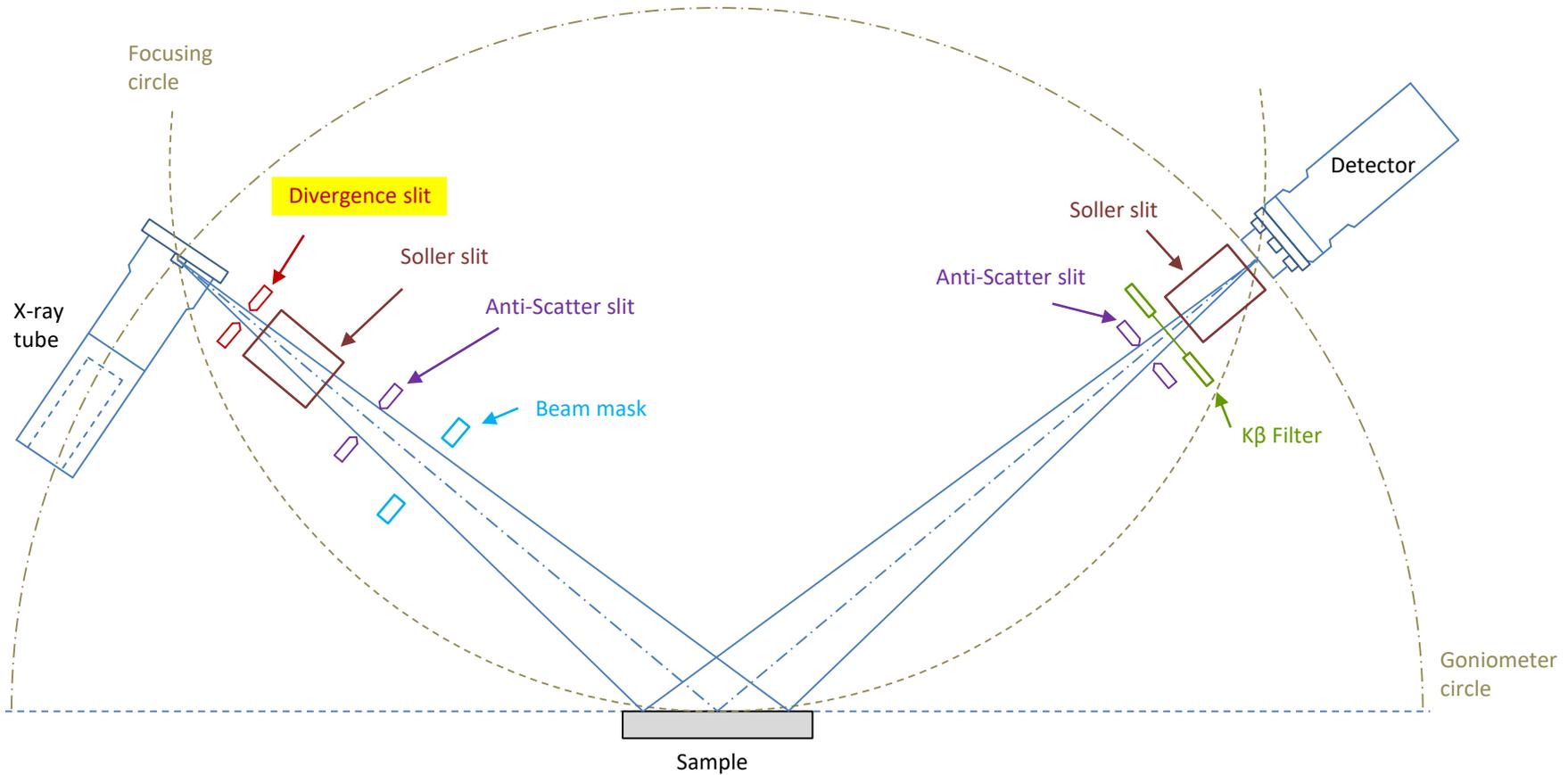
- Absorbing materials (Minerals, Ceramics, Metals)
- Thin films
- Texture analysis

Use characteristic radiation with **high** absorption coefficient (e.g.  $\text{CuK}\alpha$ )

# Instrument Configurations: Bragg-Brentano Parafofocusing Geometry

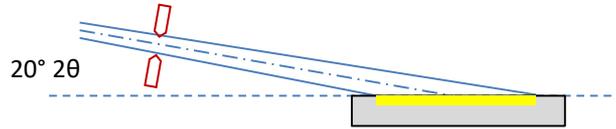


# Instrument Configurations: Bragg-Brentano Parafofocusing Geometry

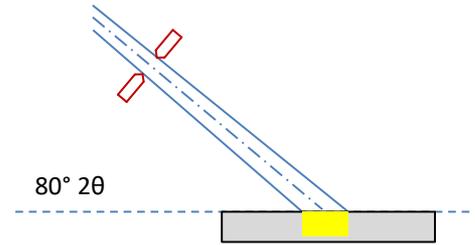


# Instrument Configurations: Divergence Slit

Fixed divergence slit:



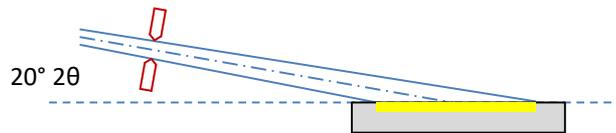
- Low incident angle:
- Low penetration depth
  - Large illuminated area



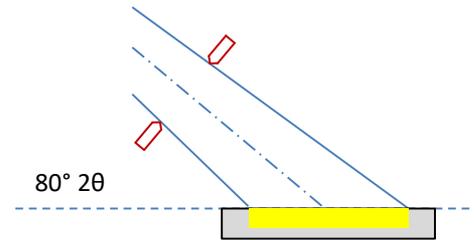
- High incident angle:
- Deep penetration depth
  - Small illuminated area

Irradiated **Volume** is constant  
Constant intensity of  
diffraction pattern

Variable divergence slit:



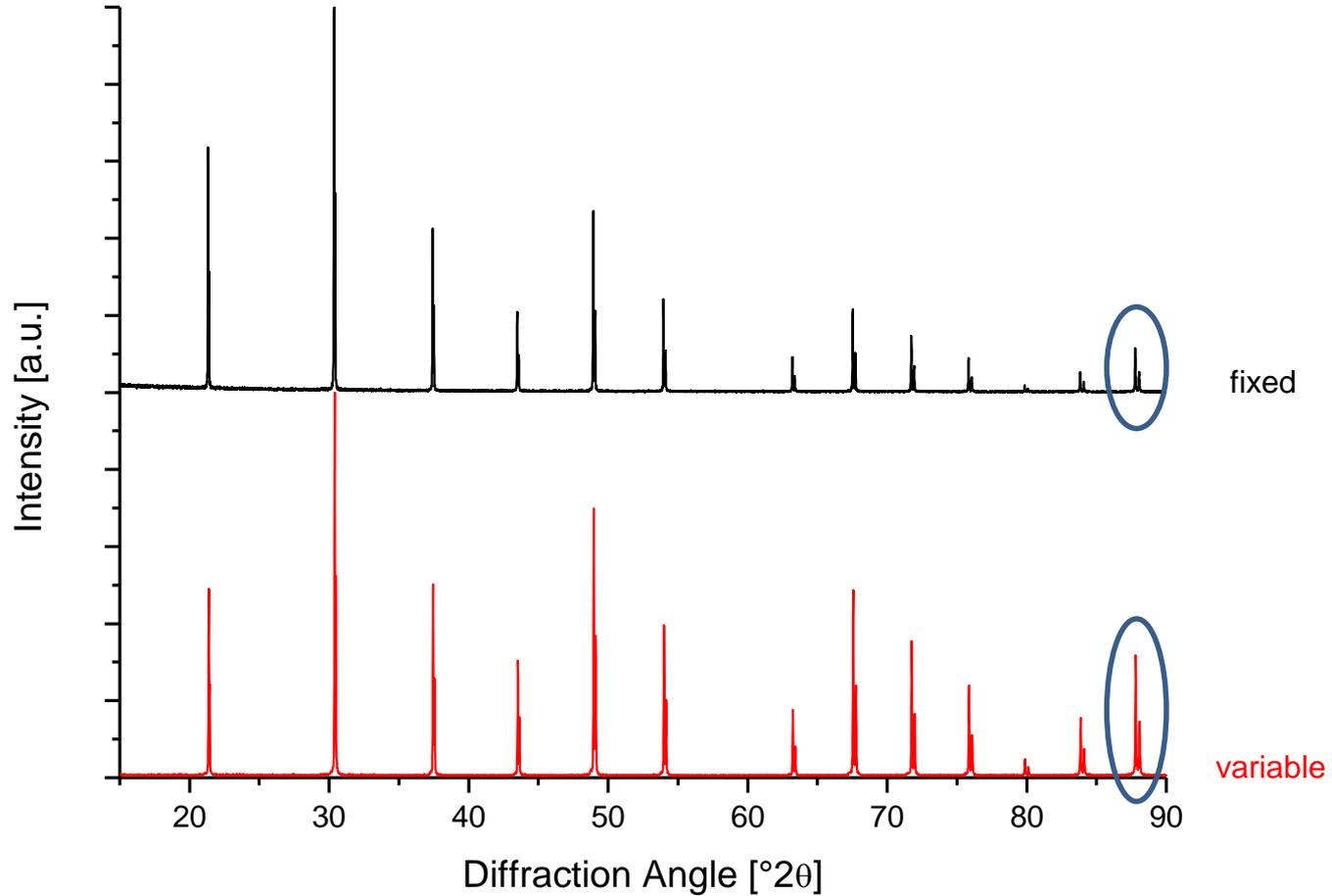
- Low incident angle:
- Narrow divergence slit
  - Low penetration depth



- High incident angle:
- Wide divergence slit
  - Deep penetration depth

Irradiated **Area** is constant  
Higher diffracted intensity  
at high 2θ angle

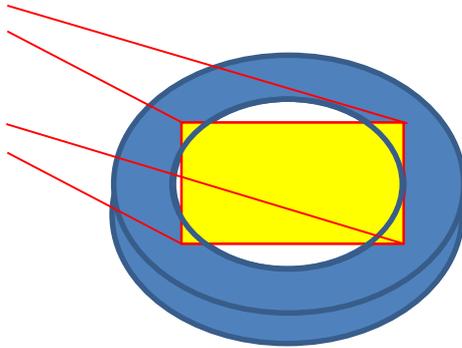
# Instrument Configurations: Divergence Slit



# Instrument Configurations: Optimum Setup

Wrong!

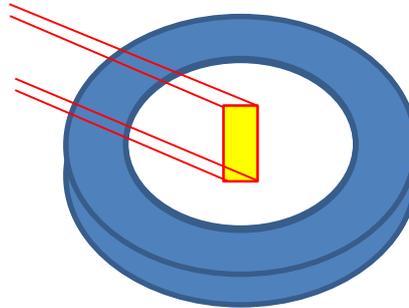
Beam overflow!  
Generates signal from  
sample holder material



Reduce «irradiated length»  
or angle of divergence slit

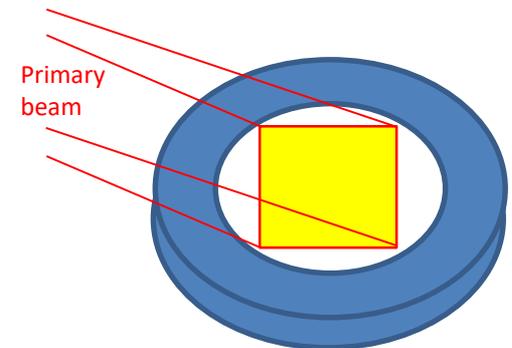
Wrong!

Waste of intensity



Adjust divergence slit (and beam mask)  
to maximize irradiated length

Correct!

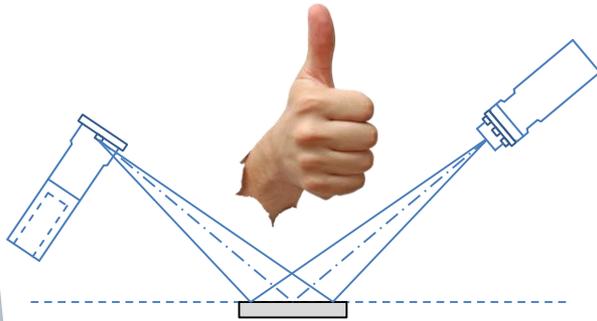


# Instrument Configurations: Setup Checklist

	Optical Element	Ideal setup
Incident beam path	 Divergence Slit	Automatic Max irr. length w/o beam overflow
	 Soller Slit	Installed Small opening
	 Mask	Installed (if available) Max irr. width w/o beam overflow
	 Anti-scatter slit	Identical to divergence slit
Diffracted beam path	 Sample	Spinning
	 Anti-scatter slit	Wide open
	 Soller slit	Installed Small opening
	 Additional slits	Wide open
	 K $\beta$ filter	Installed
	 Linear Detector	Maximum PSD opening

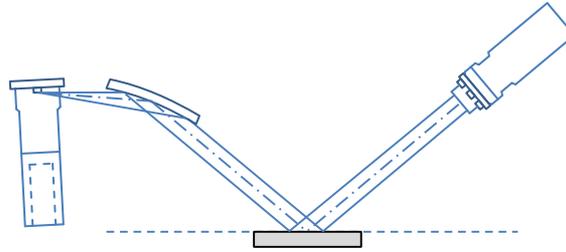
# Instrument Configurations: Different Geometries

## Bragg-Brentano Parafocusing



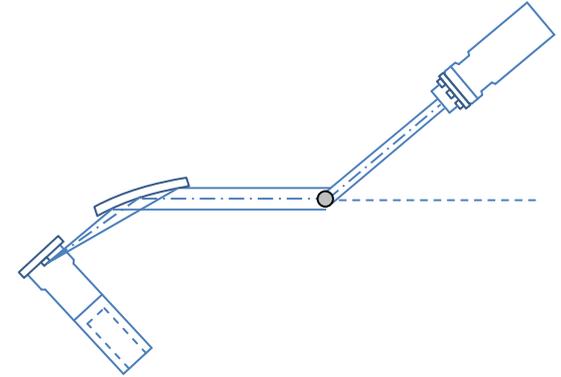
- + **Ideal for Rietveld Refinement** (phase and structure analysis)
- + High intensity
- + High resolution
- + Good particle statistics
- Large amount of sample material (> 0.5g)

## Parallel Beam Reflective



- **Not for Rietveld Refinement**
- Poor peak resolution
- + For other applications (e.g. GI-XRD)

## Debye-Scherrer Parallel / Focusing Beam



- 0 **Works for Rietveld Refinement** (but not ideal)
- + Small amount of sample material
- Poor particle statistics
- Sample-dependent peak profile (due to absorption)

# Instrument Configurations: More Information

More detailed discussion and examples in previous workshops:

The grid contains 37 slides, each with a number in the bottom-left corner and a star icon in the bottom-right corner. The slides cover the following topics:

- 1. Lesson 2: Diffractometers
- 2. Repetition: Generation of X-rays / Diffraction
- 3. Repetition: Generation of X-rays
- 4. Repetition: Powder Diffraction
- 5. Repetition: Powder Diffractometer
- 6. Analogous Curves
- 7. Digital Diffractometers
- 8. Stripp-Strickens Paraflexing Diffractometer
- 9. Instruments
- 10. Stripp-Strickens Diffractometer
- 11. Stripp-Strickens Paraflexing Diffractometer
- 12. Stripp-Strickens Paraflexing Diffractometer
- 13. Beam Overlap
- 14. Instrument Configuration
- 15. Optimum Settings: Divergence slit
- 16. Optimum Settings: Divergence slit
- 17. Fixed vs. Variable Divergence Slit
- 18. Divergence Slit: Irradiated Length
- 19. Optimum Settings: Divergence Slit
- 20. Beam Mask
- 21. Optimum Settings: Divergence Slit
- 22. Soller Slits / Collimators
- 23. Receiving Slit / Detector Slit
- 24. Summary: Beamcollimability
- 25. Summary: Optical Elements
- 26. Stripp-Strickens Paraflexing Diffractometer
- 27. Detection
- 28. Instruments
- 29. Measurement parameters
- 30. Angular Range
- 31. Angular Range
- 32. Angular Range
- 33. Step Size
- 34. Lines per Step
- 35. Example
- 36. Data Quality Checklist
- 37. Data Quality Checklist



# Profex

Open Source XRD and Rietveld Refinement

Current Version: Profex 5.2.5 - Released December 29, 2023

[Download](#)

[HOME](#)

[WHAT'S NEW](#)

[DOWNLOADS](#) ▾

[LECTURE HANDOUTS](#)

[FAQ](#)

[TUTORIALS](#) ▾

[USEFUL LINKS](#)

[CONTACT](#)

[SUPPORT](#)

## June 2018

- [Lesson 1: Rietveld Refinement](#) (4.2 MB)
- [Lesson 2: Instrument Configurations](#) (2.7 MB)
- [Lesson 3: Instrument Example](#) (1.0 MB)
- [Lesson 4: Structure Files](#) (2.3 MB)
- [Lesson 5: Advanced Refinements and Features](#) (3.2 MB)

## June 2017

- [Lesson 1: X-rays and Diffraction](#) (3.8 MB)
- [Lesson 2: Diffractometers](#) (2.1 MB)
- [Lesson 3: Sample Preparation](#) (2.7 MB)
- [Lesson 4: Phase Identification](#) (2.2 MB)
- [Lesson 5: Rietveld Refinement](#) (2.2 MB)
- [Lesson 6: Profex](#) (5.1 MB)
- [Lesson 7: How-To Session](#) (4.1 MB)
- [Lesson 8: Structure and Device Files](#) (3.6 MB)
- [Lesson 9: Publishing XRD Data](#) (4.0 MB)

[Examples 2017-06](#) (1.0 MB)