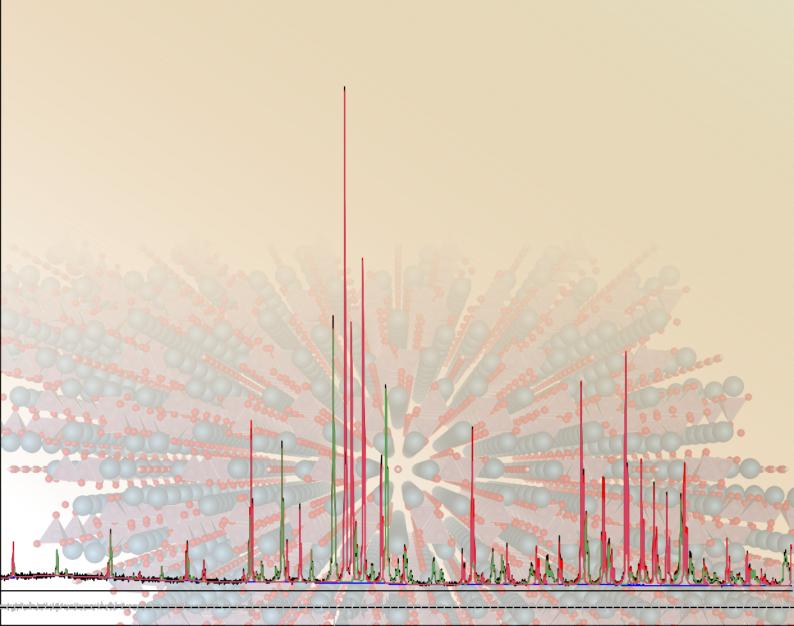


# **Profex User Manual**

Version 4.0

Part 2: Using Profex

**Nicola Döbelin** August 20, 2019



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Part 2: Using Profex Version 4.0

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# Introduction

Profex is a graphical user interface for Rietveld refinement of powder X-ray diffraction data with the program BGMN [1]. It provides a large number of convenient features and facilitates the use of the BGMN Rietveld backend in many ways. Some of the program's key features include:

- Support for a variety of raw data formats, including all major instrument manufacturers (Bruker / Siemens, PANalytical / Philips, Rigaku, Seifert / GE, and generic text formats)
- Export of diffraction patterns to various text formats (ASCII, Gnuplot scripts, Fityk scripts), pixel graphics (PNG), and vector graphics (SVG)
- Batch conversion of raw data scans
- Automatic control file creation and output file name management
- Conversion of CIF and ICDD PDF-4+ XML structure files to BGMN structure files
- Internal database for crystal structure files, instrument configuration files, and predefined refinement presets.
- Computation of chemical composition from refined crystal structures
- Batch refinement
- Export of refinement results to spread sheet files (CSV format)
- Context help for BGMN variables
- Syntax highlighting
- Enhanced text editors for structure and control file management and editing
- Generic support for FullProf.2k [2] as an alternative Rietveld backend to BGMN.
- And many more...

Profex runs on Windows, Linux, and Mac OS X operating systems and is available as free software licensed under the GNU General Public License (GPL) version 2 or any later version. The latest version of the program can be downloaded from [3]. This website provides the Profex source code, bundles of Profex and BGMN for easy installation on Windows and Mac OS X (requiring zero configuration), and a default set of crystal structure and instrument configuration files.

# 1 Search-Match

Before XRD datasets can be processed with Rietveld refinement, the crystalline phases (at least the main phases) must be identified. This is usually done by extracting the  $2\theta$  positions of the measured peaks and comparing them to peak positions of all known phases stored in a database. Phases with peak positions matching the observed ones are added to the refinement project. This is called a search-match process.

Profex implements a different approach to phase identification. Thanks to fast personal computers and optimized refinement algorithms, it is now feasible to use Rietveld refinement to identify phases. The algorithm implemented in Profex is similar to the full-profile search-match method described by Lutterotti *et al.* [4]. Each phase from a predefined set of phases is individually refined to the measured dataset with a strongly restricted refinement strategy. A figure of merit (FoM) is then computed and used to score the phases. After the first cycle, the user can pin matching phases and repeat the phase matching until no more matching phases are found. A refinement project can be created from the pinned phases by clicking the Add-Remove-Phase dialog. All pinned phases will be pre-selected (Fig. 1).

Unlike other search-match software, Profex only searches phases in the internal structure database. It is not possible to search 3rd party databases such as the COD or ICDD PDF databases. If no matching phases are found in the internal database, a different program able to access larger databases can be used.

# 1.1 Figure of Merit (FoM)

The FoM used to score the phases is in principle similar to the equation proposed in [4]. It differs in the following points:

- **Density** Instead of comparing the initial density with the refined one, Profex compares initial unit cell parameters with refined ones.
- Weight fraction Instead of the refined weight fraction, Profex uses the raw value of GEWICHT.
- **Crystallite size and micro-strain** Instead of crystallite sizes and micro-strain, Profex uses the raw parameters B1 and k2.

The following equation is used to compute FoM:

$$FoM = \left(\frac{1}{R_{wp} + a \cdot \Delta U} + b \cdot GEWICHT\right) \cdot \left(1 + \frac{c}{B1} + d \cdot k2\right)^{-1}$$
$$\Delta U = 100 \cdot \left(\frac{|A_r - A_0|}{A_0} + \frac{|B_r - B_0|}{B_0} + \frac{|C_r - C_0|}{C_0}\right)$$

rch/Match Phases		Search/Match Phases			Search/Match Phases		
atabase Controls Results		Database Controls Res	sults		Database Controls Result	s	
) Favorites	13	Instrument configuration	d2-ssd160-fds-1	~	Score List		
Directories	740	Characteristic Radiation	cu		File	Fraction	FoM
Repository	Number of pha		cu		Apatite-CO3-B.str	100.00	0.498267
/home/nic/Templates/BGMN-Templat	tes/Str 1	<ul> <li>Synchrotron Radiation</li> </ul>	0.0500000 nm		- Apatite-CO3-A.str	100.00	0.464355
	26	Number of Iterations	10		— Apatite-OH-Cu.str	100.00	0.453275
- Phosphate		Number of iterations	10	~	- Apatite-OH-m.str	100.00	0.426213
	73	Minimum Angle	14.00	0	Apatite-OH-m2.str	100.00	0.422856
Organic	12				Apatite-OH.str	100.00	0.422166
- 🗌 Minerals	75	Maximum Angle	60.00	0	- Apatite-F.str	100.00	0.410145
<ul> <li>MetalsAlloysOxides</li> </ul>	24	Allow anisotropic parame	ters		- CDHA.str	100.00	0.390742
Ceramics	100	Refine sample height dis	placement		— Apatite-F-Mn.str	100.00	0.379682
- Cement	11				- Apatite-Cl.str	100.00	0.378256
BGMN	414				— Apatite-O.str	100.00	0.338615
Alumina-Titania-Zirconia-Yttria	17				- Apatite-F-Sr.str	100.00	0.126254
					- OCP.str	100.00	0.066242
					— TCP-beta-Mg.str	100.00	0.054613
					- TCP-beta.str	100.00	0.049241
					- TCP-Cr0_29.str	100.00	0.043704
					TCP-Na0_29.str	100.00	0.043686
						*** **	
					Pinned Phases		
					File	Fraction	Source

Figure 1: The pages "Database", "Controls", and "Results" of the Search-Match dock widget.

 $A_0$ ,  $B_0$ , and  $C_0$  are the initial unit cell parameters, and  $A_r$ ,  $B_r$ , and  $C_r$  are the refined ones. If  $B_0$  and  $B_r$  or  $C_0$  and  $C_r$  are not given in the structure files, for example in high-symmetry structures, they are set to their equivalents of A. Parameters a, b, c, d are weighing coefficients to control the influence of the penalty functions for aberrations in cell parameters, small crystallites, and high micro-strain.

*a*, *b*, *c*, *d*, as well as thresholds for *c* and *d* can be set in ,,Edit  $\rightarrow$  Preferences  $\rightarrow$  BGMN  $\rightarrow$  Search-Match". Thresholds for *c* and *d* are defined as follows:

c = 0	if	$B1_r < B1_{th}$
d = 0	if	$k2_r < k2_{th}$

 $B1_r$  and  $k2_r$  are refined values, and  $B1_{th}$  and  $k2_{th}$  are threshold values also set in the preferences.

#### 1.2 Elimination of duplicates

Once one or more phases have been pinned, the search-match algorithm tries to identify duplicates of the pinned phases and skip them in the next search-match run. This strongly improves matching of weak phases, because duplicates of strong pinned phases would always be found at the top of the score list. By skipping duplicates the chances for unidentified weak phases to appear at the top of the score list improve drastically.

Phase B is considere a duplicate of phase A if all of the following criteria are fulfilled:

1. The international tables space group number of B is identical to A.

- 2. The Hermann-Mauguin symbol of B is identical to A.
- 3. None of the unit cell parameters of B differs from A more than a specified value (in nm).
- 4. None of the unit cell angles of B differs from A more than a specified value (in  $^{\circ}$ ).

The thresholds for unit cell parameters and angles can be customized in the preferences (see part 3 of the user manual). Parameters given by the symmetry are not used for duplicate identification. For example in a cubic structure only the cell parameter *a* is used, because *b*, *c*,  $\alpha$ ,  $\beta$ , and  $\gamma$  are given by the symmetry. In a triclinic structure all six parameters are compared to identify duplicates.

# 1.3 Performance optimizations

Matching large numbers of phases by refining each of them to the measured dataset is very computation intensive. The first and most important measure to speed up the search-match process is to define a list of favorite phases and only search in the favorites subset of the database. Setting favorites is described in section 18.

The process can further be accelerated by limiting the maximum number of iterations and angular range. These and more parameters can be set in the "Controls" tab of the "Search-Match" widget:

- **ITMAX** Sets the maximum number of refinement iterations for each cycle. A value of 20 is recommended. Lower values may abort the refinement before it converges, which may impair the phase scoring. Higher values lead to excessively long processing time.
- **Set WMIN** Clips measured data below a  $2\theta$  angle of WMIN. Set the value just below the first visible peak to speed up the refinement.
- **Set WMAX** Clips measured data beyond a  $2\theta$  angle of WMAX. Setting it to a value below the upper end of the measured range speeds up the refinement. However, do not exclude important peaks.
- **Allow anisotropic parameters** Normally the BGMN parameter ONLYISO is set to Y to only allow isotropic refinement. This not only speeds up the search-match process, but it also improves the score. Anisotropic refinement may lead to a lot of false positive matches. However, for the final cycles, when only trace phases are left to identify, allowing anisotropic refinement may improve the score of matching phases.
- **Refine sample height displacement** This parameter has no influence on the performance. However, it affects the score of matching phases. If no sample height displacement is present, now refining EPS2 improves the score of matching phases and reduces false positives, because the penalty function of aberrations in unit cell parameters has a stronger influence.

#### 1.4 Step-by-step: Identifying phases in a new dataset

First cycle:

- 1. Load the raw data file.
- 2. Go to the "Search-Match" dialog (if closed: Window  $\rightarrow$  Search-Match).
- 3. In the tab "Database" select in which database to search.
- 4. In the tab "Instrument" select the instrument configuration and radiation used to measure the sample.
- 5. In the tab "Refinement" set ITMAX to 20, WMIN to below the first visible peak, uncheck "Set WMAX".
- 6. Leave "Allow anisotropic parameters" and "refine sample height displacement" unchecked.
- 7. Leave the settings in the "Scoring" tab unchanged.
- 8. Start the search-match process by clicking ",Run  $\rightarrow$  Search-Match".

After the first cycle, check the score list for matching phases:

- 1. Click on the best matching phase (highest FoM) to display the *hkl* lines.
- 2. If the *hkl* lines fit, check the phase by clicking the checkbox in front of the file name.
- 3. Click the  $\downarrow$  button to pin the checked phase.
- 4. Repeat the search-match process as described above.

Repeat the second part until all peaks are assigned to a phase. Then open the "Add-Remove Phase" dialog (section 3.2) to create a refinement project. The pinned phases will be pre-selected. Proceed with the Rietveld refinement.

#### 1.5 Step-by-step: Identifying phases in an existing project

If a refinement project has already been created but additional peaks are observed, proceed as follows:

- 1. Go to the "Search-Match" dialog (if closed: Window  $\rightarrow$  Search-Match).
- 2. In the tab "Database" select in which database to search.
- 3. Check the settings in all other tabs as described in the previous section.
- 4. Start the search-match process by clicking ",Run  $\rightarrow$  Search-Match".

The phases already found in the refinement project are immediately added as pinned phases to only search the residual signal. The fit during search-matching may look worse than during the Rietveld refinement before. This is due to the control file being bypassed during the phase matching. Once the normal Rietveld refinement continues, the control file will be effective again.

# 2 Refinement Projects

The BGMN refinement backend requires several text or binary input files to start a refinement, and it generates more text output files after completing the refinement. In Profex' terminology, all files belonging to a refinement constitute a "refinement project", or just "project". Projects typically include a raw data scan file, a refinement control file (\*.sav), instrument configuration files, and crystal structure files (\*.str). After the refinement, BGMN will have generated several results files, of which the refined profile (\*.dia) and list file (\*.lst) are the most important ones. It is strongly recommended to use the same base name for the raw scan file, refinement control file, refined profile file, and list file. When following the workflow in section 3, correct file names will be generated automatically. More information about project file structure, specifically about projects sharing the same structure and device files, is given in section 4.1.

Important: BGMN requires an instrument configuration file (device file) precisely describing the instrument setup used to acquire the raw dataset. If the device file of a different instrument is used for the refinement, or if it does not precisely describe the configuration used, the following problems will occur during the refinement:

- BGMN will not be able to fit the peak shapes correctly. The fit will converge with a high  $\chi^2$  value and major differences between the observed and calculated scans will remain.
- Some refined parameters will be wrong. Typically the refined crystallite size (parameter B1), micro-strain (parameter k2), and atomic parameters (x, y, z, TDS) will be biased. Unit cell parameters and phase quantities will be affected to a lesser degree, but will also be biased if major discrepancies between the used instrument configuration and the device file exist.

Section 7 describes how to create device files. Note that any change to the instrument setup will require a new device file (e.g. changing the divergence slit setting or swapping soller slits).

# **3 Standard Refinement**

When Profex and BGMN have been installed and configured correctly as described in part 1 of the user manual, and at least one device configuration and structure file has been stored in the databases, the program is ready for a first refinement. Remember that a device file precisely describing the instrument configuration used to measure the dataset is required. If no such device file is available, it is recommended to start with one of the tutorials available on the Profex website [3] to become familiar with Profex and BGMN, as they include the correct device files.

The following listing shows a step-by-step workflow for a standard refinement. The individual steps will be discussed in more detail in the next sections.

- 1. Click ",File  $\rightarrow$  Open Graph...", or alternatively press Ctrl+G or the corresponding button in the main tool bar.
- 2. In the opening file dialog set the file format at the bottom to the format of your raw scan file, and open the file. See Tab. 1 for supported file formats.
- 3. The scan will be loaded as a new project.<sup>1</sup>
- 4. Double click on the strongest peak or select a reference structure from the reference structure dropdown menu to identify your main phase.
- 5. Click ",Edit  $\rightarrow$  Add / Remove Phase...", or press F8 or the corresponding button in the project tool bar create a control file. The dialog shown in Fig. 2 will be shown.
- 6. Select your instrument configuration from the dropdown menu, your phase from the structures list (it may be pre-selected), and verify that the option "Generate default control file" is active. Then click "OK".
- 7. Click ,,Run → Run Refinement...", press F9, or click the corresponding button in the refinement tool bar to start the refinement.
- 8. Wait for the refinement to complete.
- 9. If more phases are present, repeat steps 4–7, but make sure the option "Generate default control file" is not active anymore.
- 10. If the refinement is complete, click "File  $\rightarrow$  Export Global Parameters and GOALs", or press Ctrl+E or the corresponding button in the project tool bar to export the results to a CSV file.
- 11. Optionally, also click "File → Export Local Parameters and GOALs", or press Shift+Ctrl+E or the corresponding button in the project tool bar to export the local results to a CSV file. This file can be opened in a spreadsheet program such as Microsoft Excel, LibreOffice Calc, or Softmaker PlanMaker for further evaluation of the results.

<sup>&</sup>lt;sup>1</sup>At the first start of the program, all reference structures in the structure database directory will be indexed. Depending on the number of files and the speed of the computer, this may take up to several minutes. A progress dialog is shown while indexing is in progress. Wait for the process to complete.

The steps of opening a scan file, creating a refinement project by adding structure files, and exporting results are described in more detail in the following sections.

# 3.1 Opening and Closing Scan Files

A refinement usually starts by opening a raw data file. In Profex' terminology these files are either called "Graph files" or "Scan files", whereas one file contains one or several "Scans". Profex supports a large number of file formats (Tab. 1), however, the level of support depends on the availability of documentation for the file format specification. If a format is not supported, or support is broken, other software such as PowDLL [10] is required to convert the scan to a format supported by Profex.

Scan files can be opened in various ways:

"File → Open Raw Scan File..." menu or toolbar (Ctrl+G): This will open a file dialog to select one or more graph files. The correct file format must be selected. Important: Several instrument suppliers use the same file extension (\*.raw) for different proprietary file formats. It is important to select the correct format, else Profex will show an error message. For example, a raw file measured on a Bruker instrument cannot be opened with the file format set to Rigaku or Stoe raw file.

If more than one file is selected, each file will be opened as a separate refinement project.

- "File → Insert Scans…" menu or toolbar (Ctrl+l): Similar to the "Open Raw Scan File…" function above, but instead of creating a new refinement project for each file, all scans will be inserted into one project. If a project is already open, new scans will be inserted into the existing project.
- **Drag and Drop:** Dragging one or several graph files from a file browser and dropping them on the Profex main window will open them in new refinement projects.
- **Ctrl + Drag and Drop:** Holding the Ctrl key while dropping one or more scan files will insert them into the open project. If no project is open, a new one will be created from the first dropped scan file, and all consecutive scans will be inserted in the new project.

Once the graph file is loaded, Profex will detect whether or not the file is part of a refinement project. If yes, the control and list files will be loaded automatically. If not, a refinement control file has to be created for the project in order to run the refinement (section 3.2).

Text files can be opened in text editors using "File  $\rightarrow$  Open Text File...". Profex will check if a project with the same base name is already open. If yes, the text file will be opened in a new tab of the project. This is convenient to open various output files (\*.lst, \*.par), as they are part of the project. If no matching project was found, the text file will be opened in a new project. One exception to this rule are structure files (\*.str). They belong to a refinement project with a different base name. Therefore, structure files are always opened as new tabs in the current project. Only if no project is loaded, opening a structure file will create a new empty project.

Manufacturer	Extension	File format	Version	LoS
Bruker	*.raw	Binary	V1, V2, V3, V4	А
Bruker	*.brml	XML	Compressed archive	А
Bruker	*.brml	XML	Single XML file with XML data container	А
Bruker	*.brml	XML	Single XML file with binary data container	А
PANalytical	*.xrdml	XML	1.0 - 1.5	А
Philips	*.rd	Binary	-	С
Philips	*.udf	ASCII	-	С
Seifert/FPM	*.val	ASCII	-	В
Rigaku	*.bin	Binary	-	С
Rigaku	*.dat, *.rig, *.dif	ASCII	-	С
Rigaku	*.raw	Binary	-	С
MDI Jade	*.xml	XML	-	С
MDI Jade	*.dif	ASCII	-	С
STOE	*.pro	ASCII	-	С
STOE	*.raw	Binary	-	С
Thermo Fisher	*.raw	Binary	-	С
Generic	*.xy	ASCII	Field separators: ; : , space tab Comment signs: ! % & #	А
BGMN	*.dia	ASCII	-	А
Fullprof.2k	*.prf	ASCII	Only PRF=3	А
Fullprof.2k	*.dat	ASCII	Only INS=10	А
GSAS	*.fxy, *.fxye	ASCII	GSAS Standard Powder File	А
pdCIF	*.cif	ASCII	Supported tags:	А
			_pd_meas_2theta_scan	
			_pd_proc_2theta_corrected	
			_pd_meas_counts_*	
			_pd_proc_counts_*	
			_pd_calc_intensity_*	
			_pd_meas_intensity_*	
			_pd_proc_intensity_*	

Table 1: Data file formats supported for import by Profex. Level of support (LoS): A = full support based on the file format specification, including multi-range files; B = good support, reverse-engineered; C = basic support, reverse engineered.

Individual files can be closed by clicking on the "Close Tab" symbol on the tab bar. Structure files can also be closed by clicking "Project  $\rightarrow$  Close all Project STR files".

The current project can be closed by "File  $\rightarrow$  Close Project" (Ctrl+W). If more than one project is selected in the Projects dock window, all selected projects will be closed. To close all open projects at once, click "File  $\rightarrow$  Close all projects".

# 3.2 Adding and Removing Structure Files

One of Profex' central components is the "Add / Remove Phase" dialog (Fig. 2). It is accessed by clicking "Project  $\rightarrow$  Add / Remove Phase...", or pressing F8 or the corresponding button on the project tool bar. This dialog is used to easily create or modify the refinement control file. It will automatically add a STRUC[n] reference and related GOALs for phase quantification to the control file, and copy the selected STR files from the structure file database to the working directory (Fig. 4). The individual elements of this dialog are described in detail below.

- **Generate default control file:** If this option is checked, Profex will generate a default control file for the selected instrument configuration. If a template file is found, it will be used to create the control file. Else Profex will present a dialog asking for basic information about the instrument configuration (Fig. 3). If the option is active, an existing control file will be overwritten. The default state of this option depends on the presence of a previous control file:
  - If a control file was found, this function will be unchecked. Manually activating the option will overwrite the existing control file with a newly created default file.
  - If no control file was found, this function will be active.
- **Instrument configurations:** This dropdown menu lists all instrument configurations found in the device database directory.
- **Add phases:** This list shows all structure files found in the structure file database directories. Files stored in a sub-directory will be shown in a tree structure that can be collapsed or expanded. Clicking on the header of the table ("File Name", "Phase", or "Comment") will sort the table in ascending or descending order of the clicked column. Structures selected in the first column will be added to the control file. If a reference structure is shown on the main graph, it will be pre-selected in this dialog.

The structure file list can be filtered by entering a search string in the filter line. The filter is applied instantly. Clear the line to display all structure files. The filter options menu allows to configure whether or not filtering will be case-sensitive, whether or not the search string will be interpreted as a regular expression pattern, and in which columns the filter string will be searched for.

If using regular expressions is not selected, only the wildcard characters "," and "?" are evaluated. Activating regular expressions allows to make use of powerful regular expression patterns for filtering. Tutorials and documentation on regular expressions can be found on the internet.

- **Expand/Collapse:** If structure files are organized in sub-directories in the structure database directory, they will be organized in a tree structure in this dialog. Clicking "Expand/Collapse" will expand or collapse all items in the tree structure for easy navigation.
- **Overwrite existing files:** If a selected structure file is already present in the project directory, it will normally not be overwritten. This preserves customized files. Checking this option will force overwriting of existing files. Use this option with care, as other projects accessing the same structure files will be affected, too.
- **Favorites:** If checked, only the phases flagged as favorites in the 4<sup>th</sup> column are displayed.
- **Remove Phases:** The phases listed here are currently referenced in the control file. Check the ones that shall be removed from the refinement.
- **Delete Files:** If this option is checked, the removed file will also be deleted from the hard disk in the project directory. This may cause problems with other projects residing in the same directory and referencing the same structure file. It is recommended to use this option with care.

If the control file contains multiple references to the same structure file, only the first occurrence will be removed. In that case, the option "Delete STR Files" will be ignored until the last reference to this file was removed from the control file.

Note that only copies of structure files in the local project directory will be deleted. The structure file database directory will remain unchanged.

The "Add / Remove Phase" dialog will not allow to add the same phase more than once to a control file. If a selected file is already referenced in the control file, it will be skipped by the dialog. If multiple entries of the same structure file are requested, the control file has to be modified by hand.

After clicking "OK" to apply the configuration, Profex will copy the structure and device files to the project directory, and create or modify the refinement control file as shwon in Fig. 4.

Generate defau	lt control file for instru	ument configuration:	RMS-D8-ADS-15-LynxEyeXE	
+ Add Phases	— RemovePhases			
Filter:				× •
File Name				
<ul> <li>/home/doebe</li> </ul>	linn/BGMN-Templates	-190711-MacLinux/Str	ructures	
	itania-Zirconia-Yttria			
amorp	hPeak.str			
BGMN				
<ul> <li>Cement</li> </ul>				
Ceramics				
Minerals				
Organic				
<ul> <li>Phosphate</li> </ul>				
	04-1Hydrate.str			
	04.str			
	tite-Cl.str			
	tite-CO3-A.str			
	tite-CO3-B.str			
	tite-F-Mn.str			
Δpa	tite-F-Sr str			•
Overwrite exi	sting files			$\heartsuit$

Figure 2: The "Add / Remove Phase" dialog is used to create a new project. If a project already exists, it is used to append more phases, or remove phases from the project.

Instrument	Template 🔶 🛧 🗆 🗙
Wavelength distribution file	cu v
Filter	• Kbeta filter
	<ul> <li>Energy-dispersive detector</li> </ul>
	<ul> <li>Monochromator</li> </ul>
Monochromator Angle	26.60° theta
	<u>ерск</u> <u>К</u> ancel

Figure 3: If no instrument template file is found, a dialog will ask for basic information on the radiation and monochromatization.

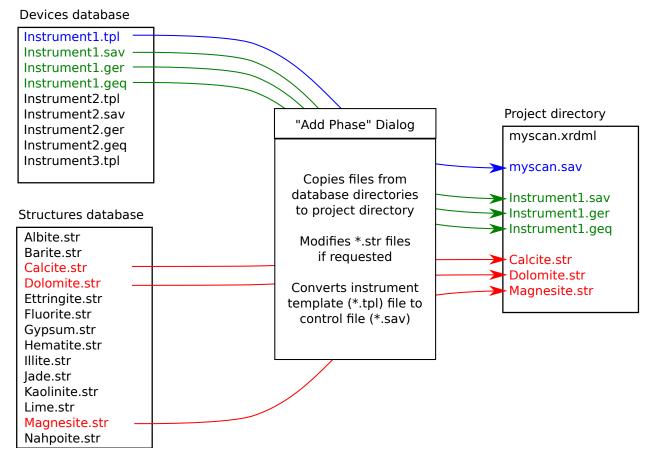


Figure 4: The "Add / Remove Phase" dialog will copy structure files and device files from the database directories to the project directory. Files already existing in the project directory will not be overwritten, unless the option is checked manually in the "Add / Remove Phase" dialog.

#### 3.3 Exporting data

#### 3.3.1 Refinement results

**CSV tables** At the end of a refinement, Profex shows a summary of global parameters and GOALs, refined local parameters, and the refined chemical composition in the summary dockable windows. These values are read from the LST output file. Local parameters can be customized in the preferences as described in part 3 of the user manual. Profex allows to easily export the global and local refined parameters of all open projects to spreadsheet files:

- "Results → Export Global Paramters and GOALs" will write the global parameters and goals of all open projects to a CSV file.
- "Results → Export Local Paramters" will write the local parameters of all open projects to a CSV file.
- "**Results** → **Export refined chemical composition**" will export the chemical composition (see section 12) to a CSV file.

The exported files are text files containing semicolon-separated fields. Open the file in a spreadsheet program and specify the semicolon character as a field separator. Since the exported files also contain the source file name, using the spreadsheet program's sorting function allows easy sorting and statistical evaluation of the results. The following listing shows what the exported CSV file with global parameters and goals looks like when opened in a text editor. Table 2 shows how the same data looks after importing and sorting in a spreadsheet program.

```
File;Sample;Parameter / Goal;Value;ESD
<path>/scan1.lst;scan1;alphaTCP/sum;0.0311;0.0023
<path>/scan1.lst;scan1;hap/sum;0.9689;0.0023
<path>/scan2.lst;scan2;alphaTCP/sum;0.0161;0.0022
<path>/scan2.lst;scan2;hap/sum;0.9839;0.0022
<path>/scan3.lst;scan3;alphaTCP/sum;0.0147;0.0024
<path>/scan3.lst;scan3;hap/sum;0.9853;0.0024
```

#### 3.3.2 Graphs

Scans shown in the plot area can be exported to various formats. Depending on the export format, some information may get lost if it is not supported by the output format. Scans can be exported as follows:

- 1. Make sure the graph to be exported is shown by selecting the project and showing the *"*Graph" tab.
- 2. Select "File  $\rightarrow$  Save File As...".

File	Sample	Parameter / Goal	Value	ESD
<path>/scan1.lst</path>	scan1	alphaTCP/sum	0.0311	0.0023
<path>/scan2.lst</path>	scan2	alphaTCP/sum	0.0161	0.0022
<path>/scan3.lst</path>	scan3	alphaTCP/sum	0.0147	0.0024
<path>/scan1.lst</path>	scan1	hap/sum	0.9689	0.0023
<pre><path>/scan2.lst</path></pre>	scan2	hap/sum	0.9839	0.0022
<pre><path>/scan3.lst</path></pre>	scan3	hap/sum	0.9853	0.0024

Table 2: Global parameters and GOALS exported from Profex and sorted by the "Paramter / Goal" column. Sorted like this, mean values and standard deviations can easily be calculated.

- 3. Select the format for the export in the file format dropdown menu.
- 4. Specify the file name and save the file.

Profex exports some generic file formats, and some formats specific for other applications. The generic formats are useful for most users interested in further data processing or creation of figures. They will be discussed in further detail in the following paragraphs.

**Pixel image (PNG)** Pixel image export will write the file to a Portable Network Graphics (PNG) image. A dialog will open to ask for the output size in pixels. PNG uses lossless compression, therefore relatively small files free of compression artifacts will be created.

If the line width or font size is too small, it will have to be changed prior to the export in the graph preferences (see part 3 of the user manual). Use "display line width" to change the export line width.

All elements visible on screen will be exported, including *hkl* lines and the legend. Visibility of the scans will be considered, invisible scans will also be invisible on the exported file. The export will create a high-resolution image of the on-screen graph.

Pixel images can be edited in any photo editing software, such as Adobe Photoshop, GIMP, Paintshop Pro, Corel PhotoPaint, and others.

**Gnuplot (GPL)** Gnuplot [11] is a powerful cross-platform graphing utility able to create quality graphs in various formats. Scans exported as Gnuplot files are saved as Gnuplot scripts with inline data. The graphs are a fairly accurate but not perfectly identical representation of the plot as displayed in Profex. It includes *hkl* indices, a legend, all selected scans, and it preserves scan colors and symbol styles. The output file may be edited to optimize the appearance of the Gnuplot graph or to change the output terminal. Gnuplot code created by Profex version 4.0.x was tested with Gnuplot versions 4.6 and 5.0.

On a system with a working Gnuplot installation, the following command entered in a terminal emulator will output the graph *"*scan.gpl" to the default terminal:

gnuplot -p scan.gpl

**Grace plots (AGR)** The displayed graph is exported to a file for the Grace plotting program [12]. All colors and *hkl* tick marks are preserved. Grace is described on wikipedia as follows:

Grace is a free WYSIWYG 2D graph plotting tool, for Unix-like operating systems. The package name stands for "GRaphing, Advanced Computation and Exploration of data." Grace uses the X Window System and Motif for its GUI. It has been ported to VMS, OS/2, and Windows 9\*/NT/2000/XP (on Cygwin). In 1996, Linux Journal described Xmgr (an early name for Grace) as one of the two most prominent graphing packages for Linux.

A modern incarnation of grace is available for all major platforms [13].

**Scalable vector graphics (SVG)** Scalable vector graphics (SVG) is a resolution-independent vector graphics format ideal for post-processing of graphs. All elements (scans, axes, *hkl* lines, text) are exported as editable paths or text elements, allowing to change fonts, sizes, places, colors, line widths, or add annotations easily in the exported file. It is the most powerful and flexible of all export formats to create high-quality figures. However, it may be necessary to use vector drawing software and convert the format before importing into a word processor, as some programs do not import SVG files directly.

All modern webbrowsers are capable of viewing SVG files natively. SVG files can therefore be viewed and printed easily on all operating systems without requiring any additional software. In order to edit the files, a vector drawing program such as Adobe Illustrator, Inkscape, Corel DRAW, or others is necessary. Note that not all vector drawing programs interpret SVG files correctly. Wrong SVG drawing may need manual optimization.

The recommended workflow to add a scan to a manuscript in high quality is the following:

- 1. Exort the scan to SVG as described above.
- 2. Open or import the SVG file in a vector drawing program of your choice.
- 3. Make sure the SVG file looks as expected. Modify if necessary.
- 4. Select the entire plot and copy to the clipboard.
- 5. Special-paste it into the word processor or presentation program, for example as an extended metafile.

**Printing to PDF** A PDF printer is available on most operating systems. It allows direct export of the graph to a PDF file. Prepare the graph as described at the beginning of this section, but use "File  $\rightarrow$  Print..." instead of the "Save As..." function. Use the printer dialog to write the output to a PDF file. The layout and functions are platform specific.

If line widths in the printed document are too fine or too wide, change the printing line width in the preferences as described in part 3 of the user manual. PDF files can be used directly in LaTeX. Other word processors may need the same conversion as described for SVG files in section 3.3.2.

All open graphs can be printed to a printer or to a single PDF file using the function "File  $\rightarrow$  Print all graphs...". Similarly all graphs can be exported to SVG format using "File  $\rightarrow$  Export all graphs to SVG". In this case, however, a separate SVG file will be created for each graph.

# 3.3.3 Scan Data Files

**ASCII free format (XY)** A generic text format writing the data in columns, starting with the angle, followed by columns with intensities for each scan. These files can be imported in spread-sheet or plotting software for further processing. Spreadsheet software includes Microsoft Excel, LibreOffice Calc, SoftMaker PlanMaker, and others. Plotting software includes OriginLab Origin, GNUplot, and others.

*hkl* lines will not be exported. Scan colors, line styles, and the legend will also get lost during the export. Visibilities of scans will be ignored, all scans will be exported. A white space character is used for field separation.

The following example shows an exported ASCII free format file with all scans written into one file. The first column contains the *x*-values ( $2\theta$  angle), the following columns contain *y*-values  $I_{obs}$ ,  $I_{calc}$ ,  $I_{diff}$ ,  $I_{background}$ , and two phase patterns. Note that the phase patterns include the background intensity:

```
4.007500 22.000000 21.070000 0.930000 21.050000 21.050000 21.070000

4.022500 19.000000 20.910000 -1.910000 20.890000 20.890000 20.910000

4.037500 20.000000 20.760000 -0.760000 20.740000 20.740000 20.760000

4.052500 22.000000 20.610000 1.390000 20.590000 20.590000 20.610000

4.067500 24.000000 20.470000 3.530000 20.450000 20.450000 20.470000

4.082500 13.000000 20.330000 -7.330000 20.310000 20.310000 20.330000

...
```

**ASCII HKL List (HKL)** If the graph contains *hkl* tick lines, the data will be written to a text file. The output file starts with a header line, followed by *hkl* tick mark information of all phases. Note that the lines are sorted by phase number, not by  $2\theta$  angle. An example of an ASCII HKL list file is shown below:

```
"Phase Name" "Angle" "Phase No." "HKL" "Texture"
"Adularia" 22.501230 0 "0 2 1" 1.263500
"Adularia" 24.476712 0 "2 0 -1" 0.915900
"Adularia" 26.213459 0 "1 1 1" 1.328900
. . .
"Calcite" 26.835628 1 "1 -1 2" 0.968500
"Calcite" 34.275930 1 "1 -1 -4" 1.053900
"Calcite" 36.669943 1 "0 0 6" 1.049000
. . .
"Plagioclase_Albite" 22.106786 2 "0 2 -1" 1.174800
"Plagioclase_Albite" 23.578835 2 "0 2 1" 1.363700
"Plagioclase_Albite" 25.646178 2 "2 0 -1" 0.723100
. . .
"Quartz" 24.264737 3 "1 -1 0" 1.029900
"Quartz" 31.030320 3 "1 -1 1" 1.037600
"Quartz" 31.030320 3 "1 -1 -1" 0.970300
. . .
"Zincite" 37.055257 4 "1 -1 0" 1.000000
"Zincite" 40.184602 4 "0 0 2" 1.000000
"Zincite" 40.184602 4 "0 0 -2" 1.000000
. . .
```

**Powder CIF file (CIF)** The crystallographic information file format (CIF) is not only used to share crystal structure information, but also raw or refined powder diffraction patterns. Saving a refined graph file (\*.dia) in powder CIF format will create a file that is compliant with the guidelines for Rietveld papers by the International Union for Crystallography (IUCr) [14]. The project base name will be used for the data\_ and \_pd\_block\_id tags, which is consistent with structure CIF files exported from the ,,Results" menu (section 3.3.3).

A truncated example of an exported powder CIF file is shown below.

< ⊙	C	IF Export	. (	2 🛛 🤆	) (×	1	
CIF Output Forma	at						
• One <u>s</u> ingle-	phase CIF file	per phase					
◯ One <u>m</u> ulti-p	hase CIF file p	er project					
⊖ One <u>g</u> lobal	multi-phase C	IF file					
Experimental Dat	ta					:	
Temperature		295 K		¢ F	RT		
Instrument		Bruker D8	B Advance	•			
Radiation source	ce	x-ray			•		
			ОК	С	ancel	0	re 5: Export ture files.
1		0000 2300	240.27			7400	238.7400
Z	4.01	2300	243.67	00	238.	9300	238.9300
4572	59.99	9100	147.67	00	164.	0000	43.3000
4573	60.01	1400	144.73	00	147.	1600	43.3300

5: Export options for CIF e files.

#	The follow	ing lines	are used	to te:	st the	character	set	of	files	sent	by
#	network em	ail or ot	her means	. They	are no	t part of	the	CIF	data	set.	

<sup>#</sup> abcdefghijklmnopqrstuvwxyzABCDEFGHIJKLMNOPQRSTUVWXYZ0123456789

```
# !@#$%^&*()_+{}:"~<>?|\-=[];'`,./
```

CIF files Crystallography information file (CIF file, \*.cif) is a standardized file format for the exchange of crystal structure information. It essentially contains the same information as BGMN list files (\*.lst) do, however in a very different format. Profex can extract crystal structure information from \*.lst files and write to \*.cif files once a refinement has completed and a \*.lst file has been created. In order to export CIF files of several refined projects, proceed as follows:

- 1. Open all projects you wish to convert to CIF
- 2. Make sure all projects were refined successfully
- 3. Select "Results  $\rightarrow$  Export CIF files from LST files"
- 4. In the project selection dialog, select all project and click "OK"
- 5. A CIF export dialog will be shown (Fig. 19). Set up the output options to your preferences and click "OK". The individual options will be explained below.

A truncated example of a single-phase CIF file is shown in Fig. 6. Note that some lines contain trailing comments of type *,*,# <phaseName>", such as the following lines:

_chemical_formula_structural	?	#	MgO
_chemical_formula_sum	?	#	MgO
_chemical_formula_weight	?	#	MgO

The question mark "?" is a placeholder for missing information. It should be filled with information by the user. The comment with the phase name (MgO) allows the user to use search-replace features in text editors to add the missing information efficiently. Some text editors can search and replace text in multiple files at once. Adding the molecular weight for MgO can thus easily be achieved, without interfering with other phases, by replacing

\_chemical\_formula\_weight ? # MgO with \_chemical\_formula\_weight 40.3044

Fig. 6 also shows placeholder question marks for isotropic *B* values for both atoms. The reason is that, unlike fractional coordinates, isotropic displacement parameters are not listed in the BGMN list file (\*.lst) unless they are refined. A simple modification to the BGMN structure file (\*.str, in this case "periclase.str") solves the problem. In order to print fixed TDS values to the \*.lst file, add the instruction GOAL=TDS to the end of the atomic position lines. An example is shown below, in which the GOAL statement was added to the end of the last two lines:

```
PHASE=MgO // 04-010-4039
MineralName=Periclase //
Formula=Mg_O //
SpacegroupNo=225 HermannMauguin=F4/m-32/m //
PARAM=A=0.4214_0.400^0.425 //
RP=4 k1=0 k2=0 PARAM=B1=0_0^0.01 GEWICHT=SPHAR4 //
MAC=10000*my/density
GOAL=1000*my/density
GOAL=MAC
GOAL=GrainSize(1,1,1) //
GOAL=GrainSize(1,1,1) //
GOAL:MgO=GEWICHT*ifthenelse(ifdef(d),exp(my*d*3/4),1)
E=MG+2 Wyckoff=a x=0.0000 y=0.0000 z=0.0000 TDS=0.0031583 GOAL=TDS
E=O-2 Wyckoff=b x=0.5000 y=0.5000 z=0.5000 TDS=0.0033951 GOAL=TDS
```

After repeating the refinement and re-exporting the CIF files, the atomic positions section now includes correct values for \_atom\_site\_B\_iso\_or\_equiv:

_diffrn_ambient_temperature 295 _diffrn_measurement_device_type 'Bruker D8 Advance' _diffrn_radiation_probe 'x-ray'	loop_ _diffrn_radiation_wavelength 1.541004	_computing_structure_refinement 'BGMN' _computing_publication_material 'Profex' _pd_proc_ls_prof_wR_factor 8.7500 _pd_proc_ls_prof_wR_expected 8.5100 _refine_ls_goodness_of_fit_all 1.0282	_pd_block_diffractogram_id 160629-03	<pre>loop_ atom_site_type_symbol _atom_site_type_symbol _atom_site_fract_x _atom_site_fract_y _atom_site_fract_z _atom_site_fract_z _atom_site_fract_z _atom_site_fract_z _atom_site_fract_z _atomoson 0.00000 0.00000 0.00000 ? 02 0 1.00000 0.50000 0.50000 ? 02 0 1.00000 0.50000 0.50000 ? # The following lines are used to test the character set of # files sent by network email or other means. They are not # part of the CIF data set. # abcdefghijklmnopgrstuvwxyzABCDEFGHIJKLMNOPQRSTUVWXYZ0123456789 # !0#\$%^&amp;()_+():"`<? \-=[];'`,'./</pre></pre>	
######################################	# # Source file: ####################################	<pre>data_global    publ_contact_author_name ? # Name of author    publ_contact_author_address # Address of author     ;? ;</pre>	data_160629-03-MgO	<pre>_chemical_name_systematic 'MgO' _chemical_formula_structural ? # MgO _chemical_formula_weight ? # MgO _chemical_formula_weight ? # MgO _chemical_formula_weight ? # MgO _space_group_rame_H-M_alt 'F 4/m -3 2/m' _space_group_IT_number 225 # new notation _symmetry_Int_Tables_number 225 # depricated notation,</pre>	_cell_length_a 4.21223(98) _cell_length_b 4.21223(98) _cell_length_c 4.21223(98) _cell_angle_alpha 90.00000 _cell_angle_beta 90.00000 _cell_angle_gamma 90.0000

# Figure 6: Example (truncated) of a single-phase CIF file.

```
loop_
    _atom_site_label
    _atom_site_type_symbol
    _atom_site_occupancy
    _atom_site_fract_x
    _atom_site_fract_y
    _atom_site_fract_z
    _atom_site_B_iso_or_equiv
    MG1    MG    1.00000    0.00000    0.00000    0.31583
    O2          0     1.00000    0.50000    0.50000    0.33951
```

#### Export options

- One single-phase CIF file per phase If several projects are to be processed and each project contains several phases, one CIF file will be created for each phase. The file name will automatically be set to <projectName>-<phaseName>.cif. Existing files will be overwritten without warning. The created file names will be shown in the refinement output console.
- **One multi-phase CIF file per project** One CIF file per project will be generated. The CIF file contains all phases found in the project. The output name will be set automatically to <projectName>.cif. Existing files will be overwritten without warning. The created file names will be shown in the refinement output console.
- **One global multi-phase CIF file** All phases found in all projects will be exported to one single CIF file. The user will be prompted to enter the file name.
- **Temperature** Select the temperature at which the diffraction data was collected in Kelvin (K). To reset the value to room temperature, click the "RT" button. The value will be used for the CIF tag \_diffrn\_ambient\_temperature.
- **Instrument** Select the name of the instrument used for the diffraction experiment. If the instrument is not available from the dropdown menu, create a new one by clicking the "+" button. The name can be chosen freely. It will be used for the CIF tag \_\_diffrn\_measurement\_device\_type.
- Radiation Source Select the type of radiation used for the diffraction experiment. The value will be used for the CIF tag \_diffrn\_radiation\_probe

**GSAS Standard Powder Data File** GSAS standard powder data files are exported in constant stepwidth (BINTYP=CONS) with one record per line consisting of the position in centidegrees and the intensity (TYPE=FXY). Graphs containing multiple scans are exported to a single file containing multiple banks.

**CELL Files** This function reads RES files of all open projects and writes crystal structure information to CELL files for the software Castep [8]. One CELL file will be created for each crystal structure found. The file will be stored in the project directory. Information on saved files is shown in the refinement protocol console. If no RES file is available for a specific phase, a tag RESOUT[n]=filename.res must be added to the control file (\*.sav) and the refinement must be repeated. The CELL files contain cartesian atomic coordinates.

# **4 Opening Refinement Projects**

Once a refinement has been completed, the Rietveld refinement backend BGMN will write various output files. One of them, the diagram file (\*.dia), contains the refined profile, typically the measured and calculated intensities, the background curve, phase contributions, and *hkl* indices. Refinement projects can be opened in Profex by selecting "File  $\rightarrow$  Open Refinement Project..." (or pressing "Ctrl+R") and selecting the refined profile (\*.dia).

# 4.1 Project structure

When working with several datasets (= projects) at a time, it is important to understand how Profex' ,,Add / Remove Phase" function handles structure and instrument files in the background.

By default the "Add / Remove Phase" dialog will copy selected structure and instrument files to the location of the currently loaded scan file as shown in Fig. 2. It will check whether a file with the same name is already present. If yes, the present file will not be overwritten, unless the option "overwrite existing files" was activated for structure files, and "generate default control file" was activated manually for instrument files.

Since several raw scan files can be located in the same directory, a structure file will only be present once, even if it was added multiple times for each project. Modifying, removing, or overwriting this structure file will affect all projects within this directory (Fig. 7).

If common structure files are not desired, there are several options to avoid shared access by multiple projects:

- Distribute the raw scans on several sub-directories, as shown in Fig. 7. The ,,Add / Remove Phase" dialog will have to be called for each project separately, but each project will receive it's own copy of the instrument and structure files.
- Manually manage the structure files. E.g. create a copy of structure\_1.str and name it structure\_1a.str. Then change the STRUC[1]=structure\_1.str line in the corresponding control file to STRUC[1]=structure\_1a.str.
- Manually manage structure files by creating sub-directories for structure files only. E.g. create a copy of structure1.str in strFiles1/structure1.str. Then change the STRUC[1]=structure1.str line in the corresponding control file to STRUC[1]=strFiles1/structure1.str. The project directory remains unchanged, but it will access the structure files in a specific sub-directory, which can be managed manually for each project.

Projects sharing structure files	
working directory scan_1.sav scan_2.sav structure_1.str structure_2.str	<pre>scan_1.sav: STRUC[1]=structure_1.str STRUC[2]=structure_2.str scan_2.sav: STRUC[1]=structure_1.str STRUC[2]=structure_2.str</pre>
Projects in sub-directories	
<pre>working directory</pre>	<pre>scan_1.sav: STRUC[1]=structure_1.str STRUC[2]=structure_2.str scan_2.sav: STRUC[1]=structure_1.str STRUC[2]=structure_2.str</pre>
Structure_1.str	
Manually renamed structure files	scan_1.sav:
working directory scan_1.sav scan_2.sav structure_1a.str structure_2a.str	<pre>STRUC[1]=structure_1a.str STRUC[2]=structure_2a.str scan_2.sav:</pre>
structure_1b.str structure_2b.str	STRUC[1]=structure_1b.str STRUC[2]=structure_2b.str
Structure files in sub-directories	J [
working directory scan_1.sav scan_2.sav strFiles_1 structure_1.str structure_2.str strFiles_2 structure_1.str structure_2.str	<pre>scan_1.sav: STRUC[1]=strFiles_1/structure_1.str STRUC[2]=strFiles_1/structure_2.str scan_2.sav: STRUC[1]=strFiles_2/structure_1.str STRUC[2]=strFiles_2/structure_2.str</pre>
(a) File structure	(b) Control file content

Figure 7: Projects and structure files can be organized in different ways, depending on whether structure and instrument files shall be shared or not.

# 5 Refinement Report

Results of a refinement can be exported to a report in HTML format. After the refinement has completed, select "Results  $\rightarrow$  Generate report...". A HTML file will automatically be created in the project directory and opened in a web browser. The location of the report file is shown in the refinement output console. An example of a report in the default layout is shown in Fig. 8. The report can be printed to paper or PDF format from the web browser.

# 5.1 Customizing the report

The information added to the report can be customized to a certain degree in the preferences (,,Edit  $\rightarrow$  Preferences  $\rightarrow$  BGMN  $\rightarrow$  Refinement Report").

# 5.1.1 Structure

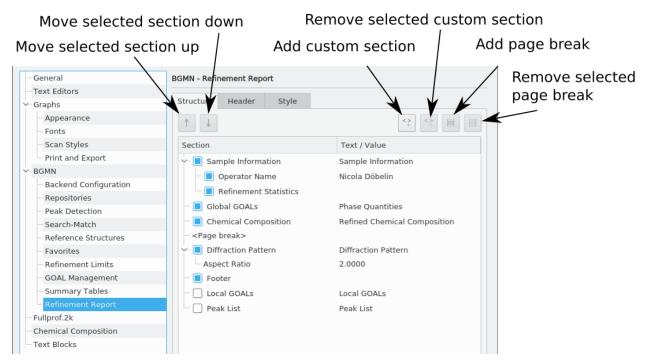
The information exported in the report, and the structure of the document, can be customized to a certain degree in the tree view of the "Structure" page (Fig. 9a). The sections added by default cannot be removed. However, they can be deactivated by unchecking the section item, and the text used for the section heading can be changed by double-clicking on the "Text/Value" column. Using the arrow buttons, the sections can be moved up or down in the tree to rearrange the document structure. Changing the heading text allows to change for example the heading "Global GOALs" to "Phase quantities" if the global GOALs table only contains phase quantities. The report thus becomes more easily understandable for readers who are not familiar with the BGMN nomenclature.

The content of the selectable sections is described below.

- **Sample information** contains the sample ID, file names, date of refinement, instrument configuration, wavelength file, and working directory of the project.
- **Operator name** contains the operator name to be added to the sample information table. The operator name can be omitted by unchecking this line. By default, the user name of the user generating the report is used.
- **Refinement statistics** adds a line with  $R_{wp}$ ,  $R_{exp}$ ,  $\chi^2$ , and goodness of fit to the sample information table.
- **Gloabl GOALs** creates a table with global GOALs, as customized on the "Summary tables" page of the preferences dialog.
- **Diffraction pattern** adds the currently displayed pattern (can be a raw dataset or a refined \*.dia file) as a figure to the report.

15-LynxEyeXE geq				
3		Phase Quantity (wt-%)	P2O5 (wt-%)	CaO (wt-%
			0.00	100.00
	Hydroxylapatite-M 100.00	4	43.16	56.84
	mphosphate	0	38.76	61.24
	TCP 0.00	4	45.76	54.24
		4	45.76	54.24
	Weighted total 100.00	4	43.16	56.84
Nicola Dăhalin				
R = 10.10   v2 = 0.0764   GoF = 0.9881	Hydroxylapatite-M			
to ico - V	heter	Value	ESD	
A		0.94242	0.0003	33
		1.88414	0.00004	4
		0.688187	0.00007	200
D18_0001 01-00-00b 180205-31.dia GAMMA	AA	119.965	0.002	
	GrainSize(1,1,1)	349	5	
	CP			
Hvdroxylapatite-M	tatar	Value	ECD	
-				
		1.201	0.002	
calciumphosphate -		127.2	0.003	
		1.521	0.002	
	BEIA	120.4	5 1	
	Size(1,1,1)	349	0	
petaTCP	d			
Parameter	neter	Value	ESD	
		1.0447	0.0007	7
والمحمد والمحمومة محمومة والمحمول والمحاولة مؤامه والأمحان والمحموم محموله محموله محولهم والمحموم ومحمو		3.769	0.004	
	GrainSize(1,1,1)	349	5	
Parameter	heter	Value	ESD	
4		0.4811	0.0001	-
CrainS Eco	GrainSize(1,1,1)	349	5	
00				
0.00000	Tetracalciumphosphate			
0.000000 0.000000				
0.000000 0.000000 Parameter	neter	Value	ESD	
		0.709300	0.00000	000
		1.1886	0.008	8
		0.956800	0.000000	000
BETA		89.990000	0.00000	000
Grains	GrainSize(1,1,1)	349	2	
		-	-	
file:///home/nic/Documents/xrd/test/01-00-00/J80205-31.html 112 file:///ho	file://home/nic/Documents/xrd/test/01-00-00/180205-31.html	5-31.html		

Figure 8: A refinement report in the default layout is opened in a web browser.



(a) Document structure

Structure Header Style	Structure Header Style
Logo: /home/doebelinn/rms-cmyk.svg	Structure       Header       Style         Use Style Sheet:       /home/doebelinn/rms-style.css       Image: Color Style         Font:       Helvetica 10 pt          Color of table header:          Color of alternting rows:          Color of table border:          Width of diffraction pattern       100 %

(b) Custom banner

(c) Style sheet

Figure 9: The HTML report can be customized in the preferences dialog.

- **Aspect Ratio** change the aspect ratio of the diffraction pattern. The width is always 100 % of the page width, and the height will be set to the width divided by "Aspect ratio". This is helpful if the diffraction pattern is too high to fit on a page and causes a page break between the heading and the figure.
- **Local GOALs** adds a table with phase-specific local goals, as customized on the "Summary tables" page of the preferences dialog.
- **Chemical composition** add a table with the refined chemical composition. It is important to assign all quantification goals correctly in the *"*Chemical composition" table, else the table in the report will be incomplete.

Peak list adds a list of *hkl* peaks generated for the refinement and read from the \*.par file.

In addition to the predefined sections, one can add page breaks at specific positions to avoid page breaks between headings and the following tables or figures.

Custom sections allow to insert plain HTML code in the report. Select the section item preceding the custom section, then click "Add custom section". In the following dialog, enter a name for the section (it will not be used as a heading) and enter plain HTML code in the editor. For example, the code shown in Fig. 10 adds a horizontal ruler (horizontal line) at the position of the custom section.

	Custom HTML element	÷		×
Name:	Horizontal Line			
<hr/>				
8	<b>X</b> <u>C</u> ancel	<u>@</u>	<u>0</u> K	

Figure 10: A custom section adding a horizontal line to the document.

#### 5.1.2 Header

By default, the report uses a "Profex" banner at the top of the first page. This banner can be replaced with a custom one to match the corporate design. Banners must be saved as scalable vector graphics (\*.svg) and can be created with Inkscape, Adobe Illustrator, or any software able to write SVG files.

Select a custom banner by clicking the button on the *"*Header" page. A preview of the selected banner is shown below the file name (Fig. 9). When the *"*Logo" line is empty, Profex defaults to the internally stored Profex logo.

# 5.1.3 Style

The colors, font, and layout of the tables and headings can be customized as well. Profex uses CSS styls sheets for the HTML layout. A custom style sheet can be specified on the "Style" page. Alternatively, disable the CSS style sheet and specify a font and colors for tables directly. The width of the diffraction pattern can also be specified in % of the page width to avoid unfavorable page breaks when printing the report. Fig. 11 shows an example of a report adapted to a corporate design.

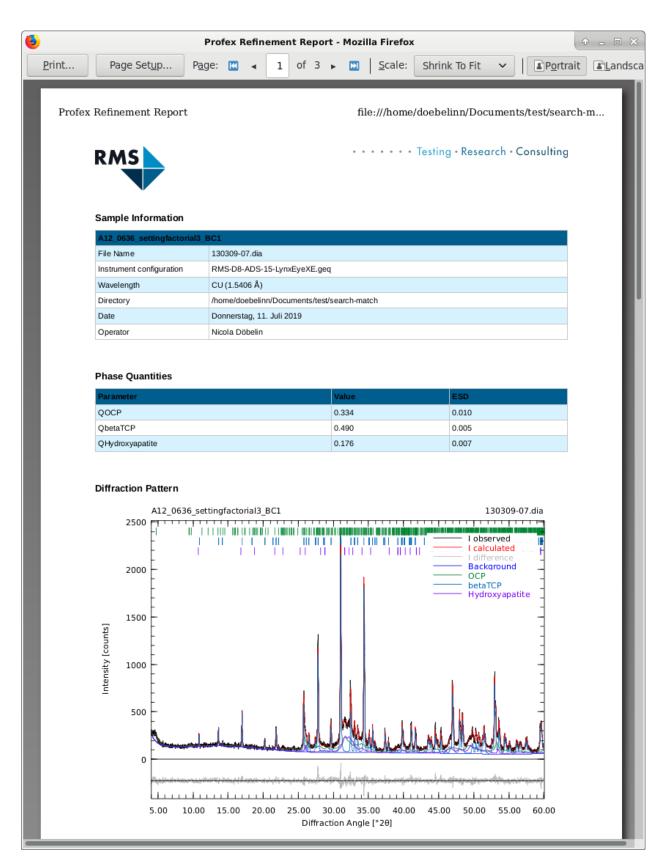


Figure 11: A report using a custom header logo and color scheme, shown in the print-preview of a web browser.

# 6 Sharing Refinement Projects with other Users

Opening a refinement project created on one platform (either Windows or OS X / Linux) on another platform (OS X / Linux or Windows) will cause an error message as soon as the refinement is started. This is due to the fact that both types of platforms use different characters for line endings in text files, and BGMN only accepts the format native to the platform it is used on.

To avoid these error messages, use the backup feature to share projects as described below. It automatically converts the files to the target platform.

On the source computer:

- 1. In Profex click "Project → Save project backup" to create a \*.zip file with all required scan, control, structure, and instrument files.
- 2. The name of the created file will be shown in the "Refinement Protocol" console.
- 3. Share the \*.zip file with the recipient.

On the recipient's computer:

- 1. Save the received \*.zip file at the location where you want to process it.
- 2. In Profex click "File  $\rightarrow$  Open Project Archive..." to open the \*.zip file.
- 3. Run the refinement.

The \*.zip file will be extracted and the file formats will be converted to the target platform's text file format.

# 7 Creating Instrument Configuration Files

The Rietveld refinement software must be able to precisely describe the measured peak shape with a mathematical model to obtain accurate fits of measured diffraction peaks. BGMN uses the fundamental parameters approach (FPA), and thus raytraces the peak shape from the diffractometer's hardware configuration rather than fitting it to a measured reference pattern. Very detailed hardware information must be specified by the user in order to obtain a correct peak shape model. But in return, FPA peak shapes often describe strongly asymmetric peaks at very low diffraction angles more realistically and accurately than generic peak profile functions. FPA peak shapes can also be computed at any  $2\theta$  angle, whereas measured peaks of a reference material can only be fitted from the  $2\theta$  position of the first peak upwards. Extrapolation to lower angles introduces increasingly severe errors.

Profex includes a set of hardware configuration files. But very often these configurations differ to a certain degree from configurations used by other users. Applying even a slightly wrong configuration file will result in poor quality of fits and most likely in wrong results. Using an instrument configuration file not specifically created for the instrument in use is thus strongly discouraged. This tutorial describes how to customize an instrument configuration file for one's own use.

It is much easier to adapt one of the existing configuration files than to create a new file from scratch. Profex includes default files for instruments manufactured by Bruker, PANalytical, Rigaku, and Siemens, with various old and modern detectors and beam path configurations. Normally the type of instrument and some basic hardware information is given in the file name. For example "D8-..." and "D2-..." refers to Bruker D8 or D2 instruments, and "xpert-..." and ",cubix-..." to PANalytical X'Pert or CubiX instruments. Often the file name also contains information about the divergence slit mode (fds = fixed divergence slit, ads = automatic divergence slit) and detector (xcel = PANalytical X'Celerator, pixcel = PANalytical PIXcel, LynxEyeXE = Bruker LynxEye XE etc.).

## Step 1: Select and open an existing instrument configuration file

Start Profex and select ,,Instrument  $\rightarrow$  Edit Configuration..." from the menu. The file dialog will open in the Device file database. Try to find a configuration file that matches your own configuration as closely as possible. Open a file that seems to reasonably describe your own hardware. If none seems reasonable, open any arbitrary file. A dialog showing the content of the instrument configuration file will open (Fig. 12).

## Step 2: Edit the existing instrument configuration

Most instrument configuration files start with a comment header with some information on the creator and instrument. Adapt this header to your own instrument. Lines starting with "," are comments ignored by the software.

Next you will have to go through the entire file line by line and make sure all parameters are set correctly for your own instrument. Change the parameters if necessary. If you don't know some

Instrument Configuration -	rMS-D8-ADS-15-LynxEyeXE. 🔶 🗉 🗙
°*************************************	· · · · · · · · · · · · · · · · · · ·
%	
% BGMN Device Configuration File for Bruke:	r D8
%	
%	
% Created by Nicola Doebelin, RMS Foundat:	ion, Switzerland
% December 11, 2014	
%	
% Device Configuration:	
% - Detector: LynxEye XE	I
<ul> <li>% - Radiation: CuKa</li> <li>% - Soller Slits: 2.5°</li> </ul>	-
<ul> <li>% - Soller Slits: 2.5°</li> <li>% - Divergence Slit: Automatic 15mm</li> </ul>	
<ul> <li>Anti-Scatter Slit: 9mm</li> </ul>	
<ul> <li>Goniometer Radius: 350 mm</li> </ul>	
%	
4	
Control File Template Output	
Create control file template	Cathode Ray Tube
Cathode Ray Tube	Synchrotron
Tube Emission Profile cu 👻	Wavelength 0.01236000 nm
Kbeta Filter / Energy Dispersive Detector / No Filtering	
O Monochromator Crystal	
	0% Close

Figure 12: "Instrument  $\rightarrow$  Edit Configuration..." opens a dialog to edit an existing instrument configuration.

of the parameters, you will have to figure them out. Study the settings in your diffractometer control software, read the diffractometer manual, or take a ruler and measure the parameter at your instrument.

Instrument configuration files shipped with Profex contain detailed comments describing the parameters, and also often giving additional useful information. A full specification of the device file format is given on the BGMN websites [1]. When going through the file line by line, all optical elements in the beam paths must be described accurately as described in Fig. 13. If one optical element is not installed, either delete or deactivate the lines by adding "%" to the beginning of the line.

The opening of divergence and anti-scatter slits must be given in millimeters. However, for fixed slit configurations the opening is often specified in degrees as the angle of beam divergence. In that case, the slit width in mm can be calculated from the beam divergence angle and the position of the slit directly in the instrument configuration file. The relevant code section is shown below.

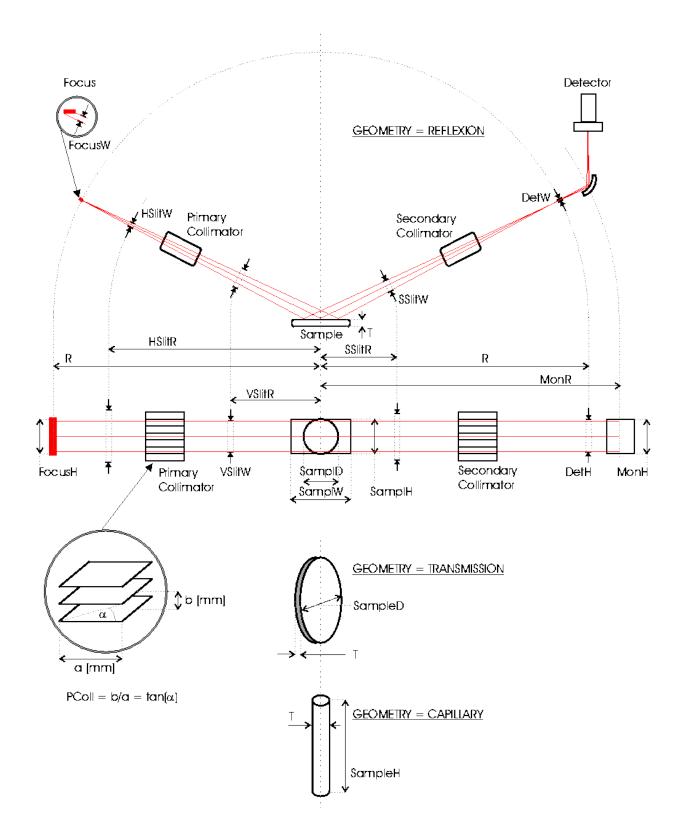


Figure 13: Schematic representation of the instrument parameters to be entered in the instrument configuration file (reproduced from [1]).

This code can be used for all instruments using a fixed divergence or anti-scatter slit opening, if the slit opening is specified as beam divergence in degrees:

```
%------
% Divergence slit
%------
% beam divergence (degrees)
div=0.25
% distance from sample (mm)
HSlitR=100
% fixed divergence slit width (mm)
HSlitW=2*tan(div*pi/360)*(R-HSlitR)
```

For variable slit configurations, the opening of the divergence slit in mm changes as a function of the  $2\theta$  position. The slit width can also be calculated with the formula shown below. The same formula can be used for all instruments using variable slit width. Note: The line break in the line starting with HSlitW= is only used here due to limited line width, but it is not allowed in the configuration file:

At the bottom of the file, find the following section:

%----% Parameters for the simulation of the profile function
%-----

% angular positions for the MonteCarlo simulation (deg 2theta)

```
zweiTheta[1]=4
zweiTheta[2]=8
zweiTheta[3]=13
zweiTheta[4]=20
zweiTheta[5]=30
zweiTheta[6]=42
zweiTheta[7]=56
zweiTheta[8]=76
zweiTheta[9]=90
zweiTheta[10]=105
zweiTheta[11]=120
zweiTheta[12]=135
zweiTheta[13]=150
% angular range (deg 2theta)
WMIN=4
WMAX = 150
```

Make sure that the list of zweiTheta[] values and WMIN / WMAX cover the identical range. The range must cover the entire angular range you intend to measure with this configuration<sup>2</sup>. If unsure, select a very wide range, e.g. from 4 to  $150^{\circ} 2\theta$ . If you modify the list of zweiTheta[], make sure the parameters are numbered consecutively (starting at 1, no gaps).

Once the file has been completely revised and all parameters have been matched to the user's hardware configuration, save the file under a new name (ideally in the device file directory) using the "Save As…" button. Give it a meaningful name, as with the default configuration files.

#### Step 3: Prepare the template file and run the calculation

Before running the calculation, check the settings in the "Control File Template" tab below the text editor. Profex uses a template control file with each instrument configuration (Fig. 14). An example will be shown later.

Go through the following steps:

- Choose whether you want to create a control file template for an instrument using a conventional cathode ray tube, a synchrotron beamline, or no template at all.
- For cathode ray tube:
  - select the type of radiation your instrument uses ("cu" for characteristic copper radiation, "co" for cobalt, "mo" for molybdenum, "cr" for chromium). Don't use any of the other emission profiles unless you know what you are doing.

<sup>&</sup>lt;sup>2</sup>The angular range specified here is a common source of errors. If a dataset exceeding the range (e.g. starting below WMIN or ending beyond WMAX is to be refined, BGMN will abort with an error message "insufficient angular range".

athode Ray Tube	•
nchrotron	
Wavelength 0.01236000 r	nm 🌲
	Close
	Vavelength 0.01236000

Figure 14: Options for control file templates.

- Check whether your instrument uses a Kβ filter or monochromator crystal. In the latter case, specify the monochromator angle (the default of 26.60 degrees is correct for Graphite monochromators set up for CuKα radiation.)
- For synchrotron:
  - enter the wavelength in nm.

## Step 4: Run the calculations

Now click "Run" to start the calculation of the peak profiles and the interpolation. You may see a warning message that the output file names do not match the configuration file name (Fig. 15). Profex suggests to fix it, so just click "Yes". The message is shown because the variables "VERZ-ERR" and "GEQ" at the beginning of the instrument configuration file were not changed to the new file name given in the "Save As…" dialog.

Once the calculation started you will see some output information printed to the "Output" console (Fig. 16). The calculation takes several minutes, just wait for completion.

After completion, three new files have been created: <config-name>.ger, <config-name>.geq, and <config-name>.tpl. The \*.tpl file is the template for the refinement control file Profex will use for each refinement project. It can be opened in a text editor. If any customizations for the specific instrument configuration shall be applied, it can be done in this template file. For example, WMIN and WMAX could be customized, or refinement of EPS1, EPS2 and EPS3. Or the intensity of  $K\alpha_3$  and  $K\beta$  could be specified. (See file cubix-ads-10mm.tpl for an example of  $K\alpha_3$  and  $K\beta$ .) An example of a template file is shown below:

```
% Theoretical instrumental function
VERZERR=
% Wavelength
LAMBDA=CU
% Phases
% Measured data
```

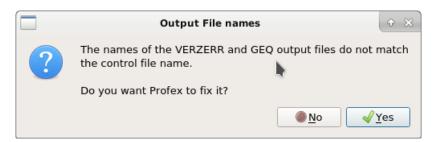


Figure 15: Warning in case of non-matching file names.

```
VAL[1]=
% Minimum Angle (2theta)
% WMIN=10
% Maximum Angle (2theta)
% WMAX=60
% Result list output
LIST=
% Peak list output
OUTPUT=
% Diagram output
DIAGRAMM=
% Global parameters for zero point and sample displacement
EPS1=0
PARAM[1]=EPS2=0_-0.001^0.001
betaratio=0
NTHREADS=2
PROTOKOLL=Y
SAVE=N
```

If the template file contains a reference to a scan file containing a measured background curve (UNT=<my-background>.xy), the background file should be stored in the device database directory, too. Profex will copy it to the project directory when creating a new refinement control file. The background scan file will not be converted. It must be stored in a format natively supported by BGMN. The recommended format is ASCII XY.

The template file is optional. If no template file is found for an instrument, a dialog will be shown asking for basic information about the X-ray tube target material and the type of monochromatization ( $K\beta$  filter, monochromator, energy dispersive detector) used.

#### Step 5: Testing the configuration

The next time a new refinement project is created, the new instrument configuration will show up in the "Add/Remove Phase" dialog's dropdown box (Fig. 17).

If the new configuration does not appear in the dropdown menu, make sure all four files <configname>.sav, <config-name>.ger, <config-name>.geq, and <config-name>.tpl are stored in the device file directory, then restart Profex.

Control File Template	Output	
BGMN is a register Version 4.2.23 Code styled version zweiTheta=4.0000	programs Copyright (C) J. Bergmann Dresden, FR Germany 1991-2009 red trademark of J. Bergmann on by P. Friedel Dresden, FR Germany 2012-2013 N=10 GSUM=1.12684e-01 N=9 GSUM=2.25285e-01	•
	1%	ose

Figure 16: Calculation in progress.

Add / Remove Phases	(+ 🗆 🗙
✓ Generate default control file for instrument configuration: RMS-D8-ADS-15-LynxEyeXE	•
+ Add Phases — RemovePhases	
Filter:	
File Name	<b>*</b>
/home/doebelinn/BGMN-Templates-190711-MacLinux/Structures	
Alumina-Titania-Zirconia-Yttria	
amorphPeak.str	
▶ BGMN	
▶ Cement	
Ceramics	
Minerals	
▶ Organic	
<ul> <li>Phosphate</li> </ul>	
AlPO4-1Hydrate.str	
AlPO4.str	
Apatite-Cl.str	
Apatite-CO3-A.str	
Apatite-CO3-B.str	
Apatite-F-Mn.str	-
Apatite-F-Sr str	
Overwrite existing files	$\bigtriangledown$
Expand/Collapse Zancel	<u>₽</u> 0К

Figure 17: When creating a new refinement project by using the "Add/Remove Phase" dialog, select the new instrument dconfiguration from the dropdown menu (here "RMS-D8-ADS-15-LynxEyeXE").

Device file directories are usually found in the following locations:

Windows ... \Profex \Devices

Mac OS X .../Profex-BGMN/Devices

Linux unspecific

For Linux (optionally also for Windows and OS X), check ,,Edit  $\rightarrow$  Preferences  $\rightarrow$  BGMN  $\rightarrow$  Device Files Directory".

Using "Instrument  $\rightarrow$  New Configuration..." will allow to read some hardware information from Bruker RAW V4, Bruker BRML V5, and PANalytical XRDML files to create a BGMN instrument configuration file from scratch. Other raw data formats are not supported. Usually several variable required by BGMN will still not be available from the raw data files and will thus have to be entered manually.

# 8 Creating Structure Files (\*.str)

Crystal structure files retrieved from online or commercial databases are usually supplied in the CIF format [9] or in an XML file format if exported from the ICDD PDF-4+ database. Before they can be used with BGMN, the structure information needs to be converted the the BGMN STR file format. Profex provides support to facilitate the conversion process for both CIF and XML formats. PDF-4+ XML files are usually complete and require very little user input. CIF files, on the other hand, often lack important information and require manual revision. The import and conversion process in Profex is the same for both CIF and XML formats.

# 8.1 CIF and ICDD XML import

The following workflow is recommended for CIF or XML import:

- 1. Retrieve a CIF or XML file from an external resource (online or local database).
- 2. Start Profex and choose "File  $\rightarrow$  Import Structure file..." to open the import dialog.
- 3. Click the + button in the dialog to load the source file.
- 4. If asked for the space group number, select the number according to the International Tables for Crystallography. If unknown, the number has to be determined from an external source.
- 5. If asked for a space group setting, select it from the list of proposed settings. If unknown, the correct setting has to be determined from an external source.
- 6. The STR file is created and shown in an editor (Fig. 18). At the same time, the file is verified by running BGMN in the background. If successful, a *hkl* stick pattern is shown. Else an error message appears in the "Messages" console. The file name in the "Files" list is preceded by an asterisk (\*) if verification failed.
- 7. If verification failed, add the missing information manually. Then run verification again by pressing the *,*,⊳″ button.
- 8. Once verification is successful, save the file to the structures repository.

Thermal displacement parameters read from CIF files are converted automatically to isotropic  $B_{iso}$  in  $nm^2$  as described in part 3 of the user manual.

The button to save all STR files will ignore files that failed verification (marked by \*). It will save all successfully verified files to the structure file repository, using the file base name of the source CIF files.

#### 8.2 Verification

STR files created from CIF files should be verified before saving to the structure file repository, because CIF import often results in incomplete STR files. Verification is run automatically immediately after opening the CIF file. If it fails, errors will be reported in the "messages" console and the faulty lines will be marked (Fig. 18). After fixing the errors, verification should be run again by clicking the "▷" button. If verification was successful, a *hkl* stick pattern is shown. Select the correct wavelength at the bottom of the dialog to display the *hkl* indices at the correct  $2\theta$  position for a specific instrument. The *hkl* graph can be exported to a semi-colon separated text file (\*.csv), a PDF file, or a pixel image.

If verification failed, error messages are again shown in the "messages" console, the *hkl* graph is cleared, and the file name is preceded by an asterisk (\*). Study the error messages, try to fix the issue in the STR file, and run verification again. STR files that haven't passed verification will later issue the same error message when used in a refinement.

#### 8.3 Common errors

## 8.3.1 "No Wyckoff symbol found"

Many CIF files do not contain Wyckoff symbols for atomic positions. In that case the symbols must be added manually. If the symbols are unknown, open the tool "Tools  $\rightarrow$  Browse BGMN Space Groups…", select the correct spacegroup number and Hermann Mauguin symbol, and browse all Wyckoff positions (Fig. 19). Try to find a position that describes special coordinates ("round numbers") for each atom in the structure.

## Example

A CIF file for anhydrite did not contain any Wyckoff symbols:

```
PHASE=Anhydrite //
SpacegroupNo=63 HermannMauguin=A2_1/m2/m2/a Setting=3 //
...
E=S Wyckoff= x=0.250000 y=0.000000 z=0.155560 TDS=0.005503
E=CA Wyckoff= x=0.750000 y=0.000000 z=0.347600 TDS=0.006538
E=O Wyckoff= x=0.250000 y=0.169900 z=0.016200 TDS=0.009849
E=O Wyckoff= x=0.081900 y=0.000000 z=0.297500 TDS=0.009388
```

The first atom S is located on a special position with "round numbers" for x and y. The position can be described as "1/4 0 z". To find the Wyckoff letter belonging to this special position, open "Tools  $\rightarrow$  Browse BGMN Space Groups…", select space group number 63, Hermann Mauguin symbol A2\_1/m2/m2/a (setting 3) and browse all Wyckoff symbols (Fig. 19). The symbol describing the special position of the S atom is "c". Repeat the process for all other atoms until all Wyckoff letters are known. The solution is shown in Table 3.

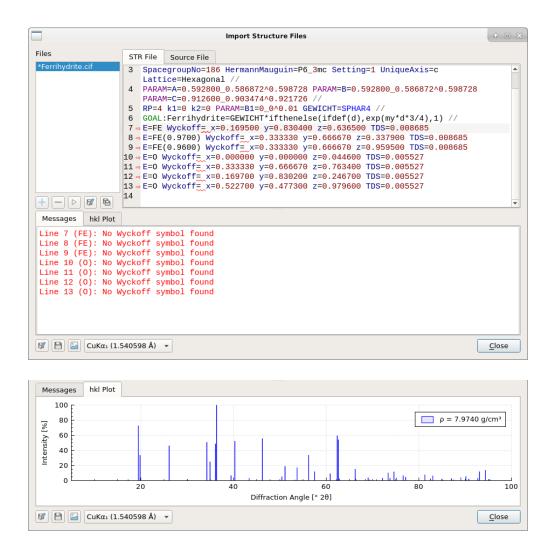


Figure 18: After conversion from CIF to STR format, BGMN will be called in the background to run the STR file. If successful, a *hkl* stick pattern will be shown, else an error message appears in the "Messages" page (bottom).

## 8.3.2 "Coordinates do not match first Wyckoff symmetry"

When browsing the BGMN space group definitions in "Tools  $\rightarrow$  Browse BGMN Space Groups...", it is important to note that the special position descriptions appear in a specific order. BGMN expects atomic coordinates to be given in the <u>first</u> symmetry description. Coordinates given in a symmetry other than the first one in the list must be converted manually.

#### Example

In the previous example using the Anhydrite CIF file, the Wyckoff symbols were determined as follows:

Spacegroup Number	Hermann Mauguin	Wyckoff	Symmetry
49	C2/m2/c2_1/m	h (N=16)	1/4 0 z
50	C2/c2/m2_1m	g (N=8)	3/4 0 -z
51	A2_1/m2/m2/a	f (N=8)	
52	A2_1/m2/a2/m	e (N=8)	
53	B2/b2_1/m2/m	d (N=8)	
54	B2/m2_1/m2/b	c (N=4)	
55		b (N=4)	
56		a (N=4)	
57			
58			
59			
60			
61			
62			
63			
64			
65			
66			
(show all) 👻	(show all) -		
SpacegroupNo=63 He	ermannMauguin=A2_1/m	2/m2/a Setting=3 Lattic	e=Orthorhombic

Figure 19: Symmetry descriptions for Wyckoff position c of space group no. 63 setting 3.

Table 3: Atomic positions in the Anhydrite CIF file and matching Wyckoff symbols describing special positions. The symmetry actually matching the coordinates is shown in bold typeface.

Atom	Coordinates	Wyckoff	Symmetries
S	x=0.250000 y=0.000000 z=0.155560	С	<b>1/4 0 z</b> 3/4 0 -z
CA	x=0.750000 y=0.000000 z=0.347600	С	1/4 0 z <b>3/4 0 -z</b>
0	x=0.250000 y=0.169900 z=0.016200	đ	<b>1/4 y z</b> 3/4 -y -z 1/4 -y z 3/4 y -z
0	x=0.081900 y=0.000000 z=0.297500	f	<b>x 0 z</b> x+1/2 0 -z -x+1/2 0 z -x 0 -z

```
E=S Wyckoff=c x=0.250000 y=0.000000 z=0.155560
E=CA Wyckoff=c x=0.750000 y=0.000000 z=0.347600
E=O Wyckoff=g x=0.250000 y=0.169900 z=0.016200
E=O Wyckoff=h x=0.081900 y=0.000000 z=0.297500
```

However, when running the verification, an error message will be shown for the CA atom:

Line 8 (CA): Coordinates 0.750000 0.000000 0.347600 do not match first Wyckoff symmetry for c  $(1/4 \ 0 \ z)$ .

Indeed the coordinates for the CA atom correspond to the <u>second</u> symmetry description for Wyck-off position  $c (3/4 \ 0 \ -z)$ . The coordinates must thus be converted manually to the first symmetry  $1/4 \ 0 \ z$ , which is done as follows:

- Change the value of x from 3/4 to 1/4
- Leave the value of y at 0.0
- Invert the sign of the z value

Correct coordinates for CA are thus:

E=CA Wyckoff=c x=0.250000 y=0.000000 z=-0.347600

or with z shifted back into the unit cell (the two z coordinates are equivalent):

E=CA Wyckoff=c x=0.250000 y=0.000000 z=0.652400

# 9 Peak Detection

Since the search-match feature only searches Profex' internal database, which, although constantly growing, is far from complete, it is often necessary to use a third party software to search a more complete structure database, for example the Crystallography Open Database (COD) [5]. One of the most critical steps for accurate phase identification is the extraction of peak positions. Third party search-match programs use their proprietary peak detection algorithms, sometimes with a large number of parameters to tweak, but with complex or nano-crystallite samples it is often necessary to improve the peak list manually by deleting duplicate peaks and adding peaks not detected by the algorithm.

Profex has a very powerful peak detection module that exceeds the detection accuracy of most other algorithms. The module is based on two compoments of the BGMN software suite called TEIL and EFLECH. The first program TEIL splits the scan file in several parts, and the second program EFLECH fits peaks and a background curve to these parts. EFLECH uses instrument parameters read from an instrument configuration file and the wavelength spectrum read from a \*.lam file (both have to be specified by the user). It also fits peak broadening due to crystallite size and micro-strain and thus recognizes broad peaks as single peaks instead of fitting them with multiple narrow peaks. The peak recognition is outstanding, but the process can be extremely time consuming.

## 9.1 Example

Open a scan file and start ",Run  $\rightarrow$  Run peak Search". A dialog asking for the instrument configuration and wavelength file opens (Fig. 20). Adjust both to your scan file and click OK. Peak detection will start and take a few seconds up to several minutes.

Select Instrum		
Instrument Configuration File Wavelength	RMS-D8-ADS-15-LynxEyeXE	•
• Characteristic	cu	•
○ Synchrotron	0.070000 nm	
	<b>X</b> <u>C</u> ancel	<u>₽о</u> к

Figure 20: Information required for peak search.

When the detection is complete, all peaks are shown as hkl lines in the graph and in tabular form in the peak list widget (to open click ", Windows  $\rightarrow$  Peak List"). A new *hkl* scan is added to the

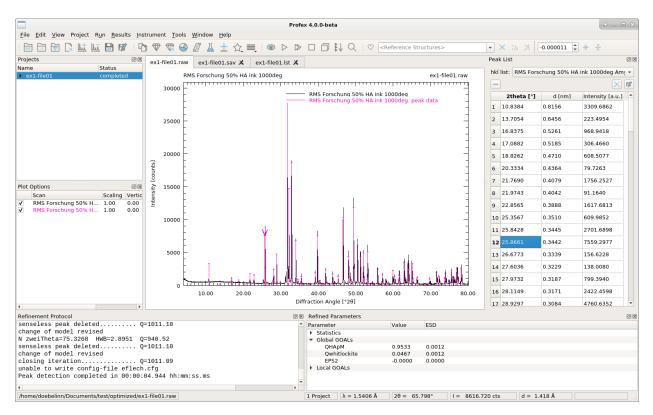


Figure 21: Peak search completed.

plot options (Fig.21). To save the peak list to disk, click the "Save as CSV file" button () above the peak list. In the file dialog three different file formats can be selected:

- **CSV file** This format stores all information (d value,  $2\theta$  position, and intensity) in a text file which can then be opened with a spreadsheet program.
- **d values** This format only stores *d* values and intensities. The file can directly be imported in other programs for phase matching.
- **2theta values** This format only stores  $2\theta$  positions and intensities. The file can directly be imported other programs for phase matching.

By chosing one of the file formats only exporting d values or  $2\theta$  position, the peak list can be used with third party search-match programs such as QualX [6] or Match! [7], which allows to use Profex' superior peak detection with search-match programs accessing large structure databases.

At this point Profex cannot use the peak list for phase matching. The peak list can only be used with other software, for graphical representation, or for publication purpose.

#### 9.2 Peak search in refinement projects

If a refinement project has already been created, running peak detection works a little bit differently:

- It is not necessary to specify the instrument configuration and wavelength files. Both parameters are read from the control file.
- The peak search will run on the active scan, i.e. a scan clicked in the "Plot options" list and drawn in a bold line. If no scan is active, it will run on the first scan in the "Plot options" list (usually I\_observed).

This offers some powerful possibilities in terms of isolating unassigned peaks of weak phases. Using the ScanMath dialog (section 20) a residual scan can be computed than only contains the unassigned files. If I\_difference is scan #3 and Background is scan #4, use the following ScanMath equation to create a residual scan: I = #3 + #4. After generating the new scan and closing ScanMath, click on the new scan in the "Plot Options" list to activate it, then run "Run  $\rightarrow$  Run peak detection".

# 10 Batch Refinement

## 10.1 Individually Configured Projects

If several projects are loaded, and all projects are configured correctly using the "Add/Remove Phase" dialog, they can be refined sequentially using the batch refinement feature. Simply click "Run  $\rightarrow$  Run batch refinement…" and select the projects to be refined. If more than one project was pre-selected in the projects dock window, the same projects will be pre-selected in the project selection dialog for the batch refinement. All selected projects will be scheduled for the batch refinement.

Once a batch refinement is running, the functions in the "Run" menu work as follows:

- **Run Refinement** will immediately start the refinement of the selected project, regardless of whether its status is IDLE, COMPLETE, or SCHEDULED. The refinement will run parallel to the running batch.
- Run Batch Refinement will schedule the selected project for the running batch.
- **Abort Current Refinement** depends on the status of the current project. RUNNING: The refinement will be aborted, the batch refinement jumps to the next scheduled project and starts the refinement. SCHEDULED: The project will be un-scheduled, i.e. set to IDLE and skipped by the batch refinement.
- **Abort All Refinements** will stop all running refinements and un-schedule all projects from the batch. Note that also individually started refinements running parallel to the batch will be aborted.

## 10.2 Batch Configuration

It is a common use case to refine a large number of files with nearly identical composition. For example time-resolved series of a single specimen, or multiple measurements of the same sample for statistical analysis. In that case, having to create a refinement project for each measurement as described in section 3.2 would be tedious. Profex offers a much more efficient way to configure and run a list of equivalent refinements:

- 1. Open all raw data files as individual projects (section 3.1). Note: Make sure to use the "Open Graph Files…", not "Insert Scans…" function.
- 2. Configure and refine the first project as described in section 3.
- 3. Once satisfied with the refinement quality, click "Edit → Copy Control File" to apply the configuration of the first project to other projects.
- 4. Run a batch refinement (,,Run  $\rightarrow$  Run batch refinement..."). You can skip the first project, as it has been refined already.

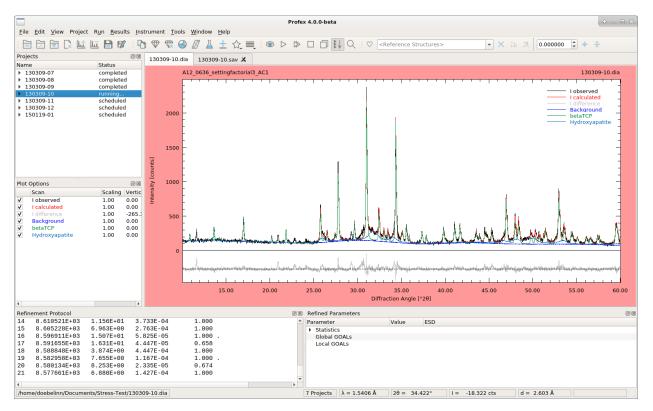


Figure 22: A batch refinement in progress.

Fig. 22 shows an example of a batch refinement in progress. Note that sequential batch refinement, i. e. using the refinement results of one project as input for the next project, is currently not supported.

# 11 Amorphous phases

# 11.1 Background peak

X-ray amorphous phases, i. e. materials lacking a long-range structural order, do not produce a distinct diffraction signal and are therefore considered to be invisible in XRD data sets. However, short-range ordering may still cause a broad bump in the background signal, typically (but not exclusively) around d = 3.0 Å. If this bump is prominent, the background polynome may have difficulties following it and may instead diverge from the measured background underneath the bump. A polynome of higher degree, on the other hand, may have undesired side effects such as an increased processing time due to additional refined parameters, or unstable background refinement at the start and/or end of the scan.

Refinement of dataset with a strong amorphous bump can be drastically improved if the bump is fit with a single broad diffraction peak. To add such a peak, select "Project  $\rightarrow$  Add amorphous peak" and specify the approximate center position in the following dialog. A new structure file "amorphous.str" is added, which contains just a signle peak. The structure cannot be used for quantification of the amorphous content. But it may improve the quantification of all crystalline phases because of a drastically better fit of the background.

To remove the amorphous phase, use the "Add/Remove phase" dialog and remove the file "amorphous.str" from the project.

The broadening parameters B1 and k2 of the amorphous peak must be carefully monitored. If the amorphous peak gets wider than the measured amorphous signal and starts to extend beyond the bump, the width parameters must be limited. If the amorphous bump is weak and the refined peak starts to interfere with the background polynome, it may be better to remove the amorphous phase and increase the order of the background polynome instead.

# 11.2 Internal standard

Adding an internal standard is a common method for quantification of amorphous fractions from XRD data. The idea is to add one crystalline phase in a precisely known quantity. This internal standard phase is measured and quantified along with the sample, and its mixed and refined quantities can be used to scale all refined phase quantities to absolute values.

# 11.2.1 Example

Suppose a sample contains an amorphous phase (e.g. glass) and quartz in unknown quantities. Since the amorphous phase is invisible in XRD data set, a quantification would result in 100 rel. wt-% quartz.

In order to determine the absolute quantity of quartz and glass, we add 0.5 g of corundum ( $\alpha$ -Al<sub>2</sub>O<sub>3</sub>) to 1.0 g of the sample mixture. Now we know that the absolute quantity of corundum

is 33.33 wt-% (0.5 g out of 1.5 g material). However, after refining the quantities of quartz and corundum, we obtain the following relative phase fractions:

Quartz	58.33%
Corundum	41.66%

The relative fraction of corundum is 41.66 wt-%, but we know that the absolute fraction is 33.33 %. With this information we can rescale all relative fractions to absolute fractions by multiplication with factor 33.33/41.66. The absolute refined quantities are thus:

Quartz  $58.33 \cdot 33.33/41.66 = 46.66 \%$ Corundum  $41.66 \cdot 33.33/41.66 = 33.33 \%$ 

By adding 0.5 g of corundum, we increased the total amount of material from 1.0 to 1.5 g. Quartz makes up 46.66 % of 1.5 g, but we are interested in the fraction in the original sample prior to adding the corundum standard. Therefore we must rescale the quartz quantity by factor 1.5/1.0. The amount of amorphous material is then 100- quartz:

Quartz	$46.66 \cdot 1.5 / 1.0 = 70.00 \%$
Amorphous	100.00 - 70.00 = 30.00%

#### 11.2.2 Internal standard in Profex

The calculations demonstrated above to rescale refined phase quantities with an internal standard to absolute quantities without the internal standard can be performed in a spreadsheet program after the Rietveld refinement. However, Profex also provides a function to change the computation of quantity GOALs accordingly, so the refined quantities represent absolute phase quantities including the amorphous content.

When refining a sample with internal standard, create a refinement project including all identified crystalline phases, including the internal standard phase. Then select "Project  $\rightarrow$  Set internal standard". In the following dialog, specify the internal standard phase you added to the sample, and specify its known absolute amount in wt-%. After closing the dialog, the GOALs section in the control file is modified. For example, the following section computing relative phase fractions:

```
sum=Calcite+Aragonite+Corundum
```

QCalcite=Calcite/sum QAragonite=Aragonite/sum QCorundum=Corundum/sum

GOAL[1]=QCalcite GOAL[2]=QAragonite GOAL[3]=QCorundum

... is changed to the following code when setting corundum as the internal standard in a quantity of 30.00 abs. wt-%:

```
ISTD=Corundum
ISTDQ=0.3000
sum=ISTD*(1-ISTDQ)/ISTDQ
QCalcite=Calcite/sum
QAragonite=Aragonite/sum
QAmorph=1-(Calcite+Aragonite)/sum
GOAL[1]=QCalcite
GOAL[2]=QAragonite
GOAL[3]=QAmorph
```

<u>Important</u>: The quantity entered for the internal standard must be the true standard quantity of the final mixture. For example, when adding 0.3 g standard to 1.0 g sample, the true standard quantity is 0.3/1.3 = 0.2308. The correct value to enter for the internal standard quantity in this example would thus be 23.08 %. It is also possible to change the calculation of ISTDQ after closing the dialog as follows:

ISTDQ=0.3/1.3

The modified GOAL code takes care of all rescalings described in the previous example and reports absolute phase fractions, including amorphous fractions. The internal standard quantity is eliminated so the reported quantities represent the phase fractions in the sample prior to adding the standard.

To revert to relative phase quantities, simply select ", Project  $\rightarrow$  Unset internal standard".

## **12 Chemical Composition**

After the refinement, Profex will calculate the bulk chemical composition of the sample from the structural information and refined site occupancies reported in the list file (\*.lst). The elemental composition, expressed in wt-% of the oxide, is shown in the "Chemical composition" dockable widget (Fig. 23). In a first step, Profex will calculate the chemical composition of each phase, and normalize it to 100% (Fig. 23a). In order to calculate the bulk composition of the entire sample, Profex needs to know the relative quantity of each phase, which is normally calculated as a global goal. Profex will attempt to identify the correct "Quantity Goal" by comparing global goal names with the phase name. If a goal name of format phase\_name/some\_string is found, with phase\_name matching the phases PHASE variable (case insensitive), the goal will be automatically selected. Else no "Quantity Goal" will be selected and the user will have to select it manually.

As an example, the following ,,Quantity Goal" will be recognized and assigned automatically, because the phase name and goal name match (matching is case insensitive):

STR	file:	PHASE=Corundum
		GOAL:corundum=GEWICHT
SAV	file:	GOAL[1]=corundum/sum

But the following ,,Quantity Goal" will not be recognized automatically, because the phase name and goal name do not match. The user will have to select it manually:

STR	file:	PHASE=Corundum
		GOAL:A1203=GEWICHT
SAV	file:	GOAL[1]=Al2O3/sum

Once a valid ",Quantity Goal" has been selected for a phase, the element composition will be normalized to the phase's quantity. The total chemical composition will be calculated only when all phases were assigned a valid ",Quantity Goal" (Fig. 23b).

The oxides and their molecular weights used to calculate the composition can be accessed and customized in the preferences by calling "Edit  $\rightarrow$  Preferences...  $\rightarrow$  Chemical composition" (Fig. 24a). Elements without molecular weight value, or with a value of 0.0, will be ignored for the calculation. It is recommended to leave the molecular weight of oxygen (element No. 8) empty or at 0.0 to avoid listing oxygen as a separate element in the chemical composition output table. See part 3 of the user manual for more information on customizing the oxide parameters.

Select "Results  $\rightarrow$  Export refined chemical composition..." to export the data to a csv file.

Clicking with the right mouse button on the table allows to copy the table to the clipboard. The content can be pasted to a spread sheet program. The semicolon character *,,,* is used as field separator. The entire table will be copied, cell selections will be ignored.

Chemistry						0 🗙	Chemistry						0
	Quantity Goal	Al2O3 wt-%	CaO wt-%	F wt-%	Li2O wt-%			Quantity Goal	AI2O3 wt-%	CaO wt-%	F wt-%	Li2O wt-%	
Corundum	- •	100.00	0.00	0.00	0.00		Corundum	corundum/: 👻	32.92	0.00	0.00	0.00	
Fluorite	- •	0.00	59.61	40.39	0.00		Fluorite	fluorite/sun 👻	0.00	20.07	13.60	0.00	
LiF	- •	0.00	0.00	38.87	61.13		LiF	lif/sum 👻	0.00	0.00	12.99	20.42	
Total							Total		32.92	20.07	26.59	20.42	
			(a)							(b)			

(a)

Figure 23: Chemical composition of the sample. Quantity goals must be selected by the user. If no quantity goals were selected (a), each phase will be normalized to 100 %. If the goals describing the phases' quantities were assigned (b), the total bulk composition of the sample will be calculated, too.

		Prefe	rences		+ = ×		
General Text Editors	Che	mical Compo	sition				
<ul> <li>Graphs</li> <li>Appearance</li> </ul>		Element	Oxide	Iolecular Weigł			
Fonts	1	н					
Scan Styles Print and Export	2	He	Не	4.0026	_		
<ul> <li>BGMN Backend Configura</li> </ul>	з	Li	Li2O	29.8814			
Repositories Peak Detection Limits GOAL Management Summary Tables	4	Be	BeO	25.0116		🕺 💿 Oxide Molecu 🕐 😒 🔿	
	5	в	B2O3	69.6202			
	6	с	CO2	44.0095		Fe 3 🗘 O 4 🌲	
Reference Structures Favorites	7	N	N	14.0067			
Structure File Hand Search-Match	8	0				Fe <sub>3</sub> O <sub>4</sub> = 231.532600 g/mol	
Report	9	F	F	18.9984		- · · · ·	
Fullprof.2k Chemical Composition Text Blocks	10	Ne	Ne	20.1797		OK Cancel	
	11	Na	Na2O	61.9788			
	12	Mg	MgO	40.3044			
	13	13 Al	AI	Al2O3	101.9612		(b)
	14	Si	SiO2	60.0843			
	15	Р	P2O5	141.9446	-		
	Dou	ble-click "Elem	ent" column fo	or dialog	Reset		
					Cancel		

Figure 24: Oxides and molecular weights can be customized in the preferences dialog (a). Double click on a "Element" cell in the oxide table to open a dialog for calculation of oxide molecular weights (b).

#### 13 Limits of Quantification and Detection

The counting noise inherently superimposing the diffraction peaks in a powder XRD pattern limits the accuracy of phase quantifications. Even if a single specimen is measured multiple times and variations introduced by sample preparation or sample inhomogeneities are guaranteed to be absent, the random noise pattern will lead to a certain variation of the refined phase quantities. The results are Gauss-distributed around the true value. The standard deviation is largely independent of the phase quantity, it rather depends on the counting noise amplitude, which itself strongly depends on the background intensity. As a result, phase quantities close to 0.0 still show a standard deviation similar to greater quantities. An example is given in Table 4, which shows mean quantities and ESDs of reference mixtures measured 10 times. Once the contamination phases fall below 5 wt-%, their ESDs are surprisingly constant, even if the phases are entirely absent. As the phase quantities approach 0.0, but the ESDs remain constant, the <u>variation coefficient</u> (VC) gets larger. The variation coefficient is defined as

$$VC = \frac{ESD}{Quantity} [\%]$$

Assume we prepare reference mixtures with 5, 2, 1, 0.5, 0.2, and 0.0 wt-% of a contamination phase, and the ESD of the refined quantities is constant at 0.2 wt-%. As a result, we observe the following VCs:

Quantity [wt-%]	ESD [wt-%]	VC [%]
5.0	0.2	4.0
2.0	0.2	10.0
1.0	0.2	20.0
0.5	0.2	40.0
0.2	0.2	100.0
0.0	0.2	$\infty$

For small quantities the scattering of the values becomes excessively large compared to the mean value, which is expressed by huge VCs. In other words, the refined quantity becomes unreliable. Many textbooks, guidelines and standards define the limits of quantification and detection (LOQ and LOD) as a specific variation coeficient. For example, they specify that for quantification the mean value must be at least 4 times greater than its standard deviation, or the standard deviation must be less than one quarter of the value ( $VC \le 25\%$ ). And for detection, the mean value must be at least 2 times greater than its standard deviation, or the standard deviation must be less than half the value ( $VC \le 50\%$ ). The actual limits might depend on the source of the information.

The example in Table 4 also shows that, with the exception of phase C, none of the contamination phases reach 0.0 wt-% in the sample absolutely free of contaminations (bottom line). Once the peak intensities drown in the counting noise, the refinement algorithm will be able to fit positive intensities into the noise pattern. This has some undesired side effects: These residual intensities, even when reported as "not detected", reduce the quantity of the main phase, as long as they are

taken into the normalization of phase quantities to 100%. In Table 4 the quantity of phase A is reduced to 99.10 wt-% due to accumulated residual intensities, even though no contaminations were present. In order to avoid this problem, which can become even more pronounced if more non-existent phases are added to the refinement, it is recommended to remove phases below the detection limit from the project and repeat the refinement. This process should be repeated until none of the refined phases is below the detection limit. Profex facilitates this strategy by highlighting phases below the detection and quantification limits and by showing a warning in the summary table. The feature has to be enabled in the preferences dialog (Edit  $\rightarrow$  Preferences... $\rightarrow$ BGMN  $\rightarrow$  Summary Tables  $\rightarrow$  "Show warnings for values below detection and quantification limit"). In the same dialog the variation coefficients (VCs) used as limits of quantification and detection can be defined. In the example shown in Figure 25 the limit of quantification is reached when the refined quantity falls below 8 times its ESD (VC  $\geq$  12.5%), and the limit of detection is reached when it falls below 4 times its ESD (VC  $\geq$  25%).

Some phases actually converge towards 0.0 wt-% despite a considerable noise pattern. In that case the ESD may reach 0.0 wt-%, too. However, this is an artifact of the refinement algorithm and its error propagation, as there cannot be an absolute certainty of absence of a particular phase as long as there is noise superimposing the diffraction signal. After all, there always <u>might</u> be a signal hidden in the noise. If the ESD reaches 0.0, the refined quantity might never fall below the quantification and detection limit, as the ESD shrinks along with the value rather than remaining constant, and the VC may or may not exceed the LOQ or LOD at a certain point. In order to avoid this undesired result, Profex allows to define a minimum ESD. Any refined ESD below this value will be considered to be an artifact, i. e. an unrealistically low ESD, and instead the minimum ESD specified in the preferences dialog will be used. That way, a hard-coded minimum LOQ and LOD is introduced. For example, the limit of detection in Figure 25 is defined as

$$LOD = \frac{ESD}{value} = 0.250$$

If we refine a phase quantity to 0.06 wt-% with an ESD of 0.01, its VC is:

$$VC = \frac{0.01}{0.06} = 0.167$$

The VC does not exceed the LOD, therefore the phase quantity of 0.06 wt-% should be considered detectable, Profex will not show the warning ,,< LOD". However, it is quite obvious that a quantity of 0.06 wt-% cannot be detected in most real datasets collected on conventional instruments with standard settings. Also an ESD of 0.01 wt-% is impossible to achieve if there is counting noise in the pattern. The low ESD must therefore be an artifact. Applying Profex' minimum ESD at 0.05 wt-% (which is a realistic choice), the equation changes as follows

$$VC = \frac{minESD}{0.06} = \frac{0.05}{0.06} = 0.833$$

The VC exceeds the LOD of 0.250 by far, and the quantity of 0.06 wt-% is flagged as ,,< LOD". In fact, any quantity below

$$\frac{minESD}{LOD} = \frac{0.05}{0.250} = 0.20$$

will be flagged as ,,< LOD", regardless of its refined ESD.

× •	Preferences	<ul> <li>S</li> <li>S</li></ul>				
General Text Editors	Summary Tables					
<ul> <li>Graphs</li> <li>Fonts</li> </ul>	Gloabl Parameters and GOALs					
Scan Styles  BGMN	List EPS values in Summary Table					
Structure File Handling	Show warnings for values below detection and quantification limit					
Summary Tables Fullprof.2k	Limit of Quantification (LOQ): ESD / Value =	0.125 Color				
Reference Structures Chemical Composition	Limit of Detection (LOD): ESD / Value =	0.250 Color				
Chemica Composition	Minimum ESD (ignore ESDs below) =	0.050 wt-%				

Figure 25: Warnings for quantities below LOQ and LOD can be activated in the preferences dialog. Variation coefficients (VC = ESD/value) used as LOQ and LOD are also specified on the same page.

If the minimum ESD is set to 0.0, the feature is deactivated and all refined ESDs will be used as read from the results file. This is not recommended. The minimum ESD should be set to a positive value. The non-existent phases B and D in Table 4 that converged to a positive residual intensity (bottom line) had residual ESDs of 0.06 and 0.14 wt-%. It is a reasonable guess to use a value just below the residual ESDs of non-existent phases as a minimum ESD. In this example, 0.05 wt-% seems to be a good choice.

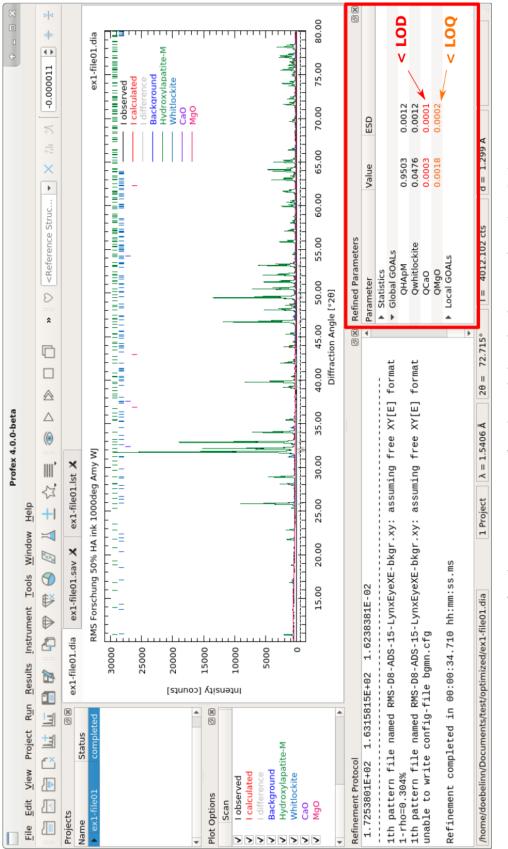


Figure 26: Profex showing warnings for phases below the detection limit (< LOD).

Composition $(A + B + C + D)$	Quantity A	ESD A	Quantity B	ESD B	Quantity C	ESD C	Quantity D	ESD D
0.0 + 33.3 + 33.3 + 33.3	0.02	0.01	33.79	0.29	32.54	0.19	33.65	0.37
1.0 + 33.0 + 33.0 + 33.0	0.66	0.11	33.57	0.29	32.33	0.20	33.44	0.38
10.0 + 30.0 + 30.0 + 30.0	9.58	0.24	30.63	0.29	29.44	0.20	30.36	0.40
25.0 + 25.0 + 25.0 + 25.0	24.98	0.29	25.48	0.28	24.20	0.19	25.34	0.40
40.0 + 20.0 + 20.0 + 20.0	40.37	0.30	20.35	0.26	19.02	0.18	20.27	0.41
55.0 + 15.0 + 15.0 + 15.0	55.94	0.25	14.95	0.19	14.00	0.15	15.11	0.26
70.0 + 10.0 + 10.0 + 10.0	70.71	0.25	9.82	0.17	9.14	0.13	10.32	0.25
77.5 + 7.5 + 7.5 + 7.5	78.07	0.22	7.44	0.14	6.72	0.12	7.77	0.17
85.0 + 5.0 + 5.0 + 5.0	85.55	0.21	4.91	0.13	4.27	0.12	5.27	0.17
94.0 + 2.0 + 2.0 + 2.0	93.79	0.19	2.02	0.10	1.65	0.08	2.53	0.15
97.0 + 1.0 + 1.0 + 1.0	96.64	0.17	1.05	0.09	0.74	0.05	1.57	0.14
98.5 + 0.5 + 0.5 + 0.5	98.09	0.16	0.54	0.08	0.30	0.04	1.08	0.13
99.4 + 0.2 + 0.2 + 0.2	98.79	0.14	0.28	0.07	0.09	0.03	0.84	0.12
100.0 + 0.0 + 0.0 + 0.0	99.10	0.15	0.14	0.06	0.00	0.00	0.75	0.14

Table 4: Reference mixtures of 3 contamination phases (B, C, D) in a matrix (A). All values are given in wt-%.

## 14 Text Blocks

Text blocks are pre-defined sections of text that needs to be inserted in control or structure files regularly. Instead of entering the text manually each time, a text block can be selected from the menu and inserted conveniently at the text cursor position in the currently shown text editor. Part 3 of the user manual describes how to manage text blocks.

A common scenario for text blocks is the structure file code to activate sub-phase refinement. The same code is needed occasionally in structure files. It can thus be pre-defined in the preferences and applied from the menu "Edit  $\rightarrow$  Insert Text Blocks". The block will be inserted at the position of the text cursor. An example block is shown below.

RefMult=2

PARAM=pG=0.5\_0^1
GEWICHT[1]=pG\*GEWICHT
GEWICHT[2]=(1-pG)\*GEWICHT

PARAM=pB1=1\_0.1^10 B1[1]=B1 B1[2]=pB1\*B1

# **15 Refinement Preset**

For routine analyses or refinements of series of measurements it is often recommended to use exactly the same structure files and refinement strategy in order to obtain reproducible and userindependent results. Instead of using the "Add/Remove Phase" dialog each time to select the device configuration and structure files, this information can also be loaded from a refinement preset file. A preset thus automates the choice of device configuration and structure files, and reduces user input to a single mouse click. The specification of preset files is given in part 3 of the user manual.

## 15.1 Creating and Using Presets

Presets can be created from an existing refinement as follows:

- 1. Load your raw data using "File  $\rightarrow$  Open Graph File...".
- 2. Create your refinement control file using the "Add/Remove Phase" dialog.
- 3. Run and optimize the refinement until you are satisfied with the quality of fit.
- 4. Select "Project  $\rightarrow$  Save as Refinement Preset..." and save the file in the Preset directory specified in the Preferences dialog (part 3 of the user manual).

The preset is now ready for use<sup>3</sup>. It can be applied to a new raw data scan as follows:

- 1. Load your raw data using "File  $\rightarrow$  Open Graph File...".
- 2. Select "Project  $\rightarrow$  Refinement Presets  $\rightarrow$  <preset name>" or use the button in the project toolbar to create the control file.
- 3. Click "Run  $\rightarrow$  Run Refinement".

## 15.2 Presets for Quality Control

An MD5 checksum is saved for each file referenced in the preset (MD5hash=...). When applying the preset, Profex will verify the integrity of the files and issue a warning if files were modified. The preset can still be applied, but the user was informed about (accidental or intentional) modifications of the files.

Presets allow using Profex for routine quality control in an accredited environment. In such a scenario it is recommended to store the presets directory on a network shared drive, and giving write access only to the lab manager. This, in combination with MD5 checksums, provides a high level of data integrity and reduces user input to applying the correct preset.

<sup>&</sup>lt;sup>3</sup>Projects loaded before saving the preset need to be closed and re-opened in order to scan for new presets.

# **16 Show Peak Profiles**

The shape of measured diffraction peaks originates from a convolution of the wavelength emission spectrum, geometrical features of the instrument, and peak broadening by certain sample properties. Profex allows to display the individual contributions to the peak shape using "Instrument  $\rightarrow$  Show Peak Profile ...". The dialog features four tabs. The first three tabs are used to display wavelength, instrumental, and sample profile shape contributions. The fourth tab shows the convoluted profile, and only is enabled once the first three tabs show data. Fig. 27 shows examples for all four pages.

All graphs can be zoomed horizontally using the mouse scroll wheel, unzoomed using the right mouse button, and dragged using the left mouse button. Also the graphs can be exported to CSV table files, PDF graphs, and pixel images. Clicking the second button from the left will reload the current file from disk. This allows to quickly update the graph when editing the source file in a text editor.

The filled curve always represents the total contribution function, which are usually composed of several sub-curves. The sub-curves can be toggled on and off using the third button from the left in the bottom-left corner of the dialog.

It is generally recommended to start with the left-most tab and load some data. Then proceed to the next tab. Once the third tab contains data, the fourth tab is enabled and the convoluted profile can be calculated.

## 16.1 Wavelength Distribution D

The first tab allows to display the characteristic spectrum of an X-ray tube, as it is described in a \*.lam file bundled with BGMN and Profex. Use the first button on the left to load a file. The file dialog automatically opens in the BGMN directory and offers all \*.lam files to be opened. Once opened, the dialog will show the wavelength spectrum on an Ångstrom scale.

The sub-curves on this graph represent the lorentzian curves described in the \*.lam file in order to approximate the characteristic spectrum.

## 16.2 Instrumental Function G

The instrumental function originating from the goniometer layout is read from a \*.geq file, which is obtained as a result of the raytracing and interpolation of the peak shape as described in section 7. When loading a file, the file dialog will automatically open in the device repository.

Instrument contributions are displayed on a  $\Delta 2\theta$  axis. The position 0.0 represents the peak center, values to the left and right represent deviations from the center. The actual  $2\theta$  value is shown in the legend. Since the instrument contribution depends on the  $2\theta$  position, a slider at the bottom of the dialog can be used to scroll through the  $2\theta$  range the profile was calulated in (defined in the instrument \*.sav file).

Sub-curves represent lorentzian curves computed by BGMN to approximate the raytraced profile.

## 16.3 Sample Function P

Peak broadening by small crystallite size and micro-strain can be computed on the third tab. There is no file to load. Instead, enter values for the BGMN variables B1, k1, and k2 in the spin boxes, then press the "Reload" button (second button from the left) to compute the profile contribution. The peak broadening is shown on a 1/d axis. It also depends on the  $2\theta$  position of the peak, which can be scrolled through using the slider.

Sub-curves represent individual contributions of crystallite size and micro-strain.

## 16.4 Convolution D\*G\*P

In order to convolute the peak profile contributions shown in the first three tabs, raise the fourth tab and click the "Reload" button (second button from the left). Computation will be complete after a few seconds. The graph will show the observed peak shape on a  $\Delta 2\theta$  scale with the value 0.0 representing the peak center. The peak's position in the diffraction pattern is shown in the legend. Use the slider to scroll through the entire  $2\theta$  range.

Sub-curves represent contributions from wavelength distribution, instrument geometry, and sample properties. Note that here all contributions are converted to a  $2\theta$  scale.

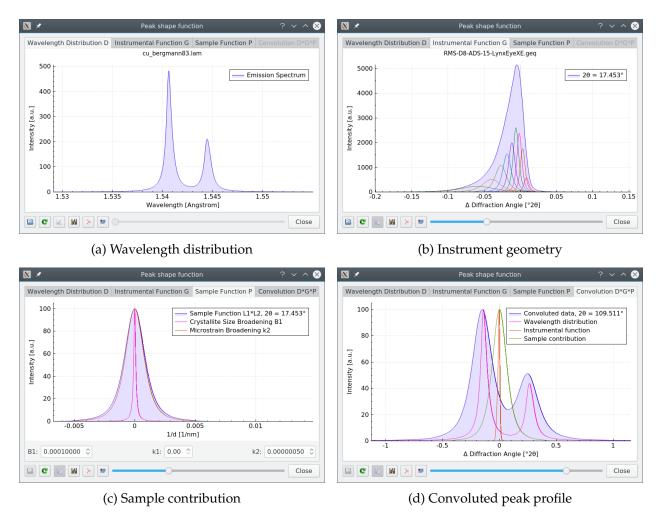


Figure 27: The dialog "Instrument  $\rightarrow$  Show peak profile..." allows to display the individual contributions leading to the convoluted observed peak shape.

# 17 Scan batch conversion

Several scan files can be converted to other data formats at a time using the "File  $\rightarrow$  Scan batch conversion..." feature. However, due to limitations given by the formats, SVG and pixel images (PNG) are not available for batch conversion.

When opening the batch conversion dialog by clicking "File  $\rightarrow$  Scan batch conversion...", the file queue will contain all currently opened scan files by default. More files can be added by clicking the "Add File" button and selecting one or more files, and selected files can be removed from the queue by clicking the "Remove File" button.

Some output format support multiple scans stored in a single file. The option ,,Write scans to one file" will instruct Profex to store all scans found in a source file into one single file. In that case, all scans *I*<sub>obs</sub>, *I*<sub>calc</sub>, *I*<sub>diff</sub>, *I*<sub>background</sub>, and all sub phase patterns found in a DIA file will be stored in a single output file. Use ,,Write scans to individual files" to write these patterns into single output files instead. Note that storing multiple scans in one file must be supported by the output format as well as by Profex' output filter, which is currently only the case for ASCII and Gnuplot files.

Click "Convert" to start the conversion process, or "Close" to close the dialog without conversion. Output files will be stored at the location of their source files using the same base file name. Existing output files will be overwritten without warning.

## **18 Favorites**

Reference structure files can be flagged as favorites in the preferences dialog (Fig. 28). Favorite phases can then be filtered in the "Add / Remove Phase" dialog and in the reference phase drop-down menu by clicking the "Show favorites" button  $\heartsuit$  (Fig. 29). Filtering favorites greatly simplifies handling reference structures in large repositories by limiting the number of displayed structure to a hand full of relevant ones.

<u>Important</u>: When double-clicking a peak in the diffraction pattern to identify the nearest reference structure while filtering of favorites is active for the reference structure list (Fig. 29 top-left), only the favorites will be searched. In order to search all reference structures, temporarily deactivate favorites filtering (Fig. 29 top-right) prior to double-clicking.

<ul> <li>X</li> </ul>	Preferenc	es		? ~ ^ (
– General – Text Editors	BGMN - Favorite Structure	Files		
- Graphs - Fonts - Scan Styles	File Name CaAl2O4.str CaAl4O7.str	Phase CaAl2O4 CaAl4O7	Comment 04-013-0779 04-007-8974	Favorites
<ul> <li>Printing</li> <li>BGMN</li> <li>Repositories</li> <li>Limits</li> <li>Summary Tables</li> <li>Reference Structures</li> <li>Favorites</li> <li>Structure File Handling</li> <li>Fullprof.2k</li> <li>Chemical Composition</li> <li>Text Blocks</li> </ul>	<ul> <li>CaCl2.str</li> <li>CaCr2O4-alpha.str</li> <li>CaCr2O4-beta.str</li> <li>Calcite.str</li> <li>Calcite.str</li> <li>CaN2O6.str</li> <li>✓ CaP</li> <li>— alphaCPP.str</li> </ul>	CaCl2 alphaCaCr2O4 betaCaCr2O4 Calcite Ca-Nitrate alphaCaPyrophosphate	04-007-1446 04-010-0615 04-007-4990 04-008-0788 04-006-5679	
	- alphaTCP.str - Apatites - CO3ApatiteA.str - CO3ApatiteB.str - Fluorapatite.str	alphatCP CO3ApA CO3ApatiteB Fluorapatite	04-011-0242 04-016-7498 AMCSD 000	
	<ul> <li>Hydroxylapatit</li> <li>Hydroxylapatit</li> <li>Hydroxylapatit</li> <li>betaCPP.str</li> <li>betaTCP-Mg.str</li> <li>betaTCP.str</li> </ul>	HydroxylapatiteMono	01-076-0694 01-089-4405 01-074-0565 04-009-3876 04-010-2972 04-008-8714	

Figure 28: Favorite reference structure files are configured in the preferences dialog by checking the box in the 4<sup>th</sup> column "Favorites".

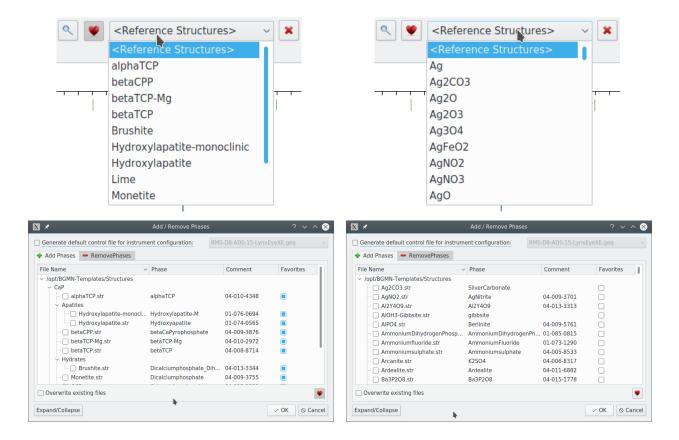


Figure 29: Filtering favorite reference structures (left) allows to quickly change between relevant (left) and all (right) structure files in the repository.

# **19 Baselines**

Note: Subtracting baselines from raw data later used for Rietveld refinement is not recommended. All Rietveld refinement programs rely on unmodified intensities for error estimation.

Profex allows to compute a baseline (background curve) for scans in a project. Use "Project  $\rightarrow$  Add Baseline..." to open the dialog shown in Fig. 30. Two different algorithms [15, 16] are implemented with various parameters to adjust. The dialog provides the following options:

**Scan** Select the scan to add a baseline for.

**Algorithm** Select the algorithm for baseline calculation.

- **Append** Appends the baseline to the project without closing the dialog. Another one can be created, either for a different scan, or for the same one but using different parameters.
- **OK** Appends the baseline to the project and closes the dialog.

**Cancel** Discards the baseline and closes the dialog.

Depending on the selected algorithm, various different parameters can be adjusted in order to optimize the baseline. There is no recommended setup. One set of parameters might work well for a certain diffraction dataset whereas it might be a poor choice for another dataset. It is recommended to adjust the parameters until a satisfying baseline is created. The parameters are described in the sections below.

Baselines appended to the project are not yet saved to disk. If the project or the program is closed, they are discarded. To preserve the baselines, open the "Plot Options" window, right-click on the baseline scan, and select "Export Scan...". Select "Ascii Free format (\*.xy \*.XY)" file type, or any other format of your preference.

Baselines can be subtracted from measured data using the "Scan Math" feature (section 20). However, as mentioned above, raw data used for Rietveld refinement must not be modified by baseline subtraction. However, in situations when the refined background curve is not able to accurately describe amorphous signals or low-angle steps in the background, it may still be desired to use a fitted baseline on top of the refined background. This is done as follows:

- 1. Create a baseline for the raw data scan, and export it to disk in "Ascii Free format (\*.xy \*.XY)" as described above, for example as "myBaseline.xy".
- 2. Create a refinement project and open the control file (\*.sav).
- 3. Add the following line to the control file

UNT=myBaseline.xy

4. Now run the refinement

The resulting background curve is a combination of the manually created baseline and a refined background polynom. This approach has no negative effect on error estimation.

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Projects	Scan:	160628-03.xy Data 1 ~	2theta Intensity	
Name - 16062	Algorithm:	Golotvin-Williams ~	1 4.000 \$\293.27 \$\160628-03.xy	
10002	Parameters		2 5.9602 ◇ 161.64 ◇ 3 6.1194 ◇ 155.81 ◇ 	
	Data smoothing M:	3	Baseline 160628-03.xy Data 1 -	
	Window Size N:	19 \$	5 6.4379 🗘 150.72 🗘	
	Noise Multiplier n:	1.00 🗘	6 6.5972 <sup>(1)</sup> 150.04 <sup>(1)</sup>	
	Sampling steps:	1	7 6.7565 0 147.91 0	
	Sensitivity:	8 0	8 6.9157	
	Last Point:	Last match ~	10 7.2343 \$ 143.44 \$	
Plot Opti	Interpolation:	Akima Spline V	11 7.3935 0 142.05 0	
Converge	interpolation	<b>n</b>	12 7.5528 🗘 139.47 🗘	
100			13 7.7120 0 139.28 0	
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0	Iterations		Diffraction Angle [°20]	

Figure 30: The baseline dialog allows to add a smoothed baseline (green) to the project.

## 19.1 SNIP algorithm

The SNIP algorithm [15] allows two adjust two parameters:

- **Clipping Window** Select either an increasing or a decreasing clipping window. The decreasing window usually results in a smoother baseline and is generally the preferred choice.
- **Iterations** The number of iterations determines the curvature of the baseline. Low values result in the line bending into the feet of wide peaks. High values result in a smoother, more continuous line. Adjust the value until the baseline follows the background, but does not bend into peaks.

## 19.2 Golotvin and Williams algorithm

The algorithm published by Golotvin and Williams [16] is based on interpolated anchor points. The first 6 parameters control the selection of anchor points, whereas the last option defines the interpolation function. Anchor points are shown in the table on the right, and can be fine adjusted manually.

- **Data smoothing M:** Raw data can be smoothed prior to selecting anchor points. The smoothing algorithm is described in [16]. Select 0 for no smoothing. Greater values result in stronger smoothing. Usually a low number (0–5) works best.
- **Window size N:** The number of data points used for the anchor point selection window. Data point *i* is compared with its neighbors in the window from data points i N/2 to i + N/2 in order to determine whether or not it qualifies as an anchor point. Lower values result in

more flexible baselines, greater values in smoother ones. Values between 5–20 usually work well.

- **Noise multiplier n:** Multiplier factor for the noise  $\sigma_{noise}$ . See equation 1 in the original paper [16] for more information. Greater values result in more data points being used as anchor points. Lower values are more restrictive, resulting in lower number of anchor points. A value of 1.0 is recommended.
- **Sampling steps:** Only every *i*<sup>th</sup> data point will be used to determine anchor points. For a value of 1, every data point will be evaluated. For a value of 5, every 5<sup>th</sup> data point will be evaluated. Values between 1 and 20 are recommended.
- **Sensitivity:** For values greater than 1, a certain number of consecutive data points must qualify as anchor points in order for the middle point to be accepted as anchor points. For example, if sensitivity is set to 5, and the following data points all fulfill the requirements for anchor points:

30.02, 30.04, 30.06, 30.08, 30.10

then only the middle point at 30.06 will be used as an anchor point. Greater values for sensitivity result in smoother baselines. Values between 1 and 5 usually work best.

- **Last point:** An anchor point will always be added at the position of the last data point in order to avoid extrapolation of the baseline. However, sometimes the scan stops in the middle of a peak rather than on the baseline. In that case, using the last data point as an anchor would bend the baseline upwards. To avoid this, a different intensity value can be used for the last anchor point. Options are either the second last anchor point, resulting in a horizontal end of the baseline, or the lowest anchor point determined anywhere in the diffraction pattern. There is no recommended setting. Avoid abrupt changes of the baseline at the end of the diffraction pattern.
- **Interpolation:** Select one from several interpolation functions to connect the anchor points. In most cases, the Akima or monotone spline curves are the best choices.

# 20 Scan Math

The tool "Scan Math" allows to perform mathematical operations on all scans loaded in a project. Expressions must be entered in Java Script syntax [?, ?]. After clicking "Generate Scan" the calculation is performed and a new scan is appended to the project. The new scan can be saved to disk by right-clicking on the scan in the "Plot options" window and selecting "Export scan...".

Scans are addressed by their position in the project preceded by *"#"*. The first scan in a project is addressed as *"#1"*, the second one as *"#2"*. Double-clicking on a scan in the *"*Scan Math" dialog will append its reference to the *"*Expression" line.

If a reference exceeds the number of available scans, the calculation will not be performed.

# 20.1 Anchor Scan

Scans in a project can have different angular ranges and different step sizes. When performing mathematical operations on scans of different ranges or sampling intervals, the user must declare one of the scans as anchor scan. The selected anchor scan determines in which angular range and at what sampling intervals the mathematical operation is performed. Scans of different angular ranges or with different sampling intervals are handled as follows:

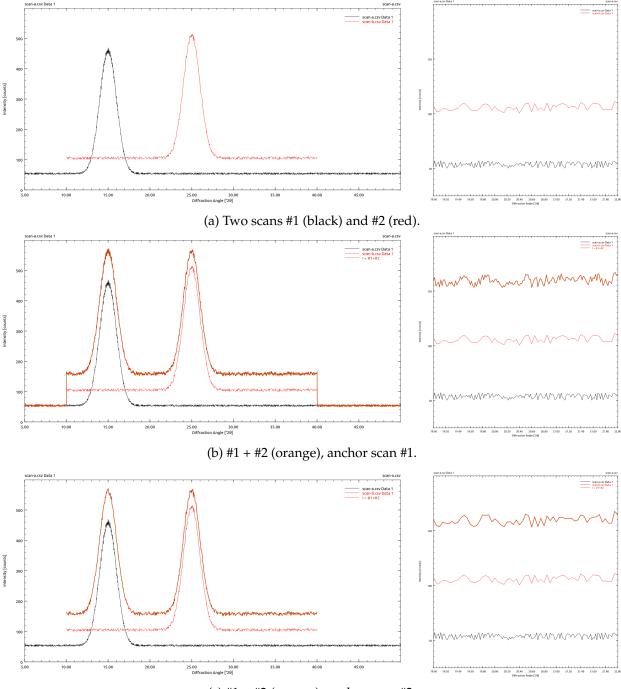
- If the anchor scan range exceeds the angular range of one or more scans used in the mathematical operations, the exceeded scans are padded with intensity 0.0.
- If one or more scans use different sampling intervals than the anchor scan, their intensities are quantized to the anchor scan's sampling interval using linear interpolation.

An example for the role of the anchor scan is illustrated in Fig. 31. Two scans with different angular ranges and step sizes are summed using the expression "#1 + #2" (Fig. 31a).

- If the longer scan #1 is selected as anchor scan, the resulting scan has the same angular range and step size (Fig. 31b), the shorter scan #2 is padded with intensity 0.0 on both ends. Intensities of scan #2 are quantized to the smaller step size of the anchor scan using linear interpolation.
- If scan #2 is selected as anchor scan, the longer scan #1 is clipped to the length of the shorter anchor scan (Fig. 31c), and the intensities of scan #1 are quantized to the larger step size of anchor scan #2 using linear interpolation.

# 20.2 Examples

Some example expressions are shown below. A comprehensive reference of functions and operators supported by Java Script is available at [?, ?].



(c) #1 + #2 (orange), anchor scan #2.

Figure 31: An example illustrating the role of the anchor scan.

### 20.2.1 Rescaling and offset

Select "File  $\rightarrow$  Open raw scan file..." and open a scan file. Then select "Tools  $\rightarrow$  Scan Math...". To rescale the intensity by factor 2.0, enter the expression:

2 \* #1

To add a vertical offset of 100 counts, enter the expression:

#1 + 100

To get the square root of the instensity, enter the expression:

*Math.sqrt*(#1)

To raise the intensities to the power of 2, enter the expression:

*Math.pow*(#1, 2)

### 20.2.2 Sum and difference of two scans

Select "File  $\rightarrow$  Open raw scan file..." and open a scan file. Insert another scan to the same project by selecting "File  $\rightarrow$  Insert Scan...". Then select "Tools  $\rightarrow$  Scan Math...".

To calculate the sum of the two scans, enter the expression:

#1 + #2

To calculate the difference of the two scans, enter the expression:

#1 - #2

Alternatively the same examples can be performed on a refined project (\*.dia) file, for example to subtract the background curve (#4) from ,,I observed" (#1):

#1 - #4

## 21 Peak Integrals

The integrated intensity of individual peaks can be determined with the tool "Peak integration" found in "Window  $\rightarrow$  Peak integrals". This opens a new window with an empty table. The table will list integrated intensities once at least one range is defined in the graph.

To define an integration range, place the mouse cursor on the graph at the beginning of the range, then press and hold the <u>shift key and left mouse button</u>, and drag the cursor to the end of the range. The range will be highlighted in gray, and once the mouse button is released, the integrals will be computed and shown in the peak integral table (Fig. 32). The range's start and end position can be adjusted in the respective boxes in the table.

The results of the peak integration can be exported to a CSV file by selecting "Results  $\rightarrow$  Export peak integrals...".

On the right-hand side of the table some buttons for more actions are located:

- **Remove selected range:** By clicking this button the currently selected range is removed from the table.
- **Remove all ranges:** This action clears the entire table.
- **Apply ranges to all open projects:** This action will copy all ranges of the table to all other open projects. This allows to define ranges in one project, but to obtain the same integrals from a large number of projects. A dialog allowing to select projects to apply the ranges to will be shown prior to applying the ranges. Note that existing ranges will be cleared from the receiving projects.
- **Subtract linear background:** This action toggles subtraction of a linear background on or off. See the next section for more information.

## 21.1 Calculations

Integrals *A* in the angular range from data points *start* to *end* are determined as follows:

$$A = \sum_{n=start}^{end-1} S_n \cdot I_n \cdot F$$

with *S* being the step size between data points *n* and n + 1,  $I_n$  being the intensity of data point *n*, and *F* being the scale factor applied by the user in the plot options. Vertical offsets are ignored. No interpolation or curve fit is performed.

If the option ,,subtract linear background" is checked, a linear background will be interpolated between *start* and *end*, and intensities will be determined relative to this background curve (Fig. 33):

$$A = \sum_{n=start}^{end-1} S_n \cdot (I_n - B_n) \cdot F$$

The background intensity  $B_n$  is calculated as:

$$B_n = I_{start} + rac{n-start}{end-start} \cdot (I_{end} - I_{start})$$

Note that data points below the background will also be considered for integration, hence poor choice of the integration range can result in negative integrals.

When processing refined projects, it is recommended to de-activate the background subtraction, and instead subtract the integral of the refined background from the integral of the raw data.

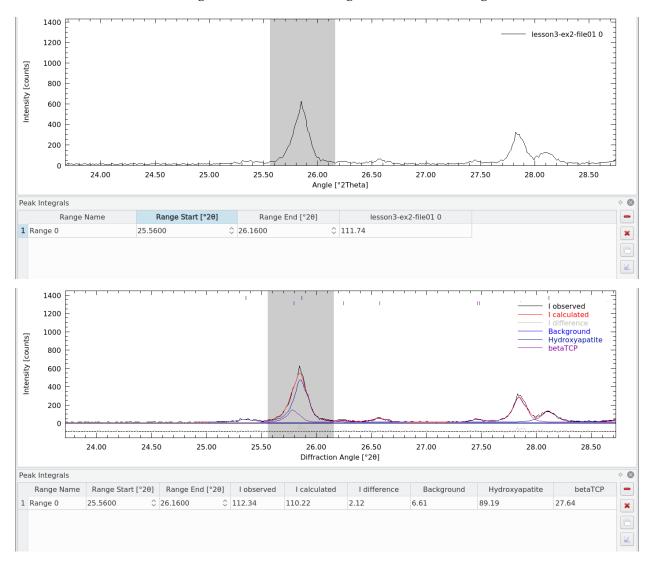


Figure 32: Peak integrals of a raw data file (top) and a refined project (bottom).

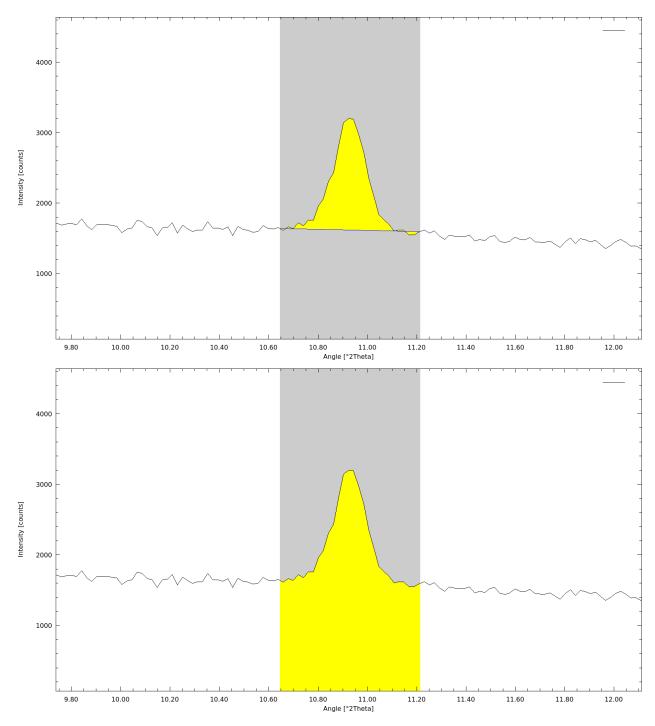


Figure 33: When the option ,,subtract linear background" is checked, a linear background will be interpolated between the first and the last point of the range (top). Integrals will be determined relative to this baseline (yellow area). If the option is unchecked, integrals will be determined from the zero line (bottom).

## 22 Edit All Structure Files

Changing the refinement state of a large number of parameters (e.g. all atomic coordinates) can be tedious. Profex offers a dialog allowing to change the refinement state of many parameters at a time, not only in one structure file, but in all (or a selection of) structure files of a refinement project. Selecting "Project  $\rightarrow$  Edit all Project STR files..." opens the dialog shown in Fig. 34.

The dialog lists all project STR files on the left side, allowing to select all or a subset of files. Specific files can be selected using the buttons marked as group 1 in Fig. 34. From left to right, the buttons select the following files:

- **All** Selects all files in the list
- **Open files** Selects only the STR files that are currently opened in the current project. If no STR files are opened, this button is disabled.
- **Current file** Selects only the currently shown STR file. If no STR file is opened, or a different type of file is currently shown, this button is disabled.
- **None** Unselects all files. Note that the "Apply" button has no effect if no file is selected. It is, however, sometimes useful to unselect all files before selecting certain files manually. This is what this button is used for.

The right part of the dialog list structural parameters, grouped as "Unit Cell", "Profile", and " Atomic Sites". Each parameter can be checked or unchecked. Only checked parameters will be modified. Selections can be quickly made using the buttons in group 2 in Fig. 34, which from left to right have the following function:

- **Check Group** If a parameter or a group is selected with the mouse, this button will check all parameters of this group. If no parameter or group is selected, all parameters of all groups will be checked.
- **Uncheck Groups** If a parameter or a group is selected with the mouse, this button will uncheck all parameters of this group. If no parameter or group is selected, all parameters of all groups will be unchecked.
- **Increase refinement state by one step** This button will increase the refinement state of the currently selected group of parameters.

The refinement state of checked parameters can be set individually, or using the button ,,Increase refinement state by one step" to modify the group of the currently selected parameter. This button increases the state of all parameters that are not yet at their maximum refinement state. For isotropic parameters the sequence is:

 $fix \rightarrow refined$ 

For anisotropic parameters the sequence is:

 $fix \rightarrow isotropic \rightarrow ANISO(4)$ 

⊠ ≯	Edit STR Files	? ~ ^ 😣
File	Modified Parameter	State
betaCPP.str	∽-Unit Cell	
betaTCP.str	- 🗆 A	Fix ~
	– 🔲 B	Fix ~
	- 🗆 C	Fix ~
	- 🗌 ALPHA	Fix ~
	– 🔲 BETA	Fix ~
	GAMMA	Fix ~
	Y-Profile	
		SPHAR0 ~
	– 🗌 B1	Fix ~
	– 🗌 k2	Fix ~
	k1	Fix ~
<ul><li>✓ </li><li>✓ </li></ul> <li></li>		2 💌 🔍 🔺
		Apply Close

Figure 34: The dialog allows to modify the refinement state of all project structure files at a time.

And for GEWICHT the sequence is:

 $SPHAR0 \rightarrow SPHAR2 \rightarrow SPHAR4 \rightarrow SPHAR6 \rightarrow SPHAR8 \rightarrow SPHAR10$ 

Once all parameters of the current group have reached their maximum refinement state, clicking the button again resets them to fix.

Clicking "Apply" will apply the modifications to all selected STR files. The changes are saved to disk immediately. Closing the dialog without clicking "Apply" will have no effect.

### 23 Electron Density Maps

The measured intensity of a diffraction peak *hkl* is proportional to the square of the structure factor  $F_{hkl}^2$ , which represent diffracted waves and are calculated as the sum of waves scattered at each atom in the unit cell:

$$F_{hkl} = \sum_{j=1}^{n} f_i \cdot e^{2\pi i [hx + ky + lz]}$$

where  $f_i$  is the scattering factor of atom *i*, *h*, *k*, *l* are the Miller indices of the reflection, and *x*, *y*, *z* are the fractional coordinates of atom *i*. Theoretically, *F* is the Fourier transform of the scattering density,  $\rho(x, y, z)$  taken over the entire unit cell:

$$F_{hkl} = \int_0^c \int_0^b \int_0^a \rho(x, y, z) \cdot e^{2\pi i(hx + ky + lz)} \,\mathrm{d}x \,\mathrm{d}y \,\mathrm{d}z$$

If we determined *F* by Rietveld refinement and want to restore  $\rho(x, y, z)$ , we can use the reverse Fourier:

$$\rho(x, y, z) = \frac{1}{V} \sum_{hkl} F_{hkl} \cdot e^{-2\pi i (hx + ky + lz)}$$

where  $\rho$  is the electron density at discrete fractional coordinates *x*, *y*, *z*. *V* is the unit cell volume. The summation is over all *hkl* peaks within the measured range.

#### 23.1 Preparations

Profex can compute maps showing the electron density  $\rho$  in the unit cell from refined structure factors *F*. In order to do so, two specific output files must be generated for each phase the map should be generated for:

- \*.res : This is a file format used by ShelX. It contains all refined fraction atomic coordinates, as well as all symmetry operators.
- \*.fcf : Another file format used by ShelX, which contains calculated and observed structure factors.

Both output files must be generated before the electron density map can be cacluated. Add the following lines to the refinement control file:

RESOUT[n]=structure.res FCFOUT[n]=structure.fcf

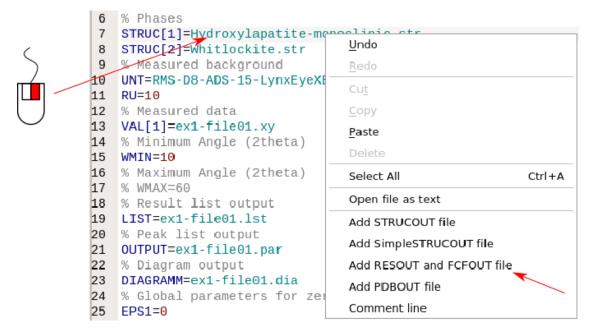


Figure 35: Use the contxt menu (right mouse button) to add \*.fcf and \*.res files for electron density map calculation.

This can simply be done by placing the cursor on a structure file entry and clicking the right mouse button. In the context menu, both files can be added. For example, in a project containing the two phases ",hydroxylapatite" and ",whitlockite", the following section shows the structure files used in this project:

```
% Phases
STRUC[1]=Hydroxylapatite-monoclinic.str
STRUC[2]=Whitlockite.str
```

If an electron density map for hydroxylaptite should be calculated, add the *FCFOUT* and *RESOUT* lines using the right mouse button:

```
% Phases
STRUC[1]=Hydroxylapatite-monoclinic.str
FCFOUT[1]=Hydroxylapatite-monoclinic-ex1-file01.fcf
RESOUT[1]=Hydroxylapatite-monoclinic-ex1-file01.res
STRUC[2]=Whitlockite.str
```

The file names are created automatically. See Fig. 35 for an example. Then repeat the refinement.

### 23.2 Map calculation

Open the dialog "Tools  $\rightarrow$  Electron density map". An empty dialog as shown in Fig. 36 will appear. We need to load the \*.fcf file created in the previous section. The electron density map will automatically be computed and images will be rendered. Once the Fourier synthesis and image rendering are complete, the electron density distribution is shown along the projection selected in the "Projection" box (Fig. 37). By default the image is projected along the *c* axis, showing a cross section of the *a-b* plane. The vertical slider on the right side of the image can be used to select the cross section level along the *c* axis. The cursor position in fractional coordinates is displayed on the bottom left.

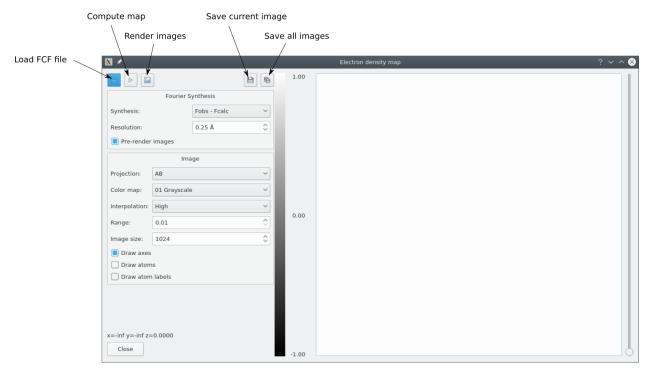
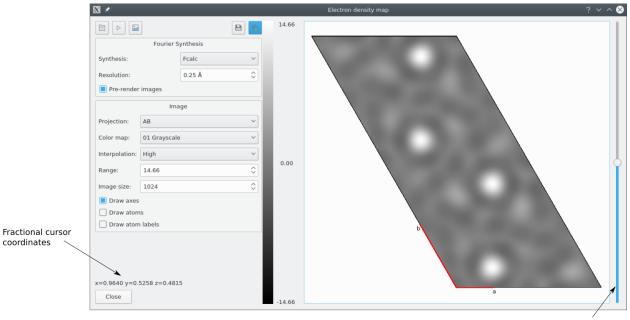


Figure 36: The Electron Density Map dialog.

The projection, color appearance, and the amount of interpolation (,,smoothing") can be adjusted. To apply the changes, press the ,,Compute map" button again. Fig. 38 shows the same map rendered with a false color map and contour lines, and with labels and circles representing the size of the atoms. All user interface elements are described below.

- **Load \*.fcf file** Loads an \*.fcf file and immediately computes the electron density map and renders images. The \*.res file is read in the background at the same time.
- **Re-calculate electron density map** When the synthesis, resolution, projection, or the content of the \*.fcf file changed, the electron density map can be re-calculated by pressing this button.
- **Render images** When the color map, interpolation, or intensity range changed, the images can be updated by pressing this button.



z Level

Figure 37: Rendered electron density map.

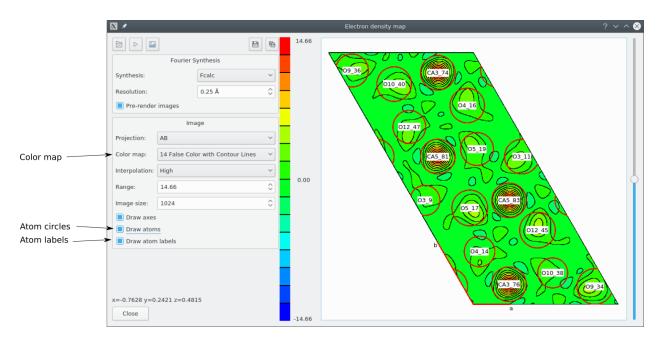


Figure 38: Electron map rendered with a different color map and with labels.

**Save current layer** Saves the current layer to a pixel image.

**Save all layers** Saves all layers to pixel images. The images will be numbered automatically.

**Pre-render images** When checked, all images from level 0 to 1 will be rendered automatically. If unchecked, only the displayed level will be rendered. All other levels will be rendered on the fly when displayed. For most users, pre-rendering is the preferred settings. If it takes too long, disable pre-rendering.

**Projection** Select the projection plane of the unit cell to be displayed.

**Color map** Chose the preferred color map.

- **Interpolation** Select the amount of pixel interpolation. Color maps with contour lines require high interpolation. Lower levels of interpolation look pixelated.
  - "None" shows the voxels filled with the sampled value.
  - "Medium" uses bi-linear interpolation to interpolate screen pixels between samples values.
  - "High" uses bi-cubic interpolation to interpolate screen pixels between sampled values.
- **Range** Sets the electron density range for the color map. Normally the range is set automatically to fit the maximum and minimum calculated density in the range.

**Image size** Set the size of the map images in pixels (longest dimension).

**Draw axes** Check to draw the vertical and horizontal unit cell axis.

Draw atoms Check to draw circles for ionic radii. The radii are taken from [?].

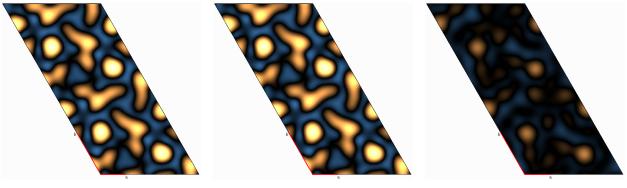
**Draw atom labels** Check to label each atom.

**Coordinates** Displays the cursor position in fractional coordinates.

**Mouse actions** The mouse can be used to interact with the map image display as follows:

- The scroll wheel is used to zoom in and out.
- The right mouse button resets the zoom level and fits the map to the window.
- When zoomed in, hold the left mouse button to drag and pan the map.
- When atom labels are shown, hover the mouse over the label to show the atom's fractional coordinates.
- **Level** The slider on the right side of the map image can be used to select the level of the cross-section.

**Close** Closes the dialog.



(a) Fobs map

(b) Fcalc map

(c) Fobs-Fcalc map

Figure 39: The Fobs-Fcalc map shows the difference between electron densities calculated from observed (Fobs) and calculated (Fcalc) structure factors. Note that Fobs-Fcalc uses a different range value to enhance the visibility of the differences.

## 23.3 Difference Fourier maps

Two types of structure factors are available from the Rietveld refinement: The ones extracted from the measured data (Fobs), and the ones obtained from the pattern calculated from the crystal structure models (Fcalc). The electron density map can be calculated from either of them by selecting the corresponding "Synthesis". However, the most useful application of electron density maps is the "Difference Fourier map" showing the differences between Fobs and Fcalc in terms of electron density mismatches. This allows to locate misfits in the structure models, for example due to substitutions or vacancies. It is also a useful tool for structure solution. To display a difference Fourier map, simply select "Fobs-Fcalc" from the synthesis box and re-calculate the map. Fig. 39 shows a comparison of observed and calculated electron densities, as well as the difference between the two with an apparent residual density due to a mismatched substitution of Ca for Mg in the apatite structure.

## 24 Absorption coefficient

The dialog "Tools  $\rightarrow$  Calcualte absorption coefficient..." allows to calculate the absorption of X-radiation from refinement results. When opening the dialog from a refinement project, it will automatically read the \*.lst file and enter the information to the dialog. An example is shown in Fig. 40.

For each phase, the chemical composition, its density, and its quantitiy in wt-% in the sample is required. This information must be enterd in the "Phase parameters" section of the dialog, if it is not automatically entered. In the block "Sample parameters", the following information is required:

- **Wavelength:** The absorption changes with wavelength. Select the characteristic wavelength to calculate the absorption for.
- **Attenuation:** The "Path length" and "Layer thickness" results describe, after which distance in the sample the initial intensity is attenuated by the fraction given here. For example, an attenuation of 99% means that after the calculated "Path length" the intensity is reduced to 1%.
- **Packing density:** The absorption of the sample also depends on the packing density, because the air in the space between the grains absorbs less than the solid material. Estimate the packing density to obtain a more realistic penetration depth.
- **Incident angle:** The result for "Path length" describes how far the radiation penetrates into the sample along the direction of the beam. "Layer thickness" gives only the penetration depth vertical from the sample surface and thus depends on the incident angle of the beam.

(	Absorption Coefficient					• = ×		
	Phase Parameters			Absorption				
	Phase:	betaTCP		Phase	MAC [cm²/g]:	85.72296		
Refined phase	Empirical formula:	CA63 0168 P42		Phase LAC [cm <sup>-1</sup> ]:		262.74088		
parameters	Density [g/cm³]:	3.0650	3.0650 Samp		Sample MAC [cm²/g]:		85.72833	
	Phase quantity:	0.9935		Samp	le LAC [cm <sup>-1</sup> ]:	262.8074	2	
	Sample Parameters			Path l	ength [µm]:	175.2298		
	Wavelength:	CuKa1	Ŧ		thickness [µm			
Sample	Attenuation [%]:	99.00	<b>A</b>	-				
Information {	Packing density [%]:	100.00	Ť					
	Incident angle [°]:	15.00	•		ass absorption hear absorptior			
	Phase	Formula	Density		•		Phase LAC [c	m-1]
	betaTCP	CA63 O168 P42	3.0650		0.9935	35.72296	262.74088	
	Hydroxyapatite	CA10 H2 O26 P6	3.1540		0.0065	36.54988	272.97831	
Add phase							<u>C</u> lose	
Remove selected Load LST file								
phase	/ Save to C	CSV file						
Clear all phases								

Figure 40: The absorption coefficient dialog computing the absorption for a mixture of the two phases  $\beta$ -TCP and hydroxyapatite.

## 25 Trouble shooting

## 25.1 Installation

## 25.1.1 How can I reset all preferences?

Program settings are stored outside of the Profex directories. Deleting and re-installing Profex will not reset the preferences. Here is what you need to do in order to clear all preferences:

## Windows

- 1. Close Profex
- 2. Open the windows menu and enter the command "regedit.exe"
- 3. Navigate to HKEY\_CURRENT\_USER/Software/doebelin.org
- 4. Delete the entire folder "Profex2"

## OS X

- 1. Close Profex
- 2. Delete the file /Library/Preferences/org.doebelin.Profex2.plist
- 3. Restart your computer

Note: If you don't restart your computer, it will continue using a buffered version of the plist file and the settings will not be reset to default. Instead of restarting your computer, you can enter the following command in a terminal in order to force updating the buffered file:

defaults read org.doebelin.Profex2.plist

### Linux

- 1. Close Profex
- 2. Delete the file ~/.config/doebelin.org/Profex2.conf

### 25.2 User Interface

### 25.2.1 I closed all dock windows. How can I open them again?

All dock windows (tool windows arranged around the plot area) can be opened from the "Windows" menu.

## 25.2.2 How can I share refinement projects with other users?

The easiest way to share projects with other users is to share project backups:

On the source computer

- 1. In Profex click "Project → Save project backup" to create a \*.zip file with all required scan, control, structure, and instrument files.
- 2. The name of the created file will be shown in the "Refinement Protocol" console.
- 3. Share the \*.zip file with the recipient.

On the recipient's computer

- 1. Save the received \*.zip file at the location where you want to process it.
- 2. In Profex click "File  $\rightarrow$  Open Project Archive..." to open the \*.zip file.
- 3. Run the refinement.

The \*.zip file will be extracted and the file formats will be converted to the target platform's text file format.

### 25.2.3 How can I share refinement projects between Windows and OS X / Linux platforms?

Opening a refinement project created on one platform (either Windows or OS X / Linux) on another platform (OS X / Linux or Windows) will cause an error message as soon as the refinement is started. This is due to the fact that both types of platforms use different characters for line endings in text files, and BGMN only accepts the format native to the platform it is used on.

To avoid these error messages, use the backup feature to share projects as described in section 25.2.2. It automatically converts the files to the target platform.

### 25.2.4 The "Reference Structures" list is empty

Here is a list of things to try if the reference structures menu is empty:

### Favorites

• Make sure the "Favorites" button is unchecked (♡ button next to the reference structures list).

### **Re-index the reference structures**

• Select "Tools  $\rightarrow$  Index Reference Structures" to update the structures database (or click the  $\bigcirc$  button).

### Clear the hkl buffer file and re-index the structure files

- 1. Select ",Edit  $\rightarrow$  Preferences  $\rightarrow$  BGMN  $\rightarrow$  Reference Structures" and clicking "Clear Buffer".
- 2. Close the preferences dialog.
- 3. Select "Tools  $\rightarrow$  Index Reference Structures" to update the structures database (or click the  $\bigcirc$  button).

### Verify the location of the structure file repository

• Select "Edit → Preferences → BGMN → Repositories" and verify that the structure file repository points to a valid location containing \*.str files.

### 25.2.5 The "Add/Remove Phases" dialog is empty

Here is a list of things to try if the "Add/Remove Phases dialog" is empty:

#### **Favorites**

• Make sure the "Favorites" button is unchecked ( $\heartsuit$  button in the bottom-right corner).

#### **Re-index the reference structures**

• Select "Tools  $\rightarrow$  Index Reference Structures" to update the structures database (or click the  $\bigcirc$  button).

### Clear the hkl buffer file and re-index the structure files

- 1. Select ",Edit  $\rightarrow$  Preferences  $\rightarrow$  BGMN  $\rightarrow$  Reference Structures" and clicking ",Clear Buffer".
- 2. Close the preferences dialog.
- 3. Select "Tools  $\rightarrow$  Index Reference Structures" to update the structures database (or click the  $\bigcirc$  button).

#### Verify the location of the structure file repository

• Select "Edit → Preferences → BGMN → Repositories" and verify that the structure file repository points to a valid location containing \*.str files.

#### 25.2.6 The "Add/Remove Phases" dialog only shows checkboxes, but no text

All columns showing the file and phase names are minimized to the left side of the dialog. Resize the columns to show the names and selection checkboxes.

## 25.2.7 What does the error message "No import filter found for file ....raw" mean?

Several different file formats use the same extension \*.raw (Rigaku, Bruker, Stoe). Attempting to open a raw file with an import filter for a different type of raw file will issue this error message.

When opening raw data files, make sure that the file format in the file dialog is set to the correct type of raw file ("Bruker raw scan", "Rigaku raw scan", or "Stoe raw scan").

## 25.3 Refinements

## 25.3.1 What does the error message "insufficient angular range" mean?

## Short answer

The measured scan exceeds the angular range, in which the peak profile was calculated from the instrument configuration file. Clip your measured data using the keywords WMIN (minimum angle) and WMAX (maximum angle) in the refinement control file (\*.sav) to limit the angular range of your scan to the range specified in your instrument configuration.

**Example** Suppose the peak profile was calculated from 4 to  $150^{\circ}2\theta$ , but your scan was measured from 3 to  $80^{\circ}2\theta$ . This will trigger the error message, because the peak profile was not computed for the range from 3 to  $4^{\circ}2\theta$ . Add the following line to your refinement control file (\*.sav):

WMIN=4

Then repeat the refinement. Now the measured range from 3 o  $4^{\circ}2\theta$  will be ignored and the error message should no longer occur.

### Long answer

Open your instrument configuration file (\*.sav) as follows:

Profex prior to version 3.14: Select "Edit  $\rightarrow$  Edit FPA Configuration...", then navigate to your refinement project and open the file <instrument>.sav. "<instrument>" is the name of the instrument you selected when creating the refinement project.

Profex version 3.14 and newer: Select "Edit  $\rightarrow$  Edit current FPA configuration".

The instrument configuration file will be opened in a special editor. Scroll down to the last section, until you find a block that looks like this:

```
_____
% Parameters for the simulation of the profile function
<u>%_____</u>
% angular positions for the MonteCarlo simulation (deg 2theta)
zweiTheta[1]=4
zweiTheta[2]=8
zweiTheta[3]=13
zweiTheta[4]=20
zweiTheta[5]=30
zweiTheta[6]=42
zweiTheta[7]=56
zweiTheta[8]=76
zweiTheta[9]=90
zweiTheta[10]=105
zweiTheta[11]=120
zweiTheta[12]=135
zweiTheta[13]=150
% angular range (deg 2theta)
WMIN=4
WMAX = 150
```

This shows the  $2\theta$  positions at which the profile is simulated (zweiTheta[n]), and the range in which the profile is interpolated (WMIN and WMAX). In this example, the peak profile was modeled in the range from 4 to  $150^{\circ}2\theta$ . Outside of this range, the profile is unknown. Hence, if a measured scan starting below  $4^{\circ}2\theta$ , or ending beyond  $150^{\circ}2\theta$ , is attempted to be refined, the error message "insufficient angular range" will be shown. There are two different ways to avoid the error message:

- Clip your measured data in order to ignore the measured ranges not covered by the instrument configuration file using the keywords WMIN and WMAX in the refinement control file (example 2).
- Extend your instrument configuration file to cover at least the range you measured (example 3).

For a demonstration of the two solutions, we assume that the instrument was configured in the range from 4 to  $150^{\circ}2\theta$ , and we are attempting to refine a dataset measured from 3 to  $80^{\circ}2\theta$ .

**Clipping the measured scan** We need to clip the measured data below  $4^{\circ}2\theta$ , because it is outside of the valid range. In your refinement control file (\*.sav), add the following lines:

WMIN=4 WMAX=150 Then repeat the refinement.

**Extending the instrument configuration** We need to extend the range in the instrument configuration file from 4 to  $3^{\circ}2\theta$ . Open your instrument configuration file using the function *"*Edit  $\rightarrow$  Edit current FPA configuration..." (or *"*Edit  $\rightarrow$  Edit FPA Configuration..." in Profex versions prior to 3.14) and extend the angular range as follows:

Then click ",Run calculations" to start the profile computation. Once it is complete, repeat the refinement. If it runs without error messages, and you want to keep the extended instrument configuration, copy all files <instrument>.\* from your project directory to the devices repository.

Note: In Profex prior to version 3.14, the "Edit FPA Configuration…" dialog will open in the devices repository. It is important to navigate to the current refinement project first, and open the instrument configuration file found there. Once this version of the instrument configuration file works as expected, copy all <instrument>.\* files from there to the devices repository to make it available to all future refinement projects.

### 25.4 My fit looks good, but $\chi^2$ is still high. What should I do?

Absolute  $\chi^2$  values are not always a good indicator for the quality of a fit. They are strongly influenced by the signal-to-noise ratio. In high intensity datasets it is generally more difficult to reach a  $\chi^2$  value close to 1.0, whereas it is easily reached in noisy datasets.

The evolution of  $\chi^2$  during a refinement is a good indicator when comparing different refinement strategies, for example to determine which refinement strategy fits better with a given dataset. But absolute values can be misleading. Sometimes it is better to trust your eyes and assess the quality of fit based on the difference curve.

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